ICANN | GNSO

Generic Names Supporting Organization

Policy Briefing ICANN74 Edition

The GNSO Background Briefings are produced by ICANN's Policy staff supporting the GNSO. These are drafted specifically in preparation for ICANN meetings to provide the community with concise background information on all relevant GNSO policy efforts.

For more information on the GNSO @ ICANN74: gnso.ICANN.org/ICANNmeeting O @ICANN_GNSO

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Welcome to ICANN74 from the GNSO Chair



Dear Colleagues:

The Generic Names Supporting Organization (GNSO) Council and the GNSO community welcome your participation in the ICANN74 Policy Forum in The Hague, Netherlands, the first opportunity for some of us to meet face-to-face since the onset of the COVID pandemic. This inaugural hybrid meeting is an important opportunity for the GNSO to make progress on policy development efforts and the work of the GNSO Council, as well as devote time to Stakeholder Group and Constituency meetings. As ICANN74 approaches, I would like to highlight a few GNSO initiatives that will be on the agenda for the Policy Forum as well as ongoing work that may interest you. Additional information about GNSO projects can be found in the following sections of this briefing.

During ICANN74, sessions will be held for key policy development initiatives and other GNSO projects. The Transfer Policy Review Policy Development Process (PDP) was initiated by the GNSO Council in March 2021 to determine if changes to the policy are needed to improve the ease, security, and efficacy of inter-registrar and inter-registrant transfers. As the working group prepares to deliver its Phase 1(a) Initial Report, it will hold a session to discuss and seek broader community input on some of the topics within its charter.

The GNSO Internationalized Domain Names (IDN) Expedited Policy Development Process (EPDP) is tasked with providing the GNSO Council with policy recommendations on 1) the definition of all TLDs and the management of variant labels to facilitate the delegation of variant gTLDs in the root zone, and 2) how the IDN Implementation Guidelines should be updated in the future. During ICANN74, the working group will meet to advance its deliberations.

The Registration Data Accuracy Scoping Team is planning a session at ICANN74 and is expected to deliver its write-up for assignments #1 (Enforcement & Reporting) and #2 (Measurement of Accuracy) to the Council shortly. The Council will consider the Scoping Team's write-up for assignments #1 and #2 as well as proposed next steps and timing at its ICANN74 meeting.

The GNSO Council's small team on next steps regarding DNS abuse has been operating for several months. One of its principal activities was to seek input from the community to help identify any DNS abuse-related issues that are not adequately mitigated and would benefit specifically from policy development. The small team is planning to hold a session where it can share its analysis of inputs received and discuss potential next steps it may propose to the Council.

In addition, work continues to support ICANN Board consideration of outputs from GNSO policy development efforts:

- Following ICANN org's delivery of the Operational Design Assessment (ODA) to support the Board's consideration of recommendations from Phase 2 of the EPDP on the Temporary Specification for gTLD Registration Data, the GNSO Council formed a small team consisting of Council members as well as EPDP Team members to assist the Council with its review of the ODA and to provide input on proposed next steps for the consultation process in which the Board and the Council are engaged. The small team and/or the GNSO Council may discuss this topic further during ICANN74.
- ICANN org is conducting an <u>Operational Design Phase (ODP)</u> to deliver an ODA on the affirmations, recommendations, and implementation guidance produced by the New gTLD Subsequent Procedures (SubPro) PDP. The ODP officially began in January 2022, and our liaison to the ODP is keeping the Council informed about its progress.

During ICANN74, the GNSO Council will hold its public meeting on Wednesday, 15 June, and a wrap-up session on Thursday, 16 June. Bilateral meetings of the GNSO will be held with the Governmental Advisory Committee (GAC) and with the Country Code Names Supporting Organization (ccNSO).

As part of its ongoing efforts to manage and streamline the different projects in its **pipeline**, the Council is conducting a pilot project called the Framework for Continuous Improvement Oversight and Implementation (CCOICI). The objective of this pilot is to test a framework that would allow for the continuous scoping and execution of projects that are focused on GNSO structural, procedural, and process improvements, as distinct from policy related work. The pilot initially focused on a review of the GNSO's Statement of Interest requirements and a review of the existing Working Group Self-Assessment model. The pilot has since been extended to enable the CCOICI to address Council-specific work items in relation to Work Stream 2.

The implementation of PDP 3.0 continues to mature. This initiative is aimed at introducing incremental improvements to enhance the efficiency and effectiveness of the PDP working groups, as well as to empower the GNSO Council to better serve in its role as the manager of policy development processes. While the GNSO Council scopes and initiates new GNSO policy development efforts, it is actively applying PDP 3.0 improvements to the design of this work.

ICANN74 will be a full meeting with ample opportunities to get involved. Whether you are attending in The Hague or via Zoom, I look forward to seeing you soon. I wish you all a productive – and safe – meeting.

Philippe Fouquart GNSO Chair

ICANN74 at a Glance

The GNSO Policy Support Team has developed this briefing document to help community members prepare for ICANN74. It provides an overview of the status of the GNSO PDP working groups and teams, as well as information about other GNSO policy-related activities, including PDP Implementation Review Teams (IRTs). The document includes links for additional background reading that will help you prepare for ICANN74 sessions. We hope it helps support active participation from all attendees.

Newcomers to ICANN and to the GNSO may be interested in online learning opportunities that will further help them make the most of the upcoming meeting. We highly recommend taking the "Get to Know the GNSO" course on <u>ICANN Learn</u>. The course will make it easier to navigate through the structure and content of this Policy Briefing with a better understanding of PDPs. All are encouraged to enroll.

Please note that any reference to meeting times in this document is provisional. Please consult the ICANN meeting schedule for the latest information.

ICANN74 Meeting Information

Meeting Page, including registration and schedule

General Remote Participation Information

GNSO Session Remote Participation Details

Expected Standards of Behavior

GNSO-Related Information

GNSO Council Policy Update Webinar

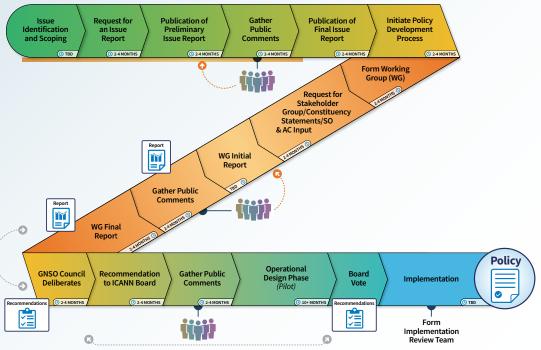
GNSO One-Stop Shop for ICANN Meetings

Project List

If you have any questions about, or suggestions for, this Policy Briefing or GNSO policy activities, please contact us at **policy-staff@ICANN.org**. We look forward to a productive meeting.

GNSO Policy Development Process Infographic

The GNSO Policy Development Process (PDP) governs the way in which the GNSO develops consensus policies relating to generic top-level domains. As shown in the graph below, a GNSO PDP lifecycle consists of different steps, including: issue identification; issue scoping; PDP initiation; working group; GNSO Council deliberation; ICANN Board vote; and policy implementation.



Some steps omitted for brevity and timing notations are estimates

The respective steps reached by the PDP working groups and implementation review teams are detailed in the following sections of the GNSO Policy Briefing.

For more details about the steps in the PDPs, see the following materials:

- ICANN Bylaws, Annex A
- Detailed Infographic of GNSO PDP
- PDP Manual

Policy Development Process: Transfer Policy Review

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

The working group will conduct one working session on Monday, 13 June 2022 at 10:30-12:00 CEST. Please check the ICANN74 meeting schedule for the confirmed time. During the session, the working group will discuss topics within its charter and seek broader community input.

WHAT IS THIS ABOUT?

On 18 February 2021, the GNSO Council <u>initiated</u> a two-phase policy development process (PDP) to review the Transfer Policy. This PDP is tasked to determine if changes to the policy are needed to improve the ease, security, and efficacy of inter-registrar and inter-registrant transfers. As recommended in the <u>Final Issue</u> <u>Report</u>, this PDP is addressing the following topics in sequence:

- Phase 1(a): The Gaining and Losing Forms of Authorization (FOA), AuthInfo Codes, and NACKing transfers
- Phase 1(b): The change of registrant
- Phase 2: The transfer emergency action contact and reversing inter-registrar transfers, Transfer Dispute Resolution Policy, and ICANN-approved transfers (Learn More)

The working group held its first meeting on 14 May 2021 and has made substantial progress on Phase 1(a).

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

The working group is preparing to publish its Initial Report on the PDP's Phase 1(a) topics: The Gaining and Losing Forms of Authorization (FOA), AuthInfo Codes, and NACKing transfers. The Initial Report is expected to be published for public comment shortly after ICANN74.



WHAT ARE THE EXPECTED NEXT STEPS?

Following the Public Comment period on the Initial Report, the working group will review the public comments received and consider whether any changes need to be made to its Phase 1(a) recommendations. The working group will begin Phase 1(b) of its work.

HOW CAN I GET INVOLVED?

Parties interested in the Transfer Policy Review PDP should coordinate with the members assigned by the respective Stakeholder Group, Constituency, Supporting Organization, or Advisory Committee to stay current and to communicate any input they believe may be useful to the Transfer Policy Review PDP's deliberations. Interested parties can also follow the working group's progress by signing up as an observer. Once the Public Comment period opens on the Initial Report, all are welcome to contribute input on the Phase 1(a) preliminary recommendations.

MORE INFORMATION

- PDP webpage
- PDP workspace

RESPONSIBLE STAFF: Emily Barabas, Julie Hedlund, Caitlin Tubergen, Berry Cobb

Expedited Policy Development Process: Internationalized Domain Names

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

The EPDP Team will conduct two working sessions: one on Monday, 13 June 2022 at 16:30-17:30 CEST, and the other one on Wednesday, 15 June 2022 at 10:30-12:00 CEST. Please check the ICANN74 meeting schedule for the confirmed time. During the sessions, the working group will discuss topics within its charter and seek broader community input.

WHAT IS THIS ABOUT?

On 20 May 2021, the GNSO Council approved the <u>initiation request</u> for the EPDP on Internationalized Domain Names (IDNs) and adopted its <u>charter</u> at the same time. The EPDP is expected to provide the GNSO Council with policy recommendations on:

- The definition of all TLDs and the management of variant labels to facilitate the delegation of variant gTLDs in the root zone, while achieving the security and usability goal of variant labels in a stable manner.
- How the IDN Implementation Guidelines, which Contracted Parties are required to comply with, should be updated in the future.

The charter includes a total of 48 questions under seven broader topics, ranging from the "same entity" requirement at the top and second levels to adjustments to ICANN policies and procedures as they relate to IDNs. (Learn More)

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

The EPDP Team held its first meeting on 11 August 2021 and is in the early stages of its work.

The EPDP Team received <u>early written input</u> from the Country Code Names Supporting Organization (ccNSO), GNSO's Registries Stakeholder Group (RySG), and the Security and Stability Advisory Committee (SSAC) on topics contained in its charter. In January 2022, the EPDP Team conducted an engagement session with SSAC members to discuss their input and exchange views on specific charter questions. These inputs and views were memorialized in <u>SAC120</u>, which was published in April 2022.



By the end of May 2022, the EPDP Team completed its first pass of substantive deliberations on Group 1 charter questions related to the definition of all gTLDs using the Root Zone Label Generation Rules (RZ-LGR). It also completed the initial deliberation of seven out of nine charter questions in Group 2, which is related to the "same entity" principle at the top-level.

Currently, the team is proceeding with its initial deliberations on Group 3 charter questions regarding the impact of variant implementation on the evaluation, objection, string contention, and other New gTLD program processes. To facilitate its deliberations, the Team is conducting outreach activities to seek critical external input, such as a survey among Arabic and Chinese gTLD registry operators and a consultation with Chinese, Japanese, and Korean Generation Panels. A small group within the EPDP has also been formed to tackle string similarity review related deliberations.

To ensure a consistent solution for variant TLDs is to be developed, the EPDP Team monitors the activities in the ccNSO's ccPDP4 on the (de-)selection of IDN ccTLD Strings. In April 2022, it received an update on ccPDP4's preliminary recommendations on variant management of IDN ccTLDs.

WHAT ARE THE EXPECTED NEXT STEPS?

The EPDP Team will continue its substantive deliberations, seeking to reach preliminary outcomes for each group of charter questions. The EPDP Team will work toward draft responses to charter questions and recommendations, which will be compiled into an Initial Report. The Initial Report will be published for public comment.

HOW CAN I GET INVOLVED?

Parties interested in the EPDP on IDNs should coordinate with the members assigned by the respective Stakeholder Group, Constituency, Supporting Organization, or Advisory Committee to stay current and to communicate any input they believe may be useful to the EPDP's deliberations. The EPDP's "representative + open" membership model allows interested parties to join as a participant and take part in EPDP deliberations substantively, with the exception of when an official position is needed from the relevant organization or taking part in the consensus call. Interested parties are also able to follow the working group's progress by signing up as observers.

MORE INFORMATION

- EPDP webpage
- EPDP workspace

RESPONSIBLE STAFF: Ariel Liang, Steve Chan, Emily Barabas

Expedited Policy Development Process: Specific Curative Rights Protections for IGOs

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

In April 2019, the GNSO Council voted to adopt Recommendations 1-4 of the **Final Report** of the International Governmental Organization and International Non-Governmental Organization (IGO-INGO) Access to Curative Rights Protection Mechanisms Policy Development Process, but elected to refer Recommendation 5 to the Review of All Rights Protection Mechanisms (RPMs) in All gTLDs Policy Development Process (PDP) Working Group. In January 2020, the GNSO Council adopted an Addendum to the RPMs charter to integrate consideration of Recommendation 5 and initiate a separate Intergovernmental Organizations (IGO) Work Track. In August 2021, the GNSO Council took the procedural step of initiating an EPDP to continue the work of the IGO Work Track. The EPDP Team delivered its Final Report to the Council on 4 April 2022 with consideration of the report expected in May. With the Final Report completed, the EPDP Team will not be meeting at ICANN74.

WHAT IS THIS ABOUT?

The GNSO Council tasked the IGO Work Track, now EPDP Team, to consider whether an appropriate policy solution can be developed that is generally consistent with Recommendations 1-4, allows for the possibility that an IGO may have jurisdictional immunity in some circumstances, and respects a registrant's right to seek judicial review of an initial Uniform Domain-Name Dispute-Resolution Policy (UDRP) or Uniform Rapid Suspension (URS) decision. (Learn More)

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

IGO-INGO Access to Curative Rights Protection Mechanisms PDP (provided for context) The original PDP working group submitted its Final Report to the GNSO Council on 9 July 2018. The GNSO Council resolved to accept the Final Report on 19 July 2018. However, the Council did not take final action on the report at that time, noting that it would seek to consider the topic of curative rights protections for IGOs in the broader context of the appropriate overall scope of protection for all IGO identifiers.



The GNSO Council's deliberations included consultation with the Governmental Advisory Committee (GAC). On 18 April 2019, the Council resolved to approve Recommendations 1-4 of the Final Report and refer Recommendation 5 to the Review of All RPMs in All gTLDs PDP Working Group as part of its Phase 2 work.

On 16 May 2019, the GNSO Council transmitted its Recommendations Report (relating to Recommendations 1-4) to the ICANN Board. ICANN org conducted a **Public Comment** consultation process for Recommendations 1-4 from 11 July to 20 August 2019. The GAC sent a <u>letter</u> to the GNSO Council, noting the Council's approval of Recommendations 1-4 and referring Recommendation 5 to the Review of All RPMs in All gTLDs PDP Working Group to ensure it is consistent with GAC advice.

EPDP on Specific Curative Rights Protections for IGOs

Following its referral of Recommendation 5 to the Review of All RPMs in All gTLDs PDP, the GNSO Council prepared a draft Addendum to the RPMs charter and consulted with the GAC and IGO representatives. Subsequently, the GNSO Council adopted the <u>Addendum</u> in January 2020 to initiate a separate IGO Work Track.

In September 2020, the GNSO Council conducted an Expression of Interest process for the IGO Work Track Chair and issued a call for volunteers to the various community groups to appoint members to the work track. Members of the work track met for the first time on 22 February 2021 and made significant progress on preliminary draft recommendations. Following the procedural step noted above to initiate an EPDP to complete the IGO Work Track's work, the EPDP Team published its **Initial Report for Public Comment** on 14 September 2021. The EPDP Team has considered the comments received and made changes to its report as necessary. The Final Report and its five recommendations were delivered to the GNSO Council on 4 April 2022.

WHAT ARE THE EXPECTED NEXT STEPS?

The ICANN Board is now considering Recommendations 1-4, taking into account Public Comments received. With regard to Recommendation 5, with the EPDP Team having delivered its Final Report to the GNSO Council, the next step will be Council consideration and, if approved, Board consideration of the recommendations.

HOW CAN I GET INVOLVED?

With the EPDP Team having delivered its Final Report, involvement opportunities will depend on next steps and outcomes. If the recommendations are approved by the Council, a Public Comment period will be conducted, to be taken into account by the ICANN Board in their consideration of the recommendations.

MORE INFORMATION

- IGO-INGO Access to Curative Rights Protection Mechanisms PDP webpage
- <u>IGO-INGO Access to Curative Rights Protection Mechanisms PDP workspace</u>
- **EPDP on Specific Curative Rights Protections for IGOs workspace**

RESPONSIBLE STAFF: Mary Wong, Steve Chan, Berry Cobb

Policy Development Process: New Generic Top-Level Domain Subsequent Procedures

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

The New Generic Top-Level Domain (gTLD) Subsequent Procedures (SubPro) Policy Development Process (PDP) Working Group will not be meeting at ICANN74 as it has completed its work. The GNSO Council adopted its <u>Final Report</u> in February 2021 and sent the PDP outputs and <u>Recommendations Report</u> to the ICANN Board for review.

WHAT IS THIS ABOUT?

This PDP sought to determine if any changes needed to be made to the existing policy recommendations from the 2007 <u>Final Report on the Introduction of New Generic</u> <u>Top-Level Domains</u>. (Learn More)

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

Following the **Public Comment** proceeding on the Final Report to seek community input prior to the ICANN Board action on PDP's outputs, the Board directed ICANN org to initiate an **Operational Design Phase (ODP)** for the Final Report outputs. The ODP began on 3 January 2022. The Council is staying current via frequent updates from its liaison to the ODP Team.

As the ODP progresses, the GNSO Council is considering whether it may be appropriate to conduct additional policy work, possibly in the form of a GNSO Guidance Process (GGP), to conduct supplemental work on specific Subsequent Procedures topics while the ODP takes place. The topics would fall broadly into two categories: 1) areas where the SubPro Final Report recommendations envisioned substantive work during implementation, and 2) areas where the ODP has determined additional guidance will be needed if and when implementation is commenced.

In addition, on 6 March 2022 the ICANN Board sent a <u>letter</u> and <u>framing paper</u> to the GNSO Council proposing a Board-facilitated process for a GAC-GNSO Council dialogue on the topic of Closed Generics. Closed Generics was one of the topics within the Subsequent Procedures PDP WG's charter, but the working group was unable to come to an agreement on recommendations for this topic. The GNSO Council provided an initial <u>response</u> to the Board that it is willing to pursue next steps on a facilitated dialogue.



continued POLICY DEVELOPMENT PROCESS: NEW GENERIC TOP-LEVEL DOMAIN SUBSEQUENT PROCEDURES

WHAT ARE THE EXPECTED NEXT STEPS?

Following the ODP, the ICANN Board is expected to act on the approved policy recommendations in accordance with the Bylaws.

HOW CAN I GET INVOLVED?

Please stay tuned for additional information about future opportunities to engage on this topic.

MORE INFORMATION

- PDP webpage
- PDP workspace
- ODP webpage

RESPONSIBLE STAFF: Steve Chan, Emily Barabas, Julie Hedlund

Expedited Policy Development Process: Temporary Specification for gTLD Registration Data - Phase 2(SSAD)

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

Following the delivery of the Operational Design Assessment (ODA) on the System for Standardized Access/Disclosure (SSAD), the GNSO Council formed a small team consisting of Council members as well as EPDP Team members to assist the Council with its review of the ODA and provide input on proposed next steps in the consultation process that the Board and the Council are engaged in. The small team and/or GNSO Council may discuss this topic further during ICANN74. Time has been set aside on Monday, 13 June 2022 at 13:15-14:30 CEST to allow for further discussion to take place.

WHAT IS THIS ABOUT?

On 17 May 2018, the ICANN Board approved the Temporary Specification for generic top-level domain (gTLD) Registration Data to allow contracted parties to comply simultaneously with existing ICANN contractual requirements and the European Union's General Data Protection Regulation (GDPR). This Board action triggered the GNSO Council's initiation of a PDP on 19 July 2018. The PDP was conducted in two phases: Phase 1 aimed to confirm, or not, the Temporary Specification by 25 May 2019; Phase 2 discussed, among other elements, a standardized access model to nonpublic registration data. Phase 2A discussed the differentiation of legal and natural persons' registration data and the potential feasibility of unique contacts having a uniform anonymized email address. (Learn More)

WHAT IS THE CURRENT STATUS OF THIS PROJECT? Phase 2

The GNSO Council approved the **Phase 2 Final Report** during its meeting on 24 September 2020 by supermajority vote. The Final Report details the EPDP Team's recommendations for a System for Standardized Access/Disclosure (SSAD) to nonpublic gTLD registration data, as well as recommendations and conclusions for the so-called "Priority 2" topics, which include data retention, city field redaction, etc. With respect to the Priority 2 topics (recommendations not related to the SSAD), the Board **adopted** the four Priority 2 recommendations during its meeting on 21 June 2021.



As part of its approval of the SSAD recommendations, the GNSO Council agreed to request a consultation with the ICANN Board. The purpose of the consultation is to discuss the financial sustainability of the SSAD and some of the concerns expressed within the different minority statements. Those issues include whether a further cost-benefit analysis should be conducted before the ICANN Board considers all SSAD-related recommendations for adoption. During ICANN70, the Board directed the ICANN org to initiate an Operational Design Phase (ODP) for the SSAD-related recommendation.

ICANN org delivered the <u>Operational Design Assessment (ODA)</u> to the ICANN Board on 25 January 2022. The Council met with the ICANN Board on 27 January (see <u>recording</u>) as the next step in its consultation related to concerns around the financial sustainability of the SSAD. In advance of that meeting, the ICANN Board sent the GNSO Council a <u>letter</u> outlining some of its concerns and further questions for the Council.

The GNSO Council then formed the EPDP Phase 2 Small Team to consider the concerns outlined in the ICANN Board letter, and, with these concerns in mind, to analyze the SSAD Operational Design Assessment and provide the Council with its feedback on:

- Whether the ODA has correctly interpreted the intent of the SSAD recommendations in the proposed implementation
- Whether the ODA has overlooked any key aspects of the SSAD recommendations that should be factored in by the ICANN Board when it considers the recommendations
- Its view on the concerns identified by the ICANN Board and potential options that could be considered, either in the form of changes to the proposed implementation or the policy recommendations themselves, to address these concerns (note, these are expected to be high-level suggestions at this stage)
- Any other aspects that help inform the Council's deliberations and consultation with the ICANN Board.

The small team submitted its **preliminary report** to the GNSO Council on 4 April 2022. During its meeting on 14 April, the Council reviewed the preliminary report and the small team's recommendation to request that the ICANN Board instruct ICANN org to further develop the proposed design for SSAD Light. The small team recommended receiving further information on the SSAD Light because it is intended to provide further data that will help inform the questions regarding the cost/benefit of the SSAD. The Council sent **its response** to the ICANN Board on 27 April, requesting that the ICANN Board pause the consideration of the SSAD Light.

WHAT ARE THE EXPECTED NEXT STEPS?

The ICANN Board is expected to consider the SSAD-related recommendations following its review of the Operational Design Assessment (ODA). The ICANN org delivered the ODA to the Board on 25 January 2022. After their review of the ODA, the Board and GNSO Council are in the process of further considering next steps to help inform the consideration of the SSAD recommendations.

HOW CAN I GET INVOLVED?

For more information on the SSAD ODP, please visit this **page**. For more information about the EPDP Phase 2 small team, please see this **here**.

MORE INFORMATION

- EPDP Phase 2 webpage
- <u>EPDP webpage</u>
- SSAD ODP webpage

RESPONSIBLE STAFF: Caitlin Tubergen, Marika Konings, Berry Cobb

Policy Implementation Update

Implementation review teams (IRTs) are convened to assist ICANN org in developing the implementation details for the Board-approved policy developed in GNSO PDP working groups. This is to ensure that the implementation conforms to the intent of the policy recommendations, as per IRT Principles & Guidelines. (Learn More)

Registration Data Policy for gTLDs (EPDP PHASE 1 IMPLEMENTATION)

The Implementation Review Team (IRT) will conduct one working session at ICANN74, on Monday, 13 June 2022 at 15:00-16:00 CEST. Please check the ICANN74 meeting schedule for the confirmed time.

Three stages of the policy implementation were designed in advance of the Board resolution:

- Stage 1: Effective 20 May 2019, contracted parties must continue to implement measures consistent with the Temporary Specification for gTLD Registration Data, as adopted by the Board on 17 May 2018.
- Stage 2: This stage will begin after the ICANN org publishes a Registration Data Policy as a Consensus Policy and formally notifies the contracted parties. During this stage, contracted parties may implement the Interim Policy, the Registration Data Policy, or elements of both, as they prepare for the effective date of the Registration Data Policy. The timing of this milestone is to be determined.
- Stage 3: Contracted parties must comply with the Registration Data Policy as of its effective date, which the Expedited Policy Development Process (EPDP) Team on the Temporary Specification for gTLD Registration Data recommended to be 29 February 2020.

The Stage 1 interim Consensus Policy named <u>Interim Registration Data Policy for</u> gTLDs was published on 17 May 2019.



The IRT is now working on the implementation plan for Stage 2. The process steps include drafting the complete gTLD Registration Data Policy and agreeing on a timeline that can be shared for Public Comment (including the anticipated implementation time for the contracted parties). The IRT has been focusing on:

- deliberations to resolve conflicting interpretations of some of the recommendations
- evaluation of the requirements set by the policy language for technical feasibility
- projection of the scope and time for the tasks to be implemented
- assessment of the critical path to define a realistic implementation timeline

After the implementation plan has been formulated, it will be published for Public Comment.

In January 2021, the GNSO Council passed a <u>motion</u> to provide guidance on the interpretation of EPDP Phase 1 Recommendation 7. In October 2021, the ICANN Board directed the implementation of the policy per the guidance. In June 2021, the Board <u>adopted Recommendations 19-22</u> from the EPDP Phase 2 <u>Final Report</u>. The implementation of these recommendations, referred to as Priority 2 items, were added to the Implementation scope. On 24 February 2022, the Board <u>adopted</u> the GNSO Council's Supplemental Recommendation for EPDP Recommendation 12, concerning the deletion of data in the Organization field as it addresses the Board's overarching concern of loss of essential data.

This IRT is open to all. If you believe you have the requisite expertise, you may join as either a member (with full posting rights to the mailing list and the ability to participate in all meetings) or as an observer (with read-only status for the mailing list). If you are interested in joining, please follow the instructions in the <u>"Call for</u> <u>IRT"</u> announcement. The IRT meets every other week via teleconference and in person at ICANN Public Meetings.

MORE INFORMATION

- EPDP Phase 1 background
- Policy Implementation Status webpage
- <u>IRT workspace</u>

RESPONSIBLE STAFF: Dennis Chang

The following Implementation Review Teams (IRTs) do not plan to meet at ICANN74

Registration Data Policy for gTLDs (EPDP Phase 2A Implementation)

The ICANN Board approved the EPDP Phase 2A policy recommendations on 10 March 2022. As set forth in Section 3 of the Final Report, the recommendations include: 1) a recommendation that a field or fields MUST be created to facilitate differentiation between legal and natural person registration data and/or if that registration data contains personal or nonpersonal data. ICANN org is expected to coordinate the implementation of this recommendation with the technical community. Once created, this field(s) is optional for use by contracted parties that choose to differentiate. Contracted parties that choose to differentiate are not required to use the field(s); 2) a recommendation that includes guidance developed by the EPDP team to assist contracted parties that choose to differentiate; 3) a recommendation that, should any possible future work within ICANN be undertaken in relation to the development of a GDPR Code of Conduct, the guidance developed concerning legal vs. natural differentiation should be considered; and 4) a recommendation that includes guidance for those contracted parties who choose to publish a pseudonymized registrant-based or registration-based email address in the publicly accessible registration data directory services (RDDS).

The ICANN Board has directed ICANN org to develop and execute an implementation plan for the above adopted recommendations that is consistent with the guidance provided by the GNSO Council and to continue communication with the community on such work. Implementation planning is now underway.

ICANN | GNSO: POLICY BRIEFING ICANN74 | JUNE 2022

Privacy and Proxy Services Accreditation Issues

The IRT for the **policy recommendations** developed by the Privacy Proxy Services Accreditation Issues (PPSAI) Policy Development Process (PDP) Working Group began meeting in October 2016. It has reviewed the draft Registrar Accreditation Agreement (RAA) and other associated program materials in preparation for the Public Comment phase.

On <u>4 March 2019</u>, ICANN org wrote to the GNSO Council with the suggestion to wait before proceeding to Public Comment and implementation of the PPSAI recommendations until the completion of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data. The GNSO Council deferred the decision on this issue to ICANN org in a <u>message</u> on 30 April 2019. In its <u>response</u> on 5 September 2019, ICANN org confirmed that implementation work would remain paused pending the resolution of EPDP Phase 2.

With both phases of the EPDP complete, ICANN org provided its <u>draft review</u> of the impact of the EPDP recommendations on existing policies and procedures, including the PPSAI recommendations, per the EPDP Phase 1 Recommendation 27. Following review by the EPDP Phase 1 IRT, the <u>report</u> was shared with the GNSO Council to help determine next steps. In its <u>7 July 2021 letter</u>, the GNSO Council indicated an expectation that implementation would continue. ICANN org is now considering next steps for the PPSAI implementation within the org's planning activities, factoring in existing and upcoming activities, as well as direction from the Board.

MORE INFORMATION

- PDP background
- Policy Implementation Status webpage
- IRT workspace

RESPONSIBLE STAFF: Cyrus Jamnejad

Translation and Transliteration of Contact Information

The ICANN Board <u>adopted</u> the recommendations of the PDP working group in September 2015. Since commencing implementation work in July 2016, the IRT and Global Domains and Strategy (GDS) have produced a <u>preliminary policy</u> <u>document</u>. Due to complexities emerging from the IRT's discussions and work in other areas related to registration data directory services (RDDS) – in particular the <u>Temporary Specification for gTLD Registration Data Expedited Policy</u> <u>Development Process</u> (EPDP)—the implementation's projected announcement and effective dates are to be determined.

Given the implementation's relationship to the evolution of registration data policies and procedures, the PDP working group's recommendations are being assessed per Recommendation 27 of the Expedited Policy Development Process (EPDP) on the Temporary Specification for gTLD Registration Data Phase 1 Team's <u>Final Report</u> to ensure policy and implementation consistency across the many work streams in this area.

Both phases of the EPDP are now complete. The ICANN org has provided its draft review of the impact of the EPDP recommendations on existing policies and procedures, including the Translation and Transliteration of Contact Information recommendations, per the EPDP Phase 1 Recommendation 27. Following review by the EPDP Phase 1 IRT, ICANN org provided the **report** to the GNSO Council. ICANN org is considering next steps regarding the GNSO Council's consideration of the EPDP Phase 1 Recommendation 27, Wave 1.5 Report, per its **letter** dated 7 July 2021. This includes incorporating next steps on the Translation and Transliteration of Contact Information recommendations into ICANN org's planning activities, and factoring in timing and prioritization of work with other existing activities.

MORE INFORMATION

- PDP background
- Policy Implementation Status webpage
- IRT workspace

RESPONSIBLE STAFF: Isabelle Colas

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Protection of IGO and INGO Identifiers in All gTLDs

On 16 January 2018, the **implementation** of the Consensus Policy for the Protection of Certain Specific International Governmental Organization (IGO) and International Non-Governmental Organization (INGO) Identifiers for All gTLDs was published. As of 1 August 2018, implementation has been completed for the portion of the policy that provides protection by reserving full names for certain specific names of IGOs, the International Olympic Committee (IOC), and the Red Cross and Red Crescent Movement (RCRC). For INGOs, the implementation period will be 12 months from the release of the INGO Claims Systems Specification, which is currently under development by the ICANN org.

In January 2019, the ICANN Board <u>adopted</u> the policy recommendation proposed by the Reconvened PDP Working Group on the Protections of the Specific Red Cross Red Crescent Names in All gTLDs. After the <u>Public Comment</u>, the <u>consensus policy language</u> was revised and published in February 2020. The implementation was completed by 1 August 2020, which resulted in increasing the number of <u>reserved Domain Name System (DNS) Labels</u> from 700 to over 7,000.

The INGO Claims System implementation is currently on hold due to consideration of potential additional requirements that could be combined to create this new system. ICANN org is consulting with the Implementation Review Team (IRT) on the timing of the restart.

MORE INFORMATION

- PDP background
- Policy Implementation Status webpage
- IRT workspace

RESPONSIBLE STAFF: Dennis Chang

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Review of All Rights Protection Mechanisms in All gTLDs Phase 1

On 24 November 2020, the PDP working group submitted its **Phase 1 Final Report** that contains a total of 35 recommendations. On 21 January 2021, the GNSO Council unanimously **approved** the Phase 1 Final Report and sent the **Recommendations Report** to the ICANN Board of Directors on 19 March 2021. A **Public Comment** proceeding on the Phase 1 Final Report was conducted from 7 April to 21 May 2021. The **staff report** was published on 4 June 2021.

On 16 January 2022, the ICANN Board voted to **adopt** all 35 final Phase 1 PDP recommendations. The resolution includes the following Board directives to the ICANN org:

- For the 16 recommendations that call for updates to existing operational practices proceed with implementation as soon as feasible and develop an implementation plan.
- For the nine recommendations affirming the status quo include them for future expansions of the new gTLDs and inform the community about the plans to implement them.
- For the six recommendations that require substantial resourcing and multiple stakeholders' involvement develop an implementation plan that includes estimated timing, staffing, and other resources required, as well as how their implementation fits into operational planning and prioritization of the anticipated implementation efforts that will require the ICANN org's resourcing and support.
- For the four recommendations that call for specific changes to the Applicant Guidebook and/or the Base Registry Agreement and coordination with the expected Subsequent Procedures IRT – incorporate the implementation of these recommendations into the work on updates to the Applicant Guidebook for subsequent new gTLD rounds.

Following the Board's vote, ICANN's GDS function began planning for implementation of the Phase 1 recommendations.

RESPONSIBLE STAFF: Antonietta Mangiacotti

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Accuracy Scoping Team

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

The Scoping Team is expected to conduct a working session on Tuesday, 14 June 2022 at 13:15-14:30 CEST. During this session, the Scoping Team is expected to make further progress on the assignments that the GNSO Council provided in its **instructions**.

WHAT IS THIS ABOUT?

The GNSO Council formally adopted the **formation of and instructions** to the Accuracy Scoping Team during its meeting on 22 July 2021. The Scoping Team has been tasked to consider a number of accuracy-related aspects such as current enforcement and reporting, measurement of accuracy, and effectiveness. These considerations are expected to help inform its deliberations and the development of recommendations to the GNSO Council on whether any changes are recommended to improve accuracy levels, and if so, how and by whom these changes would need to be developed (for example, if changes to existing contractual requirements are recommended, a PDP or contractual negotiations may be necessary to effect a change). Each Stakeholder Group, Constituency, Supporting Organization, and Advisory Committee has been invited to appoint **representatives** to this effort.

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

The Scoping Team commenced its deliberations in October 2021. Following **its review of the index of relevant resources**, the team has focused its efforts on assignment #1 (enforcement and reporting) and #2 (measurement of accuracy). Most recently, the Scoping Team has been working on its write-up for assignments #1 and #2, including a number of proposals to measure the state of accuracy. The Team has explored a number of proposals but has limited its focus for now to those proposals that do not involve access to gTLD registration data. The Board has directed ICANN org to develop scenarios for how ICANN org could obtain legitimate access to gTLD registration data to confirm accuracy that could be tested with the European Data Protection Board (EDPB). Once a response has been received from the EDPB, this is expected to help inform further consideration of proposals that do involve access to registration data. Additional data gathered is expected to help inform the consideration of assignment #3 (Effectiveness) and assignment #4 (Impact & Improvements).

WHAT ARE THE EXPECTED NEXT STEPS?

Following the delivery of the write-up for assignments #1 and #2, the Council will consider the Scoping Team's proposals for data gathering and confirm next steps.

MORE INFORMATION

- Scoping Team instructions
- <u>Scoping Team workspace</u>

RESPONSIBLE STAFF: Marika Konings, Caitlin Tubergen, Berry Cobb

Council Small Team: Considering Next Steps Regarding DNS Abuse

WHAT CAN I EXPECT AT ICANN74 IN RELATION TO THIS TOPIC?

The small team is expected to conduct one working session on Thursday, 16 June 2022 at 13:15-14:30 CEST. Please check the ICANN74 meeting schedule for the confirmed time. During this session, the small team is expected to discuss its analysis of community input received and potentially proposed next steps for Council consideration.

WHAT IS THIS ABOUT?

The GNSO Council agreed during ICANN72 that it wished to initiate a small team on DNS abuse, to help recommend next steps for the Council, if any. The small team received an **assignment form**, which recognized the numerous community efforts already underway focusing on DNS abuse, but sought to focus the team's attention on the narrow question of whether there are DNS abuse issues that are inadequately mitigated and best addressed specifically via policy development.

WHAT IS THE CURRENT STATUS OF THIS PROJECT?

The small team began meeting in February 2022 and as its first step, sent outreach to community groups, asking them to articulate what DNS abuse problem(s) exist that specifically require policy development to be properly addressed. The small team received inputs from the At-Large Advisory Committee (ALAC), Governmental Advisory Committee (GAC), Security and Stability Advisory Committee (SSAC), the DNS Abuse Institute, and a number of the GNSO's Stakeholder Groups and Constituencies. During this time, the small team also reached out to ICANN Compliance with a number of questions, with the intention of better understanding the landscape of contractual enforcement of DNS abuse-related provisions. The small team is considering the input received from the various community groups and taking into account the responses from ICANN Compliance.

WHAT ARE THE EXPECTED NEXT STEPS?

In reviewing the input received, the small team will seek to summarize its analysis of that input, identify themes if any, and determine if the small team is able to make any recommendations to the GNSO Council for next steps.

MORE INFORMATION

<u>Small Team Assignment Form</u>

RESPONSIBLE STAFF: Steve Chan, Marika Konings

GNSO Schedule for ICANN74

Please confirm against the <u>final schedule</u> as changes may occur. For remote participation details, please check this <u>wiki page</u>, created by the GNSO Support Team. Live audio streaming, recording, and transcripts will become available after the meetings. Unless specified with the symbol [C], sessions published in this table are open to all. At the time of publishing, virtual meeting rooms have not yet been finalized. Please see the final schedule for further information.

DATE	MEETING
Monday, 13 June 2022	Contracted Party House (CPH) & ICANN Staff Roles and Responsibilities Discussion Group [C]
	Transfer Policy Review (TPR) Policy Development Process (PDP) Working Group
	Expedited Policy Development Process (EPDP) Phase 2 System for Standardized Access/ Disclosure (SSAD)
	Registration Data Policy Implementation Review Team (IRT)
	Joint Meeting: Governmental Advisory Committee (GAC) and GNSO Council
	EPDP on Internationalized Domain Names (IDNs) (1 of 2)
Tuesday, 14 June 2022	Intellectual Property Constituency (IPC) Membership Meeting
	Joint Meeting: Country Code Name Supporting Organization (ccNSO) and GNSO Councils
	CPH RA/RAA Amendment Discussion with ICANN staff (1 of 2) [C]
	Registration Data Accuracy Scoping Team
	CPH Membership Meeting (1 of 2)
	Non-Commercial Stakeholder Group (NCSG) Policy Committee Meeting
	GNSO Informal Council Session [C]
Wednesday, 15 June 2022	CPH Membership Meeting (2 of 2)
	EPDP on IDNs (2 of 2)
	GNSO Council Public Meeting
	CPH Domain Name System (DNS) Abuse Outreach Session

DATE	MEETING
Thursday, 16 June 2022	CPH RA/RAA Amendment session (2 of 2) [C]
	NCSG Membership Meeting
	Joint Session: Not-for-Profit Operational Concerns (NPOC) and Non-Commercial Users Constituency (NCUC) Meeting
	CPH with ICANN Staff Roles and Responsibilities Discussion (2 of 2) [C]
	Internet Service Providers and Connectivity Providers (ISPCP) Membership Meeting
	GNSO Council DNS Abuse Small Team Meeting
	GNSO Council Wrap-Up
	Business Constituency (BC) Membership Meeting

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Generic Names Supporting Organization

GNSO.ICANN.ORG