Next-Generation gTLD Registration Directory Services to Replace WHOIS Preliminary Issue Report

Marika Konings | GNSO Council Meeting | 23 July 2015
On 26 May, 2015, the ICANN Board passed a resolution adopting the Process Framework developed by the EP-WG, consisting of Board and GNSO Council members, and reaffirming its 2012 request for a Board-initiated PDP to define the purpose of collecting, maintaining and providing access to gTLD registration data, and to consider safeguards for protecting data, using the recommendations in the EWG's Final Report as an input to, and, if appropriate, as the foundation for a new gTLD policy.


Preliminary Issue Report

1. Extensive background information on the issue
2. Additional input relevant to the PDP (e.g. GAC communiqués, Art. 29 WP letters, WHOIS Studies, SG/C views)
4. Introduces process framework to address the many significant and interdependent policy areas
5. Discussion of Proposed Issues
6. Draft PDP WG Charter
The EP-WG recommends a 3-Phase PDP WG approach:

Groups and sequences principles in the EWG’s Final Report

- Phase 1: Policy Requirements Definition (IF & WHY)
- Phase 2: Policy Functional Design (WHAT)
- Phase 3: Implementation Guidance (HOW)

Pre-WG Steps: New Issue Report (including needed inputs and draft PDP WG Charter); Public Comment; Final Issue Report; GNSO Council consideration; PDP WG formation.

Post-WG Steps: GNSO Council and Board Approval; IRT Formation; Implementation informed by PDP WG guidance
Input to PDP WG

Policy Development Process (PDP) Working Group

Output of PDP WG

Pre-WG Steps: Issue Report & Input Development

Phase 1: Policy - Requirements

Phase 2: Policy - Functional Design

Phase 3: Implementation & Coexistence Guidance

Post-WG Steps: Approvals IRT Formation Implementation

A...Z indicates proposed order to reflect inter-dependencies

△ indicates GNSO Council decision points (see page 12)
Oversight
- GNSO Council should **approve Phase 1 outputs** before the PDP WG proceeds to Phase 2
- To ensure alignment with Phase 1 requirements, oversight should be provided by **GNSO PDP WG coordination team**

Timeline
- To foster sustained progress and timely completion, the WG should work towards a **defined timeline and targets**
- Phases 2-3 contain opportunities for parallel progress, sequenced for **inter-dependencies**, subject to **resourcing**

Methodology
- In addition to regular calls, PDP WG may hold **periodic face-to-face meetings**, including subteam and plenary meetings
Input Requested

- Community input particularly with regards to information that may be missing from this Preliminary Issue Report, or necessary corrections or updates to information in the Preliminary Issue Report, including PDP WG Charter.

- Any issues that should be explored in addition to those already described:
  - Users/Purposes: Who should have access to gTLD registration data and why?
  - Gated Access: What steps should be taken to control data access for each user/purpose?
  - Data Accuracy: What steps should be taken to improve data accuracy?
  - Data Elements: What data should be collected, stored, and disclosed?
  - Privacy: What steps are needed to protect data and privacy?
  - Coexistence: What steps should be taken to enable next-generation RDS coexistence with and replacement of the legacy WHOIS system
  - Compliance: What steps are needed to enforce these policies?
  - System Model: What system requirements must be satisfied by any next-generation RDS implementation?
  - Cost: What costs will be incurred and how must they be covered?
  - Benefits: What benefits will be achieved and how will they be measured?
  - Risks: What risks do stakeholders face and how will they be reconciled?
Where are we in the PDP process?

GNSO PDP Materials: http://gnso.icann.org/en/node/31379/

We are at this stage of a board-initiated PDP. More specifically…
## Where are we in the PDP process?

<table>
<thead>
<tr>
<th>Date</th>
<th>Organization</th>
<th>Event</th>
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</thead>
<tbody>
<tr>
<td>Nov 2012</td>
<td>Board</td>
<td>Direct preparation of a (PDP) Issue Report</td>
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<td>Nov 2012</td>
<td>Board</td>
<td>Launch the EWG</td>
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<tr>
<td>Mar 2013</td>
<td>Staff</td>
<td>PDP - Preliminary Issue Report</td>
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<td>Mar-Apr 2013</td>
<td>Community</td>
<td>Public Comment Forum (on above)</td>
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<td>Jun 2013</td>
<td>EWG</td>
<td>EWG Initial Report</td>
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<td>Jun-Aug 2013</td>
<td>Community</td>
<td>Public Comment Forum, Consultations (on above)</td>
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<tr>
<td>Nov 2013</td>
<td>EWG</td>
<td>EWG Update Report</td>
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<tr>
<td>Dec-Feb 2014</td>
<td>Community</td>
<td>Public Comment Forum, Consultations (on above)</td>
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<td>Jun 2014</td>
<td>EWG</td>
<td>EWG Final Report</td>
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<td>April 2015</td>
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<td>July – August</td>
<td>Community</td>
<td>Public Comment Period on New Issue Report</td>
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<tr>
<td>2015</td>
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<td>September 2015</td>
<td>Staff</td>
<td>Final Issue Report reflecting Public Comments</td>
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<tr>
<td>October 2015</td>
<td>GNSO Council</td>
<td>Refine Charter for PDP Working Group (if needed)</td>
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<tr>
<td></td>
<td>GNSO Council</td>
<td>Adopt Charter (start of PDP WG process)</td>
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• ICANN Board Resolutions regarding Next-Generation gTLD RDS: [https://www.icann.org/resources/board-material/prelim-report-2012-11-08-en](https://www.icann.org/resources/board-material/prelim-report-2012-11-08-en) [https://www.icann.org/resources/board-material/resolutions-2015-04-26-en#1.f](https://www.icann.org/resources/board-material/resolutions-2015-04-26-en#1.f)
Questions?