Pre-ICANN 51 Policy Update Webinar
2 October 2014
Introduction

David Olive

Vice President for Policy Development Support
ICANN 51 Outlook

• Leadership Training Program 2014
  – 8-10 October following the successful pilot in 2013
  – 23 Community leaders from the majority of AC/SO/SGs and Board
  – Interactive course that includes facilitation skills training and an orientation on ICANN polices and processes
• Monday, 13 October
  o Welcome Ceremony (08:30—10:00)
  o SO/AC High-Interest Topic Session (10:30—12:00)
• Tuesday, 14 October
  o Constituency Day sessions
  o Music Night (20:30—24:00)
• Wednesday, 15 October
  o GAC Open Forum (08:00—09:30)
  o RSSAC Caucus Meeting (10:30—12:00)
  o ASO Information Session (14:30—15:45)
• Thursday, 16 October
  o Public Forum (13:30—17:00)
  o Community Recognition and Board Meeting (17:15—18:30)
ICANN 51: In Focus

• Accountability and Governance CWG Meeting
  - Monday, 13 October, 16:00—17:15
• Enhancing ICANN Accountability Session
  - Thursday, 16 October, 08:30—09:45
• Transition of NTIA Stewardship of IANA Functions
  - Discussion with ICG: Thursday, 16 October, 10:00—12:00
Policy Development at ICANN

ICANN Supporting Organizations
• ASO | Address Supporting Organization
• ccNSO | Country-Code Names Supporting Organization
• GNSO | Generic Names Supporting Organization

ICANN Advisory Committees
• ALAC | At-Large Advisory Committee
• GAC | Governmental Advisory Committee
• RSSAC | Root Server System Advisory Committee
• SSAC | Security and Stability Advisory Committee
WHAT DOES ICANN DO?
To reach another person on the Internet you have to type an address into your device – a name or a number. That address must be unique, so computers will know where to find each other. ICANN maintains and administers these unique identifiers across the world. Without ICANN’s management of this system, known as the Domain Name System or DNS, we wouldn’t have a global, scalable Internet where we can find each other.

Community-Driven Policy
To keep pace with dynamic technologies and rapid innovation, ICANN enables consensus-driven, multistakeholder policy development, with broad representation from the global Internet community.

Multistakeholder Model:
Civil Society & Internet Users, the Private Sector, National & International Organizations, Governments, Research, Academic and Technical Communities are all represented.

HOW DO I PARTICIPATE?
• Sign up for updates at myicann.org
• Join one of the many Public Comment Forums on ICANN’s website
• Attend ICANN’s Public Meetings in person or online to provide input at a Public Forum
• Join one of ICANN’s Supporting Organizations or Advisory Committees

WHO’S INVOLVED?
A number of groups, each of which represents a different interest on the Internet. All of them come together with the Board of Directors to shape ICANN decisions.

Supporting Organizations
• Addressing
• Country Code Names
• Generic Names

Advisory Committees
• At-Large
• Governmental
• Root Server System
• Security & Stability

Technical Advisory Bodies
• Technical Liaison Group
• Internet Engineering Task Force

Board of Directors

Contractual Compliance
ICANN oversees the contracts it maintains and enforces the consensus policies developed through the community-driven process. ICANN’s Contractual Compliance function seeks to ensure compliance with the agreements and the consensus policies.

COMPETITION & CHOICE
From accrediting over 1000 registrars, to introducing new Top Level Domains (TLDs), ICANN works to expand consumer choice by fostering competition and innovation in the domain name marketplace.

 WHICH FUNCTIONS DOES ICANN COORDINATE?
• Domain Name System (DNS)
• Internet Protocol (IP) address allocation
• Protocol-Parameter Registry
• Root Server Systems
• Generic Top-Level Domain name (gTLD) system management
• Country Code Top-Level Domain name (ccTLD) DNS
• Time zone database management

Security & Stability
ICANN supports DNS security through technical training and engagement, coordinating and collaborating with the community in the implementation of standards such as DNSSEC.

Interoperability
ICANN’s work enables new technologies to flourish while maintaining interoperability across the global Internet. For example, management of the unique protocol identifiers allows communication using secure connections between users.

For more information or to get involved, please visit www.ICANN.org

#ICANNPolicy | 6
Goals for this webinar

• Update on current policy work and encourage participation
• Review issues to be discussed
• Brief on upcoming initiatives and opportunities to provide input
• Answer any questions and solicit feedback
• Webinar hashtag: #ICANNPolicy
Topics Covered in this Session

- CWG on Framework of Principles for future CWGs
- CWG to Develop an IANA Stewardship Transition Proposal for Naming-Related Functions
- CWG on the Use of Names of Countries and Territories as TLDs
- GAC-GNSO Consultation Group on GAC Early Engagement in GNSO Policy Development Processes
Topics Covered in this Session

- Inter-Registrar Transfer Policy Part D
- Translation and Transliteration of Contact Information
- Privacy and Proxy Services Accreditation Issues
- Protection of IGO/INGO Identifiers in all gTLDs
- IGO and INGO Curative Rights Protections
- Policy and Implementation
- Other projects
  - New gTLD Subsequent Procedures Discussion Group
  - Data & Metrics for Policy Making
  - GNSO Review
  - Purpose of gTLD Registration Data
Topics Covered in this Session

Country Code Supporting Organization (ccNSO)
- Membership and Elections
- Framework of Interpretation
- Working Groups and Committees

Address Supporting Organization (ASO)
- ASO Address Council
- ASO Participation at ICANN 51

Root Server System Advisory Committee (RSSAC)
- Restructure Update
- Caucus Formation
- ICANN Engagement
- RSSAC Activities at ICANN 51
Topics Covered in this Session

Security and Stability Advisory Committee (SSAC)

- Recent Activities
- SSAC Events at ICANN 51
- SSAC 067

Government Advisory Committee (GAC)

- Overview
- Policy-Related Activities
- Other Activities

At-Large Advisory Committee (ALAC)

- Policy Advice Development
- Post-ATLAS II Activities
- ALAC and At-Large Activities at ICANN 51
- RALO Activities at ICANN 51
Cross-Community Working Groups / Joint Efforts
Cross-Community Working Group on Framework of Principles for Future CWGs

Mary Wong
Why is it important?

• Need to ensure there is effective *collaboration* across ICANN’s Supporting Organizations & Advisory Committees (SO/ACs) on issues of *common interest*

• But each SO/AC has its own remit & operating procedures– though there have been some successful Cross Community Working Groups (CWGs)

• Recent increasing reliance on CWGs
Recent Developments & Next Steps

March 2014: ccNSO & GNSO Councils approved charter for a Cross Community Working Group to take forward initial work of GNSO (2012) & ccNSO (2013):

- Develop final general framework of principles for formation, chartering, operations, decision making and termination of future CWGs

CWG reviewed previous CWG processes & mapped typical WG life cycles

- Goal is to produce guidelines/templates corresponding to each phase of a typical WG (initiation, chartering, operations, termination, follow-up)
Further Information and How to Get Involved

- CWG Workspace: [https://community.icann.org/x/rQbPAQ](https://community.icann.org/x/rQbPAQ)
- CWG Charter: [https://community.icann.org/download/attachments/30345126/Final%20Approved%20CWG%20Charter%20March%202014.pdf](https://community.icann.org/download/attachments/30345126/Final%20Approved%20CWG%20Charter%20March%202014.pdf)

*Attend the CWG’s session at ICANN 51 on Wednesday 15 October from 11:30 – 13:00*
Cross-Community Working Group to Develop an IANA Stewardship Transition Proposal on Naming-Related Functions

*Marika Konings*
Why is it important?

• The National Telecommunications and Information Administration (NTIA) has requested that ICANN “convene a multistakeholder process to develop a plan to transition the U.S. government stewardship role” with regard to the IANA Functions and related root zone management.

• Stewardship Transition proposals for each of the IANA functions should be developed by the directly affected communities.

• Primary goal of the CWG is to produce a consolidated transition proposal for the elements of the Internet Assigned Numbers Authority (IANA) Functions relating to the Domain Name System.
Recent Developments and Next Steps

- Charter for CWG adopted by ccNSO, GNSO, ALAC and SSAC – under consideration by GAC
- CWG in formation – first meeting scheduled for 6 October, followed by F2F meeting at the ICANN meeting in Los Angeles on Monday 13 October from 12:15 – 13:45 (see http://la51.icann.org/en/schedule/mon-iana-stewardship-naming)
Further Information and How to Get Involved

- Join the CWG by contacting grace.abuhamad@icann.org
- CWG Workspace: https://community.icann.org/x/37fhAg
- CWG Charter: https://community.icann.org/x/2grxAg

Attend the CWG’s session at ICANN 51 on Monday 13 October from 12:15 – 13:45
Cross-Community Working Group on the Use of Names of Countries and Territories as TLDs

Bart Boswinkel
CWG UCTN

• Chartered by ccNSO, GNSO and ALAC
• Purpose:
  – review the current representations of country and territory names under current ICANN policies;
  – advice on feasibility of uniform definitional framework
  – develop framework if feasible
• Not in Scope:
  – Other geographical indicators
  – use of names at second or other level
Current Status & Next steps

• Baseline Study Group accepted as starting point:
  – Policies overview
  – Typology

• Next main activities:
  – Re-confirmation of issues identified Study Group
  – Initial discussion feasibility framework
  – Develop work plan Los Angeles -> Marrakech meeting
Further Information

- Webpage: [http://ccnso.icann.org/workinggroups/ccwg-unct.htm](http://ccnso.icann.org/workinggroups/ccwg-unct.htm)
- Wiki Space: [https://community.icann.org/pages/viewpage.action?pageId=48346463](https://community.icann.org/pages/viewpage.action?pageId=48346463)
- Progress Report: [https://community.icann.org/download/attachments/49354211/Progress%20Report%20ccWG%20UCT%20Final.pdf](https://community.icann.org/download/attachments/49354211/Progress%20Report%20ccWG%20UCT%20Final.pdf)
GAC-GNSO Consultation Group on GAC Early Engagement in GNSO Policy Development Processes

Olof Nordling
Why is it important?

- ICANN Board receives input from governments via the Governmental Advisory Committee (GAC); GNSO responsible for recommending policies related to generic top level domains to the Board.
- Joint desire to explore and enhance ways of early engagement in relation to GNSO policy development activities.
- Issue was also specifically called-out by both Accountability and Transparency Review Teams (ATRT).
Recent Developments & Next Steps

• Work divided into two work streams:
  1. Mechanisms for day to day co-operation,
  2. Options for GAC engagement in the GNSO policy development process (PDP)
• First pilot project (GNSO Liaison to the GAC) launched, other recommendations being developed
• Joint GNSO-GAC meeting in Los Angeles
• Open Consultation Group meeting on Sunday 12 October from 18:30 – 19:30
Further Information

- Consultation Group Wiki: https://community.icann.org/x/phPRAg
- Consultation Group Charter: https://community.icann.org/x/PyLRAg
- Joint GNSO-GAC meeting during the ICANN meeting in Los Angeles: http://la51.icann.org/en/schedule/sun-1500-gac-gnso
- Open GAC-GNSO Consultation Group meeting during the ICANN meeting in Los Angeles: http://la51.icann.org/en/schedule/sun-1830-gac-gnso

Attend the CG session at ICANN 51 on
Sunday 12 October from 18:30 – 19:30
GNSO
Policy Issues

@ICANN_GNSO
Current issues being discussed in the GNSO

- Inter-Registrar Transfer Policy D
- Privacy & Proxy Services Accreditation
- Data & Metrics for Policy Making
- Policy & Implementation
- Protection of IGO/INGO Identifiers
- WHOIS –Purpose of gTLD registration data, translation & transliteration of registration data
- Cross-Community WG Principles
- GNSO Review
- Others – currently there are over 15 projects underway
Inter-Registrar Transfer Policy Part D

Lars Hoffmann
Why is it important?

- The IRTP is a 2004 consensus policy developed through the GNSO’s policy development process (PDP)
- Straightforward process for registrants to transfer domain names between registrars
- Currently under review to ensure improvements and clarification – nr 1. area of consumer complaints according to data from ICANN Compliance
- IRTP Part D tasked to address 6 Charter Questions related to the IRTP, four related to the Transfer Dispute Resolution Policy (TDRP), one related to penalties and one regarding use of Forms of Authorization (FOAs)
Recent Developments

• Initial Report published for public comment in March 2014 – 4 comments received
• WG reviewed comments and finalized report containing 18 full consensus recommendations
• Final Report submitted for Council consideration during ICANN 51
Recommendations Overview

- Introduce reporting requirements for TDRP rulings (rec# 1 & 2)
- Multiple-hop scenarios addressed (rec# 3 & 4)
- TDRP modified to discontinue Registries as first-level dispute providers (rec# 10)
- Extension of TDRP’s statute of limitation to 12 months (rec# 5)
Recommendations Overview

- No modification of TDRP to allow for registrant initiated disputes but a list of Use Cases to help guide future policy development (rec# 7 & 8)
- Improved, centralized display of dispute resolution information for registrants on ICANN’s website (rec# 11 & 12)
- Maintaining the Form of Authorization (FOA) (rec# 16)
- Call to gather data for a future review of IRTP- once all recommendations are implemented (rec# 17 & 18)
Next Steps

• Final Report has been published and will be presented during the GNSO Weekend session
• Motion will be tabled for the GNSO Council to adopt Final Report during ICANN 51 meeting
• If/when adopted, a public comment forum will be opened prior to ICANN Board consideration
Further Information

• IRTP Part D Final Report
  http://gnso.icann.org/en/group-activities/active/irtp-d

• IRTP Part D Initial Report

• http://tinyurl.com/irtpwiki
Translation & Transliteration of Contact Information PDP

Julie Hedlund
Background

- WG is chartered to determine whether it is desirable to translate and/or transliterate all contact information into one language/script.
- The WG started its work in December 2013 and since then it has sought and analyzed community feedback.
- The Group also monitors outcome of Expert Working Group, ICANN-initiated feasibility study on translation/transliteration of contact information, and other ‘whois’ related efforts that may affect its work.
Why is it Important?

• Continued internationalization of the domain name system with growing number of users relying on non-Latin scripts.

• Need for standardized query of international registration data and assurance of its functionality.

• Ongoing reforms of gTLD Directory Services (e.g. Expert Working Group) makes the need to establish consistent GNSO policy on translation/transliteration of contact information pressing.
Recent Developments

- WG co-chair has drafted straw man: recommending no mandatory translation/transliteration of contact information; WG members’ opinions differ on this proposed recommendation.

- Draft Initial Report will be presented in Los Angeles laying out the WG’s arguments for and against mandatory translation/transliteration of contact info to stimulate community discussion/feedback.
Next steps and how can I get involved?

• Working Group is open to all and new members are welcome; contact: gnso-secs@icann.org

• Join the WG for its face-to-face meeting in Los Angeles:

  Monday, 13 October, 15:00 local time (22:00 UTC), Westwood room see http://la51.icann.org/en/schedule/mon-transliteration-contact
Further Information

• Working Group Charter: www.tinyurl.com/ttcharter
• Working Group Wikipage: www.tinyurl.com/ttwikipage
• Issue Report: www.tinyurl.com/ttissuereport
Privacy and Proxy Services Accreditation Issues Working Group (PPSAI)

Mary Wong
Why is it important?

June 2013: new Registrar Accreditation Agreement (RAA) approved by ICANN Board

- RAA negotiations included high-priority topics identified by community
- **Privacy & Proxy Services**, including accreditation, was the single issue outstanding from the negotiations
- ICANN has committed to have a privacy/proxy accreditation program
- 2013 RAA contains temporary privacy/proxy specification (runs to 1/1/2017 or start of ICANN accreditation program)

Oct 2013: GNSO commences Board-requested PDP on remaining issue from the RAA negotiations
Recent Developments & Next Steps

WG Charter questions cover 7 categories including:

• General issues
• Maintenance & Registration of P/P services
• Contact points for P/P services
• Relay & Reveal procedures
• Termination of Accreditation

WG aims to produce initial Report for public comment by early 2015
Further Information and How to Get Involved

- WG Workspace: https://community.icann.org/x/9iCfAg
- Background to WG formation: http://gnso.icann.org/en/group-activities/active/ppsa

Attend the WG’s session at ICANN51 on Wednesday 15 October at 10:30 (see http://la51.icann.org/en/schedule/wed-ppsai)
Protection of IGO and INGO Identifiers in All gTLDs PDP

Mary Wong
Why is it important?

- **Oct 2012**: GNSO initiated PDP to evaluate need & develop recommendations for protecting identifiers of Int’l Govt’l Organizations (IGOs) & Int’l Non-Govt’l Organizations (INGOs including the Red Cross & Int’l Olympic Committee) at top & second levels in all gTLDs.

- **May 2011-June 2014**: Several GAC Communiqués issued on the need for IGO, RC & IOC protections at top & second levels in the New gTLD Program.

- **June 2011-July 2013**: ICANN Board had directed certain interim protections be put in place for IGOs, RC & IOC in the New gTLD Program while GNSO develops recommendations.
Recent Developments

Nov 2013 – Mar 2014:
• GNSO Council unanimously adopts all 25 of the WG’s consensus recommendations; sends Recommendations Report to ICANN Board
• ICANN Board acknowledges receipt, directs New gTLD Program Committee (NGPC) to develop proposal taking into account GAC advice & the GNSO recommendations
• NGPC sends proposal to the GAC; notifies GNSO

April 2014:
• ICANN Board adopts those GNSO recommendations “not inconsistent with GAC advice”
Recent Developments (continued)

June 2014:
• NGPC requests GNSO consider amending remaining recommendations to reconcile inconsistencies with GAC advice in accordance with GNSO procedure (Section 16, PDP Manual)
• Remaining recommendations relate to IGO acronyms and certain Red Cross organizational names

September 2014:
• GNSO Council meets with NGPC
• GNSO Council discussing whether to amend remaining recommendations
Next Steps

• Implementation Review Team to be formed for those GNSO recommendations adopted by the Board – tasks will include reviewing interim protections already in place and applicability to “legacy” gTLDs
• GNSO has initiated PDP to review issue of effective curative rights protections for IGOs & INGOs
• Remaining recommendations still under consideration for possible amendment by GNSO Council
• If amendment proposed, need to consult original PDP WG and seek public comment
Further Information

- PDP WG Webpage: http://gnso.icann.org/en/group-activities/active/igo-ingo
- April 2014 Board resolution: http://www.icann.org/en/groups/board/documents/resolutions-30apr14-en.htm#2.a
IGO and INGO Curative Rights Protection
PDP Working Group

Mary Wong
Why is it important?

• Original IGO-INGO PDP Working Group had recommended that the GNSO Council consider a PDP to amend existing *curative rights protection* measures – the existing Uniform Dispute Resolution Policy (UDRP) & new Uniform Rapid Suspension procedure (URS) – to enable use by IGOs & INGOs

• IGOs by their status have problems submitting to national jurisdiction (required by UDRP & URS)

• IGOs & INGOs may not be trademark owners (legal basis for protection under UDRP & URS)
Recent Developments & Next Steps

Nov 2013:
• GNSO Council requests Issue Report – mandatory preceding step to a possible PDP

March 2014:
• Preliminary Issue Report published for public comment

May 2014:
• Public comments analyzed; Final Issue Report submitted to GNSO Council

June 2014:
• GNSO Council votes to initiate a PDP; charters new WG
What will this new PDP cover?

WG directed to consider:
(1) Whether UDRP & URS should be amended, and if so in what way; or
(2) Possibly developing a separate, narrow dispute resolution procedure specific to IGOs & INGOs, modeled on UDRP & URS

• PDP limited to those IGO & INGO identifiers listed for protection by the IGO-INGO PDP WG
• Further data research and analysis of prior efforts should be done as an initial phase of work
• No overlap with upcoming (2015) potential review of all gTLD rights protection mechanisms (i.e. preventative & curative)
Further Information & How to Get Involved

- WG Wiki Workspace: https://community.icann.org/x/37rhAg

Attend the WG’s meeting at ICANN51 on Wednesday 15 October from 10:00 – 11:30 (see http://la51.icann.org/en/schedule/wed-igo-ingo-crp)
Policy and Implementation Working Group

Marika Konings
Why is it important?

• Increased focus on which topics call for policy and which call for implementation work, including which processes should be used, at what time and how diverging opinions should be acted upon

• GNSO Council formed Working Group in July 2013 to address a number of questions specifically related to policy and implementation in the context of the GNSO
Recent Developments and Next Steps

• Working Group has developed a set of working definitions and posted a set of working principles that will underpin the WG deliberations.

• In the process of developing recommendations which may include new GNSO processes to provide guidance and input, as well as Fast Track PDP.

• Now focusing on implementation related questions such as how consultation with staff should take place, how should/can the GNSO Council deal with issues as they arise during implementation, what is the role of Implementation Review Teams.

• Target date for Initial Report for public comment: February 2015.
Further Information

- WG Workspace - [https://community.icann.org/x/y1V-Ag](https://community.icann.org/x/y1V-Ag)
- Project info - [http://gnso.icann.org/en/group-activities/active/policy-implementation](http://gnso.icann.org/en/group-activities/active/policy-implementation)

Attend the WG’s meeting at ICANN51 on Wednesday 15 October from 16:30 – 18:00

(see [http://la51.icann.org/en/schedule/wed-policy-implementation](http://la51.icann.org/en/schedule/wed-policy-implementation))
Other GNSO Projects
New gTLD Subsequent Procedures Discussion Group

What is it about?
• The DG is reviewing the first round of the new gTLD program to discuss and reflect upon experiences gained. The DG is expected to draft a report of its findings, which may include a set of subjects for future GNSO issue reports and suggested changes to subsequent new gTLD application procedures.

Status
• The DG is collecting issues identified by its members

Next steps
• Issues are expected to be categorized and have a mechanism for resolution suggested in a report to be delivered to the GNSO Council to then potentially form the basis for an Issue Report.
• Open F2F Meeting in Los Angeles- Wednesday 15 October from 8:30 – 10:15 (see http://la51.icann.org/en/schedule/wed-new-gtld-subsequent-procedures)

Data & Metrics for Policy Making non-PDP

What is it about?
• The WG is exploring opportunities to review standard methodologies of reporting and metrics that could better inform fact-based policy development and decision making; including how the community can collaborate with contracted parties and other service providers in the sharing of metrics and data.

Status
• Completed review of previous GNSO WG efforts as use-cases to detect gaps in use of data & metrics for its deliberations
• Preparing for session in LA to collaborate with Contracted Parties for metrics and data requests for policy making

Next steps
• Continue framework for data requests and draft Initial Report
• Open F2F WG meeting on Monday 13 October from 10:30 – 12:00 (see http://la51.icann.org/en/schedule/mon-dmpm)

More info: http://gnso.icann.org/en/group-activities/active/dmpm
GNSO Review

What is it about?
ICANN’s Bylaws require that its structures, including the GNSO, be reviewed on a five-year cycle. This review is part of ICANN’s commitment to continuous improvement, accountability and transparency. It uses mechanisms and measures to maintain public confidence in the viability, reliability and accountability of ICANN. The GNSO Review addresses the new, improved approach to conducting reviews. It will inform the work on evolving ICANN’s Review Framework, as part of the broader accountability discussion.

Status
A GNSO Review Working Party has been assembled to act as a liaison between the GNSO, the independent examiner and the SIC.

360 Assessment Survey open for input – deadline 17 October 2014 (see https://www.surveymonkey.com/s/GNSOREVIEW360SURVEY)

Next steps
• GNSO Review Working Party meeting in LA on Sunday 12 October from 17:00 – 18:30 (see http://london50.icann.org/en/schedule/sun-gnso-review)

More info: https://community.icann.org/x/OJLhAg

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Purpose of gTLD Registration Data PDP

What is it about?
• Board requested an Issue Report on the purpose of collecting and maintaining gTLD registration data as well as solutions to improve accuracy and access to gTLD registration data, and created an Expert Working Group (EWG).

Status
• Preliminary Issue Report was published for public comment.

Next steps
• GNSO and Board to form small group to discuss possible next steps as part of the PDP
• Finalization of Issue Report

More info: http://gnso.icann.org/en/group-activities/active/rds
ccNSO Membership
(according to ICANN regions)

- 152 members (August 2014)
- Latest member
  - .in, India
- 1 application
  - .qa, Qatar

Observers: AfTLD, APTLD, CENTR, LACTLD
ccNSO Council

Annual Council Elections
End of term

- African Region: Vika Mpisane (.za)
- Asian Pacific Region: Young Eum Lee (.kr)
- European Region: Katrina Sataki (.lv)
- Latin America-Caribbean Region: Margarita Valdes (.cl)
- North American Region: Byron Holland (.ca)
ccNSO activities

• IANA Stewardship Transition
• Enhancing ICANN Accountability
• ccNSO IANA Stewardship & Accountability Coordination Group
• Framework of Interpretation WG
• Other Topics
IANA Stewardship Transition Process

- NTIA Announcement March 2014
- ICANN initiated process during ICANN 49

- Perspectives on Transition Oversight Function:
  by its very nature impact on all ccTLDs

  All ccTLDs invited to participate
  (members and non-members ccNSO)
  (members and non-members regional ccTLD organization)
IANA Stewardship Transition Process from ccNSO perspective

- IANA Stewardship Transition Coordination Group (ICG)
  - Mandate: deliver a proposal to the NTIA recommending a transition plan of NTIA’s stewardship of IANA function
  - 4 ccTLD members (Martin Boyle, Keith Davidson, Xiaodong Lee, Mary Uduma)

- Cross-Community Working group to develop an IANA Stewardship Transition Proposal on Naming Related Function
  - Community-initiated, including ccNSO and GNSO
ccNSO IANA Stewardship & Accountability Coordination Committee

• Purpose:
  – coordinate efforts of ccTLDs
  – Inform ccTLD community
  – Engage ccTLD community in processes

• Membership only ex officio + observers Regional Organizations

• Information:
  http://ccnso.icann.org/workinggroups/istacc.htm
ccNSO Sessions at ICANN 51

- Session to provide Overview of Current initiatives
- Panel Discussion: ccTLD perspectives on IANA Stewardship Transition
  - Individual ccTLDs
  - ccTLD community
More Information on IANA Stewardship Transition Process

• General information: https://www.icann.org/resources/pages/icg-activities-2014-07-18-en

• IANA Stewardship Transition Coordination Group (ICG): https://www.icann.org/en/stewardship

• Cross-community Working group to develop an IANA Stewardship Transition Proposal on Naming Related Function: https://community.icann.org/display/gnscwgdttstwrds/hp/CWG+to+Develop+an+IANA+Stewardship+Transition+Proposal+on+Naming+Related+Functions

  and

  http://ccnso.icann.org/workinggroups/iana-stewardship-naming.htm

• ccTLD Email lists used (contact ccNSO secretariat for subscription details):
  – ccNSO members list
  – ccTLD community list
  – ccTLDWorld list
Framework of Interpretation:
Purpose and Scope

• No new Policy, but interpretation of existing policy
  – Basic policy document: RFC 1591, 1994
• No ccPDP -> ccNSO WG structure
• ccNSO and GAC have to support recommendations
• Topics:
  – obtaining and documenting consent
  – obtaining and documenting support from Significantly Interested Parties (Local Internet Community or LIC)
  – revocation and un-consented re-delegations
  – IANA reporting on delegation and re-delegation.
  – Glossary of Terms
Progress FoI WG

• WG full consensus on recommendations around revocation/un-consented re-delegations, Significantly Interested Parties and Consent
  – Major substantive topics
  – Recommendations to be included in Final report
• Glossary of terms full Consensus WG
• Final Report, including all recommendations to be presented in Los Angeles
• Final Report to be submitted to ccNSO and GAC for support
Other Activities
ccNSO WGs

• SECIR WG
  – Implement ccTLD Contact Repository, to enable ccTLD operators to communicate with each other on security incidents.
  – There will be a call for volunteers to assist with outreach!

• Strategic and Operational WG
  – Input on draft ICANNs Strategic Plan 2016-2020
  – Input on draft ICANN FY 2015 Operational Plan and Budget

• Technical Working Group
  – Prepare agenda and meeting ccNSO Tech day
  – Every Monday at ICANN meetings
  – Focus Operational and Technical aspects ccTLDs
ccNSO Council Committees

- ccNSO Travel Funding Committee
  - Allocate travel slots to ICANN meetings (very limited number)
  - [http://ccnso.icann.org/meetings/ccnso-travel-funding-04dec08.htm](http://ccnso.icann.org/meetings/ccnso-travel-funding-04dec08.htm)

- ccNSO Council Triage Committee
  - Advise Council on requests for actions
  - [http://ccnso.icann.org/about/council/decisions.htm](http://ccnso.icann.org/about/council/decisions.htm)
Other Joint WGs (cross-community WGs)

- Joint WG on use of Country & Territory names as TLDs
  - Established at Singapore meeting
  - Discussing baseline for framework: which issues need to be addressed

- CWG on Framework of Principles for CWG
  - WG to develop principles how to establish, participate and manage cross community WGs
  - Focus on: Decision making between Supporting Organizations and Internal in WG, balancing membership
ccNSO General Information

- Home page: [http://ccnso.icann.org/](http://ccnso.icann.org/)
- Working groups: [http://ccnso.icann.org/workinggroups](http://ccnso.icann.org/workinggroups)
- Meetings Working groups and Council meetings: [http://ccnso.icann.org/calendar](http://ccnso.icann.org/calendar)
- Information ccNSO Los Angeles meeting [http://ccnso.icann.org/meetings](http://ccnso.icann.org/meetings)
- Twitter feed “#ccNSO”
ASO Address Council

• The ASO Address Council has 15 members, 3 from each region, and one person per region is elected each year.
• The regional policy forum of each RIR selects two members.
• The Executive Board of each RIR also appoints one person from its respective region.
Global Internet Number Resource policy is developed through a bottom-up, open, and inclusive approach. Beginning in geographic regions, issues are raised through the Regional Internet Registry (RIR) communities in the Number Resource Organization (NRO) and brought into the Address Supporting Organization (ASO). Global policies are forwarded to the Internet Corporation for Assigned Names and Numbers (ICANN) Board of Directors and upon ratification, new policy is implemented by ICANN’s Internet Assigned Numbers Authority department (IANA), working cooperatively with the RIRs and their constituencies in the respective regions.

The internet ecosystem consists of a collaborative network of organizations responsible for standardization, coordination, administration and day-to-day management in the internet sphere including the IETF, ICANN performing the IANA function, the RIRs, governments, NGOs and many others. Each organization has a specific role and provides fundamental value to the overall functioning of the internet.

The NRO represents the 5 RIRs collectively and establishes the ASO by agreement with ICANN.
ASO Participation at ICANN 51

• The ASO AC will not be meeting formally at ICANN 51, but ASO AC members are in attendance
• The ASO AC will meet with the ICANN Board
• Additionally, NRO Executive Council members—the five CEOs of the RIRs—are also attending ICANN 51
• The ASO Information Session will be on Wednesday, 15 October, 14:30—15:45. See: http://la51.icann.org/en/schedule/wed-aso
RSSAC Update

Steve Sheng
Restructure

• The RSSAC continues to make progress on its restructure effort, which is nearing an end.

• The RSSAC’s operational procedures document was approved and published as RSSAC 000 on 25 September 2014. See: https://www.icann.org/en/system/files/files/rssac-000-op-procedures-25sep14-en.pdf

• With the support of ICANN Policy Development Support Staff, the RSSAC has established a monthly teleconference schedule as well as internal administrative processes to streamline work.
Caucus Formation

- The Caucus was formally established in July 2014 and consists currently of 52 members. See: https://www.icann.org/resources/pages/rssac-caucus-2014-05-06-en

- Two work parties were formed to complete the work on two RSSAC advisories: RSSAC 001, “Service Expectations of Root Servers” and RSSAC 002, “Recommendations on Measurements of the Root Server System.”

- The work parties are expected to complete their work in late November 2014.
ICANN Engagement

In line with its renewed profile, the RSSAC has been engaging more deeply in ICANN.

- The RSSAC appointed two members to the IANA Functions Stewardship Transition Coordination Group: Lars-Johan Liman and Daniel Karrenberg.

- The RSSAC also appointed a new liaison to the Nominating Committee: Mehmet Akcin.
The RSSAC has several private working sessions scheduled throughout the week.

The RSSAC also has as a public information session on Wednesday, 15 October, 10:30—12:00, which will convene the newly formed Caucus for the first time: http://la51.icann.org/en/schedule/wed-rssac-caucus

The RSSAC will also meet with the Nominating Committee.
SSAC Update

Julie Hedlund
Recent SSAC Activities

• IANA Functions Stewardship Transition
• Public Suffix Lists Work Party
• SSAC Workshop at 2014 Internet Governance Forum
• DNSSEC Sessions at ICANN 51
• SSAC Reports are available at: https://www.icann.org/resources/pages/documents-2012-02-25-en.
SSAC Events at ICANN 51

• DNSSEC for Everybody: A Beginner’s Guide, Monday, 13 October 2014, 17:00-18:30

• DNSSEC Workshop, Wednesday, 15 October 2014, 08:30-14:45

• SSAC Public Meeting, Thursday 16 October 2014, 08:00-09:00
IANA Functions’ Stewardship Transition
Background

• On 14 March 2014, the U.S. Commerce Department’s National Telecommunications and Information Administration (NTIA) announced its intention to transition out of its current role with respect to the Internet Assigned Numbers Authority (IANA) Functions.

• NTIA called on ICANN to “convene global stakeholders to develop a proposal to transition the current role played by NTIA in the coordination of the Internet’s domain name system (DNS).
Background, continued.

- IANA is a traditional name used “to refer to the technical team making and publishing assignments of Internet protocol technical parameters.”
- This technical team performs a set of tasks that involve the administration or coordination of many of the identifiers that allow the global Internet to operate.
Background, continued.

- As described in the current IANA Functions contract between ICANN and NTIA, the IANA Functions are:
  - Domain Name System (DNS) Root Zone Management;
  - Internet Numbers Registry Management;
  - Protocol Parameter Registry Management, including management of the “Address and Routing Parameter Area” (.ARPA) TLD; and
  - Management of the “INTernational treaty organizations” (.INT) top-level domain.
Overview and History of the IANA Functions

• SAC067 was published on 15 August 2014. The report:
  – Establishes a baseline of understanding for those interested in how the upper-most level of the Internet’s system of unique identifiers is managed;
  – Describes the activities included in the IANA Functions contract; and
  – Describes the functions performed under the IETF MoU;

• The report focuses on:
  – The IANA Functions contract; and
  – Describes all of the activities related to the IANA Functions as they are currently performed, including those that lie outside of the IANA Functions contract.
Report on the IANA Functions Contract

• This report will be published in October 2014.
• In this report the SSAC will:
  – Provide an overview of the key elements of the IANA Functions contract; and
  – Document the role that NTIA currently plays with respect to the IANA Functions based on current public contractual information.
GAC Update

Olof Nordling
Overview

• The Governmental Advisory Committee (GAC) currently has 141 governments as members and 31 IGOs as observers

• The GAC meets face-to-face at ICANN meetings, with inter-sessional work conducted remotely

• The GAC provides advice to the ICANN Board on public policy matters
Policy-Related Activities

• The GAC expects to conclude on the few remaining issues with the New gTLD Program in Los Angeles.

• Other topics to address in Los Angeles:
  – IANA Stewardship Transition and ICANN Accountability
  – WHOIS
  – 2-character domain names
  – Human Rights and ICANN

• Two information sessions for the community:
  – GAC Open Forum (to inform about GAC work)
  – Geographic Names (about Working Group findings for future rounds)

• Meetings with ALAC, GNSO, ccNSO, and the Board
Other Activities

- Elections – for Chair and three Vice-Chairs
- GAC-related ATRT2 Recommendations addressed by
  - BGRI-WG
  - WG on Working Methods
  - GES WG in cooperation with GSE
  - GNSO-GAC CG for early GAC engagement in GNSO PDPs
- The GAC has a full agenda in Los Angeles, Saturday - Thursday
- All sessions are open except for the communiqué drafting session Wednesday afternoon.

- Welcome to the GAC meeting room: Plaza Pavilion
ALAC/At-Large Update

@ICANN_AtLarge

Heidi Ullrich, Ariel Liang, Silvia Vivanco
The Structure of At-Large

At-Large Organizational Diagram

- Africa
- Asia-Pacific
- Europe
- Latin America & Caribbean
- North America

Nominating Committee Appointees

Seat #15 Selected by At-Large Community

ICANN Board

At-Large Structures
Regional At-Large Organizations
At-Large Advisory Committee

#ICANNPolicy | 107
ALAC Policy Advice Development

• 10 Policy Advice Statements (since ICANN 50)
• Standing Statement
  
  *ALAC Statement on the Introduction of Two-Character Domain Names in the New gTLD Namespace*

• Diverse Penholders

To review ALAC Policy Advice Statements, please visit the Correspondence Page

[www.atlarge.icann.org/correspondence](http://www.atlarge.icann.org/correspondence)
Post-ATLAS II Activities

ICANN Recipients

Board
Staff
Community

ATLAS II Implementation Taskforce

At-Large Assignees

ICANN Recipients

At-Large Assignees

ATLAS II Sources

Thematic Group 1
Thematic Group 2
Thematic Group 3
Thematic Group 4
Thematic Group 5

ALT

ALAC

Future Challenges

Capacity Building

Social Media

Technology Taskforce

Outreach

Finance and Budget

Liaisons

Individuals

Accessibility

Website Revamp Team

RLCO Chairs

Staff

43 Recommendations

Please visit the Post-ATLAS II Activities Workspace
https://community.icann.org/x/LsPhAg
ALAC and At-Large Activities at ICANN 51

- ALAC/At-Large will hold 27 Sessions
- Meetings with the members of the ASO/NRO, Board, ccNSO, GAC, the IANA Stewardship Transition Coordination Group (ICG), NCSG, NomCom, the SSAC, and senior ICANN staff
- Roundtable with sessions on Privacy and Proxy and Ultimate and Innovative DNS, 15 October between 10:00-11:30 in the Olympic Meeting Room
- Alan Greenberg will replace Olivier Crépin-Leblond as the Chair of the ALAC
- Rinalia Abdul Rahim will replace Sebastien Bachollet in Board Seat #15 as the Board Director selected by the ALAC/At-Large
RALO Activities at ICANN 51

AFRALO, APRALO, LACRALO, and NARALO will hold their monthly meetings face to face at ICANN 51.

- **AFRALO** will hold the AFRALO-AfrICANN Joint meeting on Wednesday, 15 October, 14:00-15:30 with the theme, “The IANA Functions Stewardship Transition and ICANN Accountability seen by the African Community.”

- **APRALO** will hold its monthly meeting on Wednesday, 15 October, 08:45-09:45. One of the highlights of the meeting will be the discussion of the implementation of the APRALO-APAC Hub Pilot Framework.

- **LACRALO** will hold its monthly meeting on Tuesday, October 14, 12:15-13:45. The meeting will include, among other issues, a presentation and conversation with Rodrigo de la Parra, Vice President for Latin America & Caribbean on “Regional Strategies and Synergies with LACRALO.”

- **NARALO** will hold its monthly meeting on Wednesday, October 15, 11:30-12:30.
NARALO Outreach Event

Wednesday, 15 October, 18:30-20:30
Olympic Meeting Room
“Our Internet, Our Stories, Our Network—First Nations of the World”

Keynote speaker:
Jacqueline Johnson Pata
Executive Director, National Congress of American Indians

Watch traditional First Nation Hoop Dancing

Enjoy refreshments and learn about NARALO activities
Question and Answer Session
Press *1 to join the queue and ask the Policy Development Support Team your questions.
Questions Submitted to the Policy Development Support Team

Q: How does ICANN plan to include IGF’s work and ITU documents in its IANA transition plan being prepared by the ICG?
Q: Is ICANN considering to split its operations and setup regional offices in each of five regions (similar to the RIRs) with mutually exclusive work?
Q: Why are end users not represented in the ICG?


Q: Is changing the ICANN Bylaws and giving more power to the GAC not against the multistakeholder model where all stakeholders should have equal rights and powers?

A: The proposed Bylaws change regarding Board consideration of GAC advice is posted for public comments until 6 October 2014 at https://www.icann.org/public-comments/bylaws-amend-gac-advice-2014-08-15-en

The proposal originally stems from a recommendation by ATRT1 to formalize Board handling of GAC advice. The BGRI developed a process that included a 2/3 voting threshold, as the Board indicated that such a threshold value would be applied if the Board determined to act inconsistently with GAC advice. The ATRT2 recommended that this be formalized in a Bylaws change; the BGRI decided in June 2014 to proceed accordingly and the Board had the proposal posted for public comments prior to acting further on the matter. It deserves to be mentioned that a 2/3 voting threshold also features in the procedures for Board handling of PDP outcomes from Supporting Organizations.
Questions Submitted to the Policy Development Support Team, continued

Q: We would like to understand a topic regarding new gTLDs and the mandatory 90 day claims period. We have heard that many registries had to re-open their claims period indefinitely due to the fact that they have premium reserved domains to sell at later dates by the registry. It seems that after holding the 90 day mandatory claims period, it should be able to be closed. Then, it should be possible to check claims on the premium reserved domains only (to be clear, we are NOT talking about the 100 reserve list domains available to go live before GA), on a case by case basis when in the registry has a potential buyer/registrant for one of the domains on that premium reserve list. We do not want to have to have a claim period open indefinitely, as we feel it hinders registrations as shown by the TMCH when they released the data that 95% of all registration requests that were shown a claims notice were abandoned. We would however like to be compliant and ensure that the reserved premium domains can be checked for claims for the initial registrant, even if past the 90 day general claims notice period is closed.

How to Stay Updated

Subscribe to the monthly *Policy Update*:  
[https://www.icann.org/resources/pages/signup-2012-02-25-en](https://www.icann.org/resources/pages/signup-2012-02-25-en)

Available in Arabic, Chinese, English, French, Russian and Spanish

*Follow us on Twitter:*

- @ICANN
- @ICANN_GNSO
- @ccNSO
- @ICANN_AtLarge

*Contact us at:*

policy-staff@icann.org

*GNSO Newcomers Webinar Series*

These community-led, monthly sessions are for new GNSO Working Group participants to come together and discuss any questions they may have about GNSO Working Groups, procedures and/or processes in an informal setting.

**Next session: Thursday, 23 October 2014, 12:00 UTC**

Please contact nathalie.peregrine@icann.org for more details
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ICANN Policy Development Support Team
October 2013
Thank You