PRE-ICANN62 POLICY WEBINAR
12 JUNE 2018
Welcome to Pre-ICANN62 Policy Webinar

David Olive
Senior Vice President, Policy Development Support
Policy Development Support

Multistakeholder Policy Development

Generic Names Supporting Organization (GNSO)

GNSO Council is composed of 21 members — divided into 2 houses (contracted and non-contracted parties) — who work on generic top-level domain name (gTLD) policies (e.g., .com, new gTLDs).

Country Code Names Supporting Organization (ccNSO)

The ccNSO (Council and members) works on global policies relating to country code top-level domain name (ccTLD) policies (e.g., .br, .uk).

Address Supporting Organization (ASO)

ASO Address Council (AC) is composed of 15 volunteers — 3 from each of the Regional Internet Registries (RIRs) — who work on global Internet Protocol (IP) Address Policy.

GNSO Policy Development Process

1. IDENTIFY THE ISSUE
   - GNSO Council, ICANN Board or an AC identifies issue.
   - GNSO Council considers if issue will result in consensus policy.

2. SCOPE THE ISSUE
   - If yes, GNSO Council requests Preliminary Issue Report.
   - Staff publishes Preliminary Issue Report for Public Comment Period.
   - Following Public Comment review, Final Issue Report is submitted for GNSO Council consideration.

3. INITIATE THE PDP
   - WG consults with Community and develops Initial Report for Public Comment Period.
   - After reviews, WG submits Final Report to GNSO Council.

4. FORM A WORKING GROUP
   - GNSO Council considers Final Issue Report and decides whether to initiate PDP.
   - If yes, GNSO Council develops/adopts charter for PDP WG.
   - GNSO Council calls for volunteers to form PDP WG.

5. DELIBERATE THE FINAL REPORT
   - WG consults with Community and develops/adopts Final Report for Public Comment Period.
   - After reviews, WG submits Final Report to GNSO Council.

6. VOTE BY GNSO BOARD
   - GNSO Council adopts Final Report and considers adoption.
   - If adopted, GNSO Council submits Final Report to ICANN Board.

ccNSO Policy Development Process

1. REQUEST AN ISSUE REPORT
   - Issue manager determines if issue is within the scope of ccNSO.
   - ICANN Bylaws define and limit the scope of issues.

2. SCOPE THE ISSUE
   - If issue is in scope and ccNSO Council approves Issue Report, PDP begins.
   - ccNSO Council gives Public Notice and opens Public Comment Period.

3. INITIATE THE PDP
   - ccNSO Council appoints WG to develop the policy.
   - WG issues Initial Report.
   - WG opens Public Comment Period.
   - WG produces Final Report.
   - ccNSO Council requests GAC input.

4. DEVELOP POLICY
   - Stage 1. ccNSO Council deliberates Final Report and, if adopted, makes recommendation to its members.
   - Stage 2. If members approve, ccNSO Council submits Final Report to ICANN Board.

5. VOTE BY ccNSO BOARD
   - ICANN Board votes on Final Report recommendations, but national laws remain paramount.

6. VOTE BY ICANN BOARD

ASO Global Policy Development Process

1. IDENTIFY THE ISSUE
   - Any individual may submit global policy proposal to ASO AC or RIR.
   - RIR PDP generates global policy proposal.
   - ICANN Board may also request global policy proposal.

2. DETERMINE REQUIREMENTS
   - Five RIRs review global policy proposal.
   - Five RIRs must approve identical global policy proposal.
   - Five RIRs submit approved global policy proposal to ASO AC for review.

3. REVIEW BY RIRs AND ASO AC
   - ASO AC approves global policy proposal.
   - Five RIRs may approve identical global policy proposal.
   - Five RIRs submit approved global policy proposal to ASO AC for review.

4. REVIEW BY ICANN BOARD
   - ASO AC submits ratified global policy proposal to ICANN Board.
   - ICANN Board may accept, reject, request changes or take no action.

*Regional Internet Registries (RIRs)

APNIC: Asia and Pacific region
ARIN: Canada, parts of the Caribbean and North Atlantic islands, and the U.S.
LACNIC: Latin America and parts of the Caribbean
RIPE NCC: Europe, the Middle East and parts of Central Asia
Policy Development Support Staff

34 FTE

Subject Matter Experts and SO/AC Support Services

11 Languages
- Afrikaans
- Chinese
- Dutch
- English
- French
- German
- Italian
- Japanese
- Spanish
- Swedish
- Turkish

5 Time Zones across 11 Countries
ICANN62 – Policy Forum

1. Policy Development Focus

2. Enhance Cross-Community Interactions

3. Outreach Components

4. No Opening Ceremony

5. No Public Forums
ICANN62 Cross-Community and High Interest Topics

- Update from the Registration Directory Service (RDS) – WHOIS2 Review Team
- WHOIS/Registration Directory Service (RDS) Policy Post-General Data Protection Regulation (GDPR)
- Cross-Community Working Group on Enhancing ICANN Accountability (CCWG-Accountability) Work Stream 2 Final Recommendations
- Session on GNSO Temporary Specification for gTLD Registration Data Consensus Policy Development Process
- Geographic Names at the Top-Level
Cross-Community and High Interest Topics
Update from the Registration Directory Service (RDS) – WHOIS2 Review Team

David Olive
Senior Vice President,
Policy Development Support
Update from the RDS-WHOIS2 Review Team

Overview

RDS Review, formerly known as “WHOIS” is mandated by the ICANN Bylaws. The scope of its work includes assessing how the recommendations of the first WHOIS Review were carried out, as well as assessing the effectiveness of the gTLD Registry Directory Service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data.

What to expect at ICANN62

During this session on Monday 25 June from 17.00 – 18:30 the RDS-WHOIS2 Review Team will update the community on its findings to date. The Review Team will seek input on its findings and address any questions pertaining to its scope and work plan.

How to Prepare

For more information please visit the RDS-WHOIS2 wiki https://community.icann.org/x/JIfDAw.

Learn more about the opportunities to participate in RDS-WHOIS2 work at https://community.icann.org/x/ccHRAw.
WHOIS/Registration Directory Service (RDS) Policy Post-General Data Protection Regulation (GDPR)

David Olive
Senior Vice President, Policy Development Support
The European General Data Protection Regulation (GDPR) has precipitated significant changes to the Registration Directory Services (RDS), currently known as WHOIS. Most recently, the ICANN Board adopted a Temporary Specification implementing an ICANN Org-driven interim GDPR compliance model for WHOIS. With the adoption of the Temporary Specification, the community has a new opportunity to try and adopt an “ultimate model” for a next-generation registration data directory service.

During this session on Tuesday 26 June starting at 15:15, panelists will engage with the community to discuss key policy changes resulting from the Temporary Specification, and how to move forward with developing a final Consensus Policy that moves beyond the temporary policy toward a more permanent solution.

For more information:
https://www.icann.org/dataprotectionprivacy
Cross-Community Working Group on Enhancing ICANN Accountability (CCWG-Accountability) Work Stream 2 Final Recommendations

David Olive
Senior Vice President,
Policy Development Support
Overview

Cross-Community Working Group on Enhancing ICANN Accountability has divided its work into two work streams. Work Stream 2 focuses on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition. It has the following subgroups; Diversity, Guidelines for Good Faith Conduct, Human Rights, Jurisdiction, Ombudsman, Reviewing the Cooperative Engagement Process (CEP), SO/AC Accountability, Staff Accountability, Transparency.

What to expect at ICANN62

During this session on Wednesday 27 June from 17:00-18:30, the CCWG-Accountability Co-Chairs will present the recommendations in the final report of the CCWG-Accountability WS2. The session will feature a facilitated question and answer dialogue to allow for comments from the community and chartering organization members.

How to Prepare

For more information please visit the CCWG-Accountability WS2 wiki

https://community.icann.org/b4yQGAv
Session on GNSO Temporary Specification for gTLD Registration Data Consensus Policy Development Process

Marika Konings
Vice President,
Policy Development for the GNSO
Session on gTLD Registration Data Temp Spec (E) PDP

Overview

On 17 May 2018, the ICANN Board approved the Temporary Specification for gTLD Registration Data to allow contracted parties to comply with existing ICANN contractual requirements while also complying with the European Union’s General Data Protection Regulation (GDPR). The adoption of the temporary specification has triggered the requirement for the GNSO to complete a consensus policy development process on the temporary specification within a one year period.

What to expect at ICANN62

During this session on Thursday 28 June from 17.00 – 18.30, the GNSO Council leadership team will provide an update to the community on the discussions that will have taken place prior to and during ICANN62 as well as the expected next steps in the policy development process.

How to Prepare

Review the Temporary Specification for gTLD Registration Data: https://www.icann.org/resources/pages/gtld-registration-data-specs-en

Review correspondence on this topic (https://gnso.icann.org/en/council/correspondence) as well as meetings (https://gnso.icann.org/en/group-activities/calendar#may)
Current status

- ICANN Board adopted a temporary specification on 17 May 2018, with an effective date of 25 May 2018.

- This has started the 365 day clock for the GNSO to complete a policy development process to confirm whether the temporary policy becomes a consensus policy. Council is the manager of the PDP, and as such responsible for ensuring effective set up, management and oversight.

- Questions in relation to the scope of the PDP, timing considerations, impact of potential future changes to the temporary specification and relevant procedural requirements have been discussed amongst the GNSO as well as with the ICANN Board, including during GNSO Council – Board meeting on 5 June and an extraordinary GNSO Council meeting on 12 June.

- GNSO Council will also need to decide whether to suspend or terminate the existing next-generation Registration Directory Services PDP.

- Focus has been to work and agree on procedural aspects to ensure optimal preparedness for the next steps.
Expected Next Steps

1. Initiation of (E)PDP & Adoption of (E)PDP Charter
   Once outstanding questions have been addressed, the Council, as the manager of the policy development process, will need to decide whether to initiate an expedited policy development process (EPDP) or to pursue a different path to meet the temporary specification requirements.

2. Formation of (E)PDP Team
   - Possible features: representative, manageable, empowered, experienced and sustainable – current practices and approaches are not viable. Significant commitment will be required from members. Which composition has the best chance of success?
   - PDP 3.0 inputs are expected to provide guidance.

3. Commencement of deliberations
   A one year time period is available to conclude the deliberations and for the ICANN Board to consider the adoption of the proposed recommendations.

GNSO Council Leadership to provide update during the high interest topic session on the status of these steps as well as latest developments from ICANN62.
Geographic Names at the Top-Level

Emily Barabas
Senior Policy Specialist
Geographic Names at the Top-Level

Overview
There are divergent views within the community on the topic of geographic names at the top level. The treatment of these terms is an important issue to address prior to opening future opportunities to apply for New gTLDs. Work Track 5, a sub-team of the New gTLD Subsequent Procedures Policy Development Process Working Group is considering policy and implementation guidance on geographic names at the top level.

What to expect at ICANN62
The purpose of these sessions is to facilitate dialogue on the topic of geographic names at the top-level. The sessions will be led by the co-leads of WT5, ensuring that the team of moderators will be represented by the ALAC, ccNSO, GAC, and GNSO. The session will be run as a working session to validate preliminary outcomes, but also to make progress and/or seek resolution for open issues.

How to Prepare
Visit the Work Track 5 wiki workspace to learn more about the work that has taken place so far: https://community.icann.org/x/YASbAw

Work Track 5 Terms of Reference: https://community.icann.org/x/RgS8B
SO/AC Work

Overview of Work Track 5 Progress

Work Track 5, a sub team of the New gTLD Subsequent Procedures Policy Development Process Working Group, operates under GNSO Operating Procedures and has an inclusive leadership structure with one co-leader from each the ccNSO, GAC, ALAC, and GNSO.

The Work Track has completed its Terms of Reference, developed a work plan, and made progress on substantive deliberations. Topics of discussion under Work Track 5 include:

- Two-letter combinations
- Country and Territory Names included in the 2012 Applicant Guidebook
- Geographic Names included in the 2012 Applicant Guidebook, for example capital city names, city names, sub-national place names, and UNESCO regions
- Additional potential geographic terms that were not included in the 2012 Applicant Guidebook
ICANN62 Sessions

Session 1 – Monday 25 June at 15:15
In this first 90 minute session, the Work Track leadership will provide an update on Work Track 5’s progress and timeline, share preliminary outcomes from the group for feedback and validation, and begin to discuss key topics that have generated significant discussion in the Work Track.

Session 2 – Thursday 28 June at 15:15
During this second 90 minute session, the Work Track leaders will focus on key topics introduced in the Work Track that have generated significant discussion in the Work Track. The Work Track is seeking additional perspectives and ideas from the community on these “hot topics”. All are encouraged to participate.
Supporting Organizations & Advisory Committees (SO/AC) Updates
Address Supporting Organization (ASO)

Carlos Reyes
Policy Support and Community Services
Senior Manager
Organizational Review
The global Internet number community, including the ASO AC, continues its regional consultations on the recommendations in the final report of the ASO organizational review published in late July 2017.

CCWG-Accountability Work Stream 2 Final Report
The ASO AC has been reviewing the recommendations from the Cross-Community Working Group on Enhancing ICANN Accountability related to work stream 2.

ICANN Board Seat 9 Selection
In May 2018, the ASO AC announced the selection of Ron da Silva to serve another three-year term on Seat 9 of the ICANN Board.

Regional Policy Development
Several proposals under discussion regarding transfers, exhaustion, assignments/sub-assignments, and allocation.
Although several members of the Internet number community, including ASO AC members, will be present in Panama City, the ASO will not be convening at ICANN62.

Upcoming Regional Internet Registry meetings:

- APNIC 46 | 6-13 September 2018 | Noumea, New Caledonia
- LACNIC 30 | 24-28 September 2018 | Rosario, Argentina
- ARIN 42 | 4-5 October 2018 | Vancouver, Canada
- RIPE 77 | 15-19 October 2018 | Amsterdam, The Netherlands
- AFRINIC 29 | 25-30 November 2018 | Egypt
Country Code Names Supporting Organization (ccNSO)

Bart Boswinkel
Vice President,
Policy Development and ccNSO Relations
PDP: Retirement of ccTLDs

Update to community: Tuesday Block 2

WG Meeting: Thursday Block 1 and 2

Completed
- Terminology
- Retirement Scenarios
- Work Plan

Next steps
- Comparative Analysis
- Develop Policy (Start in Panama)
- Stress Test

https://community.icann.org/display/ccnsowkspc/Policy+Development+Process+%28PDP%29+-+Retirement
ccNSO – ICANN62 Outlook

ccNSO related meetings:

- Tech Day Monday, 25 June 2018,
- ccNSO members days, Tuesday and Wednesday

Topic Highlights:

- Sessions on disaster recovery and business continuity
  - Follow-up Disaster Recovery session San Juan, prepare for workshop in Barcelona
    - Tech Day: operational and technical perspective
    - ccNSO members day: business, administrative perspective
- WS 2 Accountability session: Discussion and view ccTLDs present on recommendations CCWG Accountability (Wednesday: block 2)
- PTI session: discussion and views ccTLDs present on amended charter Customer Standing Committee
Generic Names Supporting Organization (GNSO)

Marika Konings
Vice President,
Policy Development for the GNSO
**ICANN62 Highlights**

**Significant time for PDP F2F WG meetings:**
- New gTLDs SubPro
- Rights Protection Mechanisms
- gTLD Registration Data Temporary Spec (E)PDP

**Bilateral meetings**
with the ccNSO and Governmental Advisory Committee

**GNSO Sessions:**
- Pre-ICANN62 GNSO Policy Update Webinar
- GNSO briefings every morning
- Council Strategic Planning Outlook Exercise

**Limited GNSO Stakeholder Group and Constituency Meetings**

**GNSO Council Meeting:**
- GNSO Review Update
- gTLD Registration Data Temporary Spec (E)PDP
- Use of emoji domain names in gTLDs
- Curative Rights for IGO/INGOs PDP
- WS2-Accountability

**GNSO Wrap-up Session**
GNSO Policy Topics at ICANN62

1. **New gTLD Subsequent Procedures**
   - 7.25 hours in total (incl. cross community sessions)
   - Preparing to publish Initial Report
   - Geographic names in the new gTLD Program

2. **gTLD Registration Data (E)PDP**
   - Nearly 6 hours in total placeholder time
   - Allow (E)PDP Team to commence deliberations, if ready

3. **Review of all Rights Protection Mechanisms**
   - 4.5 hours in total
   - Working sessions for Sub Team reports on Uniform Rapid Suspension data collection and procedural issues

4. **New gTLD Auction Proceeds**
   - 1.5 hours in total
   - Status update to community
   - Consider responses to remaining charter questions
   - Work towards publication of Initial Report
At-Large / At-Large Advisory Committee (ALAC)

Heidi Ullrich
Vice President,
Policy Development and At-Large Relations

Evin Erdoğdu
At-Large Policy Support Coordinator
ALAC/At-Large will hold 19 sessions with a focus on policy issues including New gTLDs and Work Tracks 1-5, GDPR/WHOIS, as well as on the Root Key Signing Key (KSK) Rollover and daily prep sessions for the cross-community topics. Additional sessions include the next steps on At-Large Review, sessions with the GAC and SSAC as well as joint outreach and engagement sessions with the NCSG. The Regional At-Large Organizations (RALOs) will focus on implementing their Policy Hot Topics and Individual Member participation within At-Large. LACRAntO will hold both an Open House meeting as well as an evening Networking Open House while the AFRALO- AfrICANN meeting will finalize their Statement on GDPR. The ALAC Chair Election and Transition will begin.
At-Large – Policy Advice Development Activities

- **New gTLDs and Work Tracks 1-5**
  - Draft Procedure for Community gTLD Change Requests

- **GDPR/WHOIS**
  - Intellectual Property Constituency/Business Constituency Accreditation and Access Model for Non-Public Data v1.5
  - Data Protection/Privacy Issues Update: Soliciting Community Input on Article 29 Guidance
  - Data Protection/Privacy Issues: ICANN-proposed Interim Model

- **KSK Rollover**
  - Plan to Restart the Root Key Signing Key (KSK) Rollover Process

- Since ICANN61, the ALAC has produced over 11 policy advice statements in response to public comments. They are available at:
  - [https://atlarge.icann.org/policy-summary](https://atlarge.icann.org/policy-summary)
Governmental Advisory Committee (GAC)

Robert Hoggarth
Vice President,
Policy Development and GAC Relations
GAC – ICANN62 Outlook

- Nearly 30 topic sessions covering 24 hours of work over 4 days
- Full Day Capacity Building Workshop for the Region (last pilot)
- High Level Government Meeting Preparations (ICANN63)
- Substantive Topics:
  - GDPR
  - IGO Protections for Names and Acronyms
  - dotAmazon Progress
  - 2 Characters Codes at second Level
- Operational Topics:
  - GAC Web Site
  - Independent Secretariat Support
  - Onboarding and Engagement Initiatives
  - Action Request Registry (ARR)
  - Leadership Elections/Nominations
- Bilateral Meetings:
  - ALAC, Board, BGRI, ccNSO, GNSO
- Working Group Meetings
GAC Working Group Activities at ICANN62

- GAC Chair creates Working Groups and Committees as needed (GAC Operating Principle #27)
  - Human Rights and International Law Working Group
  - Underserved Regions Working Group
  - Public Safety Working Group
  - Nominating Committee Working Group
Root Server System Advisory Committee (RSSAC)

Steve Sheng
Senior Director, Policy Development Support & Team Lead in Support of RSSAC and SSAC
RSSAC033: Statement on the Distinction Between RSSAC and Root-Ops

- RSSAC and Root-Ops are names for two separate communities with different missions and scopes that relate to the DNS.
- RSSAC provides this document to help explain the differences between the two functional bodies.

RSSAC034: Report from the May 2018 Workshop

- High-level summary of the outcomes from the sixth workshop
- The purpose of this workshop was to finalize the proposed governance model (the Model) for the DNS Root Server System (RSS).
- At the workshop, the RSSAC reviewed the Model, discussed scenarios, and planned next steps.
ICANN62 Outlook

RSSAC Work Sessions
For the first time at ICANN Public Meetings, RSSAC work sessions will be open for observation. This includes a joint meeting with the leadership of the Nominating Committee and discussions about ongoing and future RSSAC work items.

RSSAC Organizational Review Session
Interisle Consulting Group, LLC, has been conducting the second organizational review of the RSSAC. During this session, the independent examiner will present its final report.

RSSAC Information Session
The RSSAC will provide updates on its recent publications and current work, as well as answer questions related to its mission and upcoming priorities.

Please refer to the ICANN62 schedule for the most current information, including remote participation details.
Security and Stability Advisory Committee (SSAC)

Andrew McConachie
Technical & Policy Manager
SSAC – ICANN62 Work Parties

- Name Collision Analysis Project (NCAP)
  - Draft Report public comment closed on 18 April 2018, ten comments received
  - NCAP team revising the proposal, to publish in July 2018
  - At ICANN 62, five hour working sessions planned

- WHOIS Rate Limiting

- The Internet of Things (IoT)

- Root Zone KSK Rollover
  - On May 13th the ICANN Board requested the SSAC to comment

- Emerging Security Issues
  - In coordination with Tech Day
Adobe Connect room microphones have now been enabled. To activate your microphone, please click on the microphone icon at the top of the toolbar and follow the instructions.

Please remember to MUTE your microphone when not speaking.
Questions received through the registration form

- Will there be discussions at the policy forum in Panama on the future role of GAC?
- 1) Why are Limited information on ccTLDs
2) Why do ccTLD managers remain largely invisible to their community, stakeholders, Govt etc? As a result the value and role of ccTLD remains unknown and under-developed
3) why is there always a lack of understanding of ICANNs role in the re-delegation process
4) Why can't the ccTLDs location been seen as neutral and balanced?
- What does GDPR impact to internet community?
Thank You!
Contact us at policy-staff@icann.org
Follow us on Twitter:
@ICANN @ccNSO
@ICANN_GNSO @ICANNAtLarge

Recordings & slides from this Webinar will be posted on the Policy Presentations Space on the ICANN website – see link in Adobe Connect Chat Pod and below:

https://www.icann.org/resources/pages/presentations-2012-08-27-en