Policy Update Webinar
7 November 2013
Introduction

David Olive

Vice President for Policy Development Support
Buenos Aires Outlook

Pre-Meeting Activities
• Orientation for incoming SO/AC members
• DNS Forum for LAC Region

Meeting Highlights
• SO/AC-led “High Interest” Topic Discussion on Monday
• Strategic Panels, Internet Governance sessions on Monday
• Community Day sessions on Tuesday
• Public Forum and Board Meeting on Thursday
• New gTLD sessions running in parallel
• ICANN 15th Anniversary Celebration, Music Night, Gala

LAC Region Focus
• IPv6 Workshop, LAC Strategy Update, LACRALO Showcase on Monday
Policy Advice Developed at ICANN

ICANN Supporting Organizations
- GNSO | Generic Names Supporting Organization
- ccNSO | Country-Code Names Supporting Organization
- ASO | Address Supporting Organization

Advice provided by Advisory Committee
- ALAC | At-Large Advisory Committee
- SSAC | Security & Stability Advisory Committee
- RSSAC | Root Server System Advisory Committee
- GAC | Governmental Advisory Committee
ONE WORLD. ONE INTERNET.

WHAT DOES ICANN DO?
To reach another person on the Internet you have to type an address into your device—a name or a number. That address must be unique, so computers will know where to find each other. ICANN maintains and administers these unique identifiers across the world. Without ICANN’s management of this system, known as the Domain Name System or DNS, we wouldn’t have a global, scalable Internet where we can find each other.

Community-Driven Policy
To keep pace with dynamic technologies and rapid innovation, ICANN enables consensus-driven, multistakeholder policy development, with broad representation from the global Internet community.

Multistakeholder Model:
Civil Society & Internet Users, the Private Sector, National & International Organizations, Governments, Research, Academic and Technical Communities are all represented.

HOW DO I PARTICIPATE?
• Sign up for updates at myicann.org
• Join one of the many Public Comment Forums on ICANN’s website
• Attend ICANN’s Public Meetings in person or online to provide input at a Public Forum
• Join one of ICANN’s Supporting Organizations or Advisory Committees

WHO’S INVOLVED?
A number of groups, each of which represents a different interest on the Internet. All of them come together with the Board of Directors to shape ICANN decisions.

Contractual Compliance
ICANN oversees the contracts it maintains and enforces the consensus policies developed through the community-driven process. ICANN’s Contractual Compliance function seeks to ensure compliance with the agreements and the consensus policies.

Competition & Choice
From accrediting over 1000 registrars, to introducing new Top Level Domains (TLDs), ICANN works to expand consumer choice by fostering competition and innovation in the domain name marketplace.

 WHICH FUNCTIONS DOES ICANN COORDINATE?
• Domain Name System (DNS)
• Internet Protocol (IP) address allocation
• Protocol-Parameter Registry
• Root Server Systems
• Generic Top-Level Domain name (gTLD) system management
• Country Code Top-Level Domain name (ccTLD) DNS
• Time zone database management

Security & Stability
ICANN supports DNS security through technical training and engagement, coordinating and collaborating with the community in the implementation of standards such as DNSSEC.

Interoperability
ICANN’s work enables new technologies to flourish while maintaining interoperability across the global Internet. For example, management of the unique protocol identifiers allows communication using secure connections between users.

For more information or to get involved, please visit www.ICANN.org
Goals for this session

• Update you on current policy work and encourage you to participate

• Review issues to be discussed at the ICANN Meeting in Buenos Aires

• Inform you of upcoming initiatives—including SO-AC Engagement activities—and opportunities to provide input

• Answer any questions you might have after each SO/AC update
Topics covered in this session

Generic Names Supporting Organization (GNSO)

- Thick Whois PDP
- Translation and Transliteration of Contact Information
- PDP Protection of IGO and INGO Identifiers in All gTLDs
- Drafting Team on Cross-Community Working Groups
- RAA Privacy and Proxy Services Accreditation Issues PDP
- Other Projects:
  - Purpose of gTLD Registration Data PDP
  - WHOIS Studies Update
  - IRTP Part D PDP
  - Non-PDP GNSO Metrics and Reporting DT
  - Policy and Implementation
  - Locking of a Domain Name subject to UDRP Proceedings PDP
Topics covered in this session

**Country Code Supporting Organization (ccNSO)**
- Framework of Interpretation WG
- Study Group Country names
- ccTLD Financial Contributions
- Joint WGs

**Address Supporting Organization (ASO)**
- ASO Changes since Durban
- ASO Activities in Buenos Aires
- NRO 10th Anniversary

**Root Server System Advisory Committee (RSSAC)**
- Upcoming Publications
- Restructure Update
- Future Engagement in ICANN
Topics covered in this session

Security and Stability Advisory Committee (SSAC)

- Recent SSAC Reports
- Other SSAC Work in Progress
- SSAC and Related Events in Buenos Aires

Government Advisory Committee (GAC)

- Overview
- Current Policy Activities

At-Large Advisory Committee (ALAC)

- ALAC Policy Activities since Durban
- At-Large and RALO Activities since Durban
- ALAC and RALO Events in Buenos Aires
Current issues being discussed in the GNSO

- Protection of IOC, Red Cross, IGO names for new gTLDs
- WHOIS - Studies, Purpose of gTLD registration data, Thick Whois, translation and transliteration of registration data
- Privacy & Proxy Services Accreditation
- Inter-Registrar Transfer Policy
- Metrics & Reporting
- Policy & Implementation
- Others - currently there are over 15 projects underway
‘Thick’ Whois Policy Development Process

Marika Konings
Why is it important?

• ICANN specifies Whois requirements through the registry and registrar agreements

• Registries use different services to satisfy their obligations:
  – ‘thin’ Whois: A thin registry only stores and manages the information associated with the domain name
  – ‘thick’ Whois: Thick registries maintain and provide both sets of data (domain name and registrant) via Whois.

• Thick Whois has certain advantages e.g. transfers, but there may be negative consequences that should be explored in order to determine whether ‘thick’ Whois should be required for all
Recent Developments

- WG reviewed all comments received
- Achieved full consensus on report and its recommendations
- Final Report published on 21 October recommends that:

The provision of thick Whois services, with a consistent labelling and display as per the model outlined in specification 3 of the 2013 RAA, should become a requirement for all gTLD registries, both existing and future.
Implementation Recommendations

- Subsequent public comment forum and the notification by the ICANN Board to the GAC to request input on any considerations related to the transition from thin to thick Whois.
- Undertake a legal review of law applicable to transition of data from a thin to thick model and give due consideration to potential privacy issues that may result from the transition from thin to thick Whois.
- Creation of an Implementation Review Team.
Next Steps

- GNSO Council adopted recommendations unanimously at its meeting on 31 October
- Public comment forum to be opened on the recommendations prior to Board consideration
Further Information

- DT Workspace - [https://community.icann.org/display/PDP/Home](https://community.icann.org/display/PDP/Home)
Policy Development Process: Translation and Transliteration of Contact Information

Julie Hedlund and Lars Hoffmann
Background

1. Initial Report published on 21 March 2013
2. PDP initiated by GNSO on 13 June 2013
3. Drafting Team submitted Charter to GNSO Council on 30 September
4. GNSO Council will consider revised Charter during its meeting in Buenos Aires
5. Call for Working Group Volunteers published following adoption of Charter
The two issues of the transliteration and translation PDP

The Working Group Charter poses two substantive questions to the Working Group:

1. Should local contact information be translated into one language (such as English) or should it be transliterated into one script (such as Latin)?

2. Who should decide who should bear the burden to either translate or transliterate contact information?
Related Issues

- Staff has commissioned a commercial feasibility study on translation and transliteration of contact information to help inform Working Group.

- Another, forthcoming Working Group will determine the appropriate internationalized domain name registration data requirements, including relevant outcomes of this PDP.
Next Steps

- Adoption of Charter
- Formation of Working Group
- Outreach to SOs/ACs to solicit community input to the Charter questions.
- Working Group drafts an Initial Report

Image credit: http://3.bp.blogspot.com/
PDP Protection of IGO and INGO Identifiers in All gTLDs

Berry Cobb
Why is it important?

- PDP WG tasked to evaluate the need for and develop policy recommendations for permanent special protections at the top and second level in all gTLDs for IGO and INGO identifiers, including the RCRC & IOC

- Identifier protections for RCRC, IOC, and IGOs being considered in response to GAC advice

- Second-level reservations of RCRC, IOC, and IGOs placed in Spec 5 of New gTLD Registry Agreement

- Any policy changes may influence both new gTLDs and incumbent gTLDs
Recent Developments

- Draft Final Report has been published for public comment (started 1 yr. ago)
- Public Comment closed 31 Oct 2013
- WG reviewed and considered comments
- WG finalized proposed recommendations and preparing Final Report for delivery to the GNSO Council
Working Group Recommendations

- 38 Total Recommendations being considered
- Recommendations span RCRC, IOC, IGO, Other INGOs & General
- What is supported**:
  - Top-level reservation protection of full name + exception procedure
  - Second-level reservation protection of full name + exception procedure
  - No acronym reservation protections
  - Identifiers not reserved bulk added to TMCH
    - 90 days claims notification service
  - Initiate PDP to determine how IGOs-INGOs may access UDRP, URS curative rights protections

**These recommendation statements are only for presentation purposes and are not an accurate representation of the WG’s actual recommendations.
Next Steps

• WG to submit Final Report to the GNSO Council
• WG Chair will brief GNSO Council at its weekend session
• WG face-to-face meeting scheduled for Monday 18 Nov if needed.
• GNSO Council will deliberate WG recommendations in Buenos Aires
• Any adopted recommendations will be considered by the GAC and an additional public comment will be opened prior to the ICANN Board considering this issue
Further Information

Drafting Team on Cross-Community Working Groups

Mary Wong
Why is it important?

• A recognized need to develop a coherent framework for effective collaboration across the ICANN Supporting Organizations & Advisory Committees (SO/ACs) on issues of common interest

• But each SO/AC has its own remit and operating procedures may differ across SO/ACs

• There have been a number of Cross-Community Working Groups (CWGs), and several are currently ongoing
  - e.g. Joint DNS Security & Stability Analysis Working Group (DSSA): At Large, ccNSO, GNSO, NRO, SSAC

• GNSO created a Drafting Team (DT) in late 2011 to create an initial framework for discussion with other SO/ACs
Recent Developments & Next Steps

- GNSO Draft Principles for CWGs circulated for feedback from other SO/ACs
- ccNSO provided detailed feedback in June 2013
- Based on ccNSO feedback, GNSO Council recently passed a motion to create a new Drafting Team, co-chaired with the ccNSO, consisting of members from interested SO/ACs, to work on updated framework & principles
- Group to be set up after Buenos Aires
Further Information


• GNSO Council resolution on creating new Drafting Team involving all interested SO/ACs: http://gnso.icann.org/en/council/resolutions#201310
RAA Privacy & Proxy Services Accreditation Issues PDP

Mary Wong
Why is it important?

- ICANN Board approved a new form of Registrar Accreditation Agreement (RAA) in June 2013
- RAA negotiations were informed by previous community work & recommendations from law enforcement agencies
- Use & regulation of privacy & proxy services for domain name registration the one remaining issue from the negotiations
- New RAA contains temporary privacy/proxy specification (to 1/1/2017)
Recent Developments & Next Steps

- PDP initiated by ICANN Board to commence after 2013 RAA concluded
- Staff Paper on Conclusion of RAA Negotiations recently reviewed by GNSO Council
- GNSO Council approved charter for the PDP Working Group to address issues concerning accreditation of privacy & proxy services at its meeting on 31 Oct
- Call for volunteers to form PDP WG launched
Further Information

• Information on RAA negotiations: https://community.icann.org/display/RAA/Negotiations+Between+ICANN+and+Registrars+to+Amend+the+Registrar+Accreditation+Agreement+Concluded


Other GNSO Projects
Purpose of gTLD Registration Data PDP

What is it about?
• Board requested an Issue Report on the purpose of collecting and maintaining gTLD registration data as well as solutions to improve accuracy and access to gTLD registration data, and created an Expert Working Group (EWG).

Status
• Preliminary Issue Report was published for public comment.

Next steps
• Upon publication of its recommendations by the EWG, the Issue Report will be updated and finalized, following which the PDP will commence.

More info:
WHOIS Studies Update

What is it about?
• GNSO Council commissioned several WHOIS-related studies in 2010; two have been completed

Status
• WHOIS Privacy & Proxy Abuse Study (by the UK’s National Physical Laboratory) published for public comment on 24 September 2013
• WHOIS Misuse Study (by the USA’s Carnegie Mellon University) being finalized and will shortly be published for public comment

Next steps
• Study findings will inform various current & future ICANN work relating to WHOIS, e.g. RAA Privacy & Proxy Services Accreditation Issues PDP; the EWG; development of accredited privacy & proxy services program

More info: http://gnso.icann.org/en/group-activities/other/whois/studies
Inter-Registrar Transfer Policy Part D PDP Working Group

What is it about?
• This is the final episode in a series of Inter-Registrar Transfer Policy (IRTP) related PDPs, chartered to provide recommendation on six questions - see also https://community.icann.org/display/ITPIPDWG/3.+WG+Charter.

Status
• Reviewed input from GNSO SG/Cs & SOs/ACs
• Provided answers to four of six questions
• In the process of finalizing re-structuring the Transfer Dispute Resolution Policy and opening it up to the possibility of Registrant-initiation.

Next steps
• Finalizing recommendation and publication of Initial Report by end of November 2013.

Buenos Aires workshop on Wednesday 20 November 2013, 10.30 - 12 noon

More info: https://community.icann.org/x/B4JwAg
Non-PDP GNSO Metrics and Reporting DT

What is it about?
This effort will be chartered to review how the community can collaborate with contracted parties and other service providers in the sharing of complaint and abuse data that may also further educate Registrants and Internet users in submission of complaints to the appropriate party. It may also investigate more formal processes for requests of data, metrics and other reporting needs for the GNSO that may aid in GNSO policy development efforts.

Status
• Drafting Team began its first meeting 16 October 2013 and has started outlining scope and deliverables for the MetRep WG Charter.

Next steps
• The DT will finalize the WG Charter and submit it to the GNSO Council for approval.
• Upon approval, a call for volunteers will be announced to form the WG

More info: http://gnso.icann.org/en/group-activities/active/metrep
Policy & Implementation

What is it about?
GNSO has formed a Working Group to address issues that have been raised in the context of the recent discussions on policy & implementation that affect the GNSO such as developing a process for developing policy advice other than “Consensus Policy” and a framework for implementation related discussions associated with GNSO Policy Recommendations.

Status
• WG started its deliberations in Aug - broad participation and interest
• Conducted outreach to all SO/ACs to obtain input at an early stage
• Developing its work plan

Next steps
• F2F Meeting in Buenos Aires, open to anyone interested on Wednesday 20 November from 16.45 - 18.15

More info: https://community.icann.org/x/y1V-Ag
Locking of a Domain Name subject to UDRP Proceedings

What is it about?
Currently no requirement to lock names in period between filing and commencement of UDRP proceedings & no definition of ‘status quo’ which has resulted in different interpretations

Status
• GNSO Council & ICANN Board unanimously adopted the WG recommendations which are expected to clarify and standardize the process for locking of a domain name subject to UDRP Proceedings

Next steps
• Formation of Implementation Review Team
• Development of proposed implementation plan

More info:
http://gnso.icann.org/en/group-activities/active/locking-domain-name
How to Stay Updated

New GNSO Twitter Handle
Follow @ICANN_GNSO
ccNSO Update
Bart Boswinkel
Senior Policy Advisor
• Framework of Interpretation WG
• Study Group Country names
• ccTLD Financial Contributions
• Joint WGs
What is Framework of Interpretation?

• Develop interpretations of RFC 1591 in a consistent and coherent manner.
• What is Revocation?

• Redelegations without the consent of the ccTLD manager.

• When is it possible?
  • Substantial misbehaviour
  • Persistent problems

• ccTLD manager is unwilling or unable to rectify the problems

• Recommendations to be discussed in Buenos Aires
Results Study Group Use of Country Names

Invite other SOs and ACs to:

• review status of representations of C&T names

• Develop harmonized definitional Framework, if deemed feasible.

Extend current rule Applicant Guidebook until new ccWG provides advise
ccTLD Financial Contributions: new era

Value exchange model
• Two-way
• Specific, Shared and Global components
Guideline financial contribution
• Voluntary
• Banded
Joint Working Groups ccNSO
Current Status

DSSA WG
Final Report
WG Suggestion closure

JIG
Final Report Universal Acceptance of IDN TLDs
Information

• Interim Report FoI WG  

• Final Report Study Group  

• ccNSO Finance WG  
  http://ccnso.icann.org/workinggroups/finance-wg.htm

• ccNSO Meetings Agenda  
  http://ccnso.icann.org/meetings/buenos-aires/agenda.htm
ASO Update

Louie Lee
Address Council Chair
Changes to the ASO AC since August

The ASO Advisory Committee has 15 members, 3 from each region, and one person per region is elected each year.

- Tomohiro Fujisaki reelected for APNIC region
- Hans-Petter Holen leaves ASO for RIPE region and Filiz Yilmaz joins ASO AC for RIPE region
- Jason Schiller reelected for ARIN region
- Alejandro Guzman leaves ASO for LACNIC region and Jorge Villa joining ASO AC for LACNIC region
- AFRINIC will have elections in their November meeting in Côte d’Ivoire
Is the ASO meeting in Buenos Aires?

• The ASO AC will not be meeting formally at ICANN 48, but several ASO AC members will be attending the meeting
• The ASO AC is expected to conduct a face-to-face meeting at an upcoming ICANN meeting
• Additionally, many of the NRO Executive Committee members will be in Buenos Aires
ASO Activities in Buenos Aires

- Participating in SO/AC High-Interest session on Monday
- Open meetings with the ATRT2, At-Large, and with the ICANN Board
- Participating in “Hablemos de IPv6 en Latinoamérica (Let's Talk About IPv6 in Latin America)” on Monday afternoon (13:30 to 15:00 ART)
NRO 10\textsuperscript{th} Anniversary

- The community will be commemorating the 10\textsuperscript{th} anniversary of the establishment of the NRO

- The NRO was created as a visible framework for the cooperative joint activities of the five RIRs
RSSAC Update

Barbara Roseman
Policy Director and Technical Analyst
RSSAC Meeting in Vancouver for IETF 88

- RSSAC Executive and Caucus meetings took place

- RSSAC001 is basically ready, but awaits an IAB document that must be published synchronously

- It was decided to publish RSSAC002

- RSSAC Executive resolved a problematic issue regarding membership definitions, and created a Membership Committee comprised of Paul Vixie, Jason Castonguay, and Kaveh Ranjbar to address remaining issues around Caucus formation
RSSAC Engagement in ICANN

- **Buenos Aires | November 2013**
  - Executive members in attendance
  - No formal meeting taking place
  - Sessions with ATRT2, GAC, TLG

- **Singapore | March 2014**
  - Expected to have a full RSSAC meeting
SSAC Update

Julie Hedlund and Steve Sheng
Recent SSAC Reports

• SAC 060: SSAC Comment on Examining the User Experience Implications of Active Variant TLDs Report (23 July 2013)

• SAC 061: SSAC Comment on ICANN’s Initial Report from the Expert Working Group on gTLD Directory Services (06 September 2013)
SAC 060: IDN Variant TLDs

• What is it? The SSAC provides comments on two of ICANN’s IDN variant TLD report.

• Why the issue matters: The root zone is shared by everyone on the Internet, and needs a set of label generation rules that ensures
  – minimal conflict,
  – minimal risk to all users (independent of which language or script they are using, independent of gTLD or ccTLD), and
  – minimal potential for incompatible change over time.
Highlights of SSAC Recommendations

The SSAC recommends ICANN to:

• exercise the principle of **conservatism** with respect to allowable code points, and number of active variants;

• ensure there is a **secure, stable and objective process** to handle situations in which the community disagrees with ICANN’s variant calculation;

• for the stability of root zone, make sure later versions of the LGR is **backward compatible** to avoid incompatible results with existing (historical) allocations;

• **Focus** the LGR for the root zone, but **encourage** its adoption at registry and other levels;

• Ensure **EBERO providers and TMCH support variant TLDs**, and should ensure that parity exists for variant support in all relevant systems and functions associated with new TLD components.
SAC 061: Comment on EWG Report

• What is it? The SSAC provides comments to ICANN EWG WG’s initial report

• Why the issue matters:
  – Registration Data Directory service is an important service for the community
  – The current WHOIS service is not able to meet the community’s need
  – The EWG proposed a model (ARDS) forward
Highlights of SSAC Comments

Four areas:

• Purpose of Registration Data
• Availability Risks
• Authentication and Access Control
• Data Accuracy
Other SSAC work in Progress

- SSAC Advisory on Name Collisions
- SSAC Advisory on DNS Abuse
- SSAC Advisory on Root Key Rollover
- Previously Published SSAC Reports are available at: http://www.icann.org/en/groups/ssac/documents
SSAC and Related Events in Buenos Aires

- SSAC Public Meeting, Thursday 21 November, 8:00 am Local Time
  http://buenosaires48.icann.org/en/schedule/thu-ssac

- DNSSEC for Everybody: A Beginner’s Guide, Monday, 18 November, 5:00 pm Local Time

- DNSSEC Workshop:
Overview

• The Governmental Advisory Committee (GAC) currently has 129 governments as members and 28 IGOs as observers

• The GAC meets face-to-face at ICANN meetings, with inter-sessional work conducted remotely

• The GAC provides advice to the ICANN Board on public policy matters
Current Policy Activities

• Recent advice has been focused on new gTLDs
• Advice on safeguards and on protection of IGO names and acronyms on the second level are among the topics to address in Buenos Aires
• The GAC has a full agenda, from Saturday to Thursday, and most sessions are open
ALAC Update

Heidi Ullrich
Director for At-Large
ALAC Policy Activities since Durban

The ALAC submitted 18 Policy Advice Statements and Correspondences between Durban and late October, including:

- **ALAC Statement on the Study on Whois Privacy & Proxy Service Abuse**
- **ALAC Statement on the Confusingly Similar gTLDs**
- **ALAC Statement on the Preferential Treatment for Community Applications in String Contention**

All ALAC Policy Advice Statements may be viewed on the At-Large Correspondence webpage at:

http://atlarge.icann.org/correspondence

and the Monthly Policy Update.
ALAC and RALO Activities since Durban

• Process started for the selection of the Board Director
  Selected by ALAC/At-Large

• At-Large community coordinated activities at the 2013 IGF

• ATLAS II Survey completed by over 90% of At-Large Structures

• New Beginner’s Guide for At-Large Structures

• 4 At-Large Briefing Sessions
  • ICANN/At-Large in the Internet Eco-System
  • IPv6 Webinar
  • Introduction to Portfolio Management and ICANN Labs
  • New ALS Introductory Webinar

All At-Large Briefing Sessions are available at: https://community.icann.org/display/atlarge/Briefing+Sessions
ALAC and RALO Activities in Buenos Aires

- At-Large will hold 23 Sessions
- Will be Meeting with the Board, ccNSO, ASO, NomCom, NCSG and the ATRT2
- Will be holding several ATLAS II planning sessions
- Will be welcoming 6 new ALAC members and 4 new RALO leaders
- LACRALO Showcase - Monday, 18 November between 18:30-20:00 in the Golden Horn Room
- Watch Tango dancing and sample Argentine wine while learning about LACRALO ALS activities
SO-AC Engagement Update

Robert Hoggarth
Senior Policy Director
Formalizing SO-AC Engagement

The Contribution of Community time is one of ICANN’s most important resources

- Creating/reinforcing effective channels for communicating community needs
- Reinforcing community points of view
- Identifying improved tools and capabilities to make community work more effective and efficient.
Growing SO-AC Engagement Capabilities

• Improved engagement resources (e.g., public comments)
• Addressing community needs for expanded toolkit capabilities - resources
• SO-AC Special Budget Request Support
• Outreach Strategy Support
• Opportunity to Assess ICANN-Community Structure Relationships
• Exec Roundtable Follow-Ups
• More Consistent VP Interaction with SO-ACs
• Increased Staff Support
Question and Answer Session
How to Stay Updated

*Monthly Policy Update*

- Published mid-month
- Read online at:  
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- Subscribe at:  
  http://www.icann.org/en/topics/policy/
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Thank You

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