

ICANN | GNSO

Generic Names Supporting Organization

PDP Update

January 2015

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The PDP Updates are one-page documents that are prepared by ICANN staff to inform the GAC and other interested parties about potential opportunities to engage in and contribute to on-going GNSO PDP efforts. They are published on a regular basis and translations of these can be found on the [GAC website](#). Please, also refer to our [Policy Briefings](#) for information on these and other GNSO activities.

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GNSO POLICY DEVELOPMENT UPDATE

ISSUE

Inter-Registrar Transfer Policy Development Process – Part C

UPCOMING IMPORTANT DATES: Publication of proposed policy language and process for public comment – mid-February

SUMMARY

The Inter-Registrar Transfer Policy (IRTP) is a consensus policy to provide a straightforward procedure for domain name holders to transfer domain names between registrars. An overall review of this policy identified areas that require clarification or improvement, which were labeled A-E, and addressed in a series of PDPs. This PDP, which is known as IRTP Part C, reviews whether a process for ‘change of control’ is needed; whether the current Forms of Authorization (FOAs) should be time-limited, and; whether the use of IANA IDs should be required. The PDP has produced recommendations that were adopted unanimously by the GNSO Council on 17 October 2012. The ICANN Board adopted the recommendations on 20 December 2012. These recommendations are:

- **Recommendation #1** – The adoption of a new consensus policy specifying the rules and procedures applicable to circumstances where there is a change of registrant.¹
- **Recommendation #2:** Forms of Authorization (FOAs), once obtained by a registrar, should be valid for no longer than 60 days, with certain exceptions, and additional procedures to be followed.
- **Recommendation #3:** All gTLD Registry Operators should be required to publish the Registrar of Record's IANA ID in the TLD's WHOIS. The IRTP Part C Final Report includes additional information and rules related to use of the IANA IDs and/or proprietary IDs. (note: the implementation of this specific recommendation was announced on 2 July 2014 as part of the Additional Whois Information Policy, see <https://www.icann.org/news/announcement-2014-07-02-en>)

ENGAGEMENT OPPORTUNITY STATUS



An Implementation Review Team is working with ICANN staff on the development of the Change of Registrant process and proposed policy language (recommendation #1). Draft policy language has been reviewed and awaits final agreement by the IRT before publishing the public comment which is targeted for mid-February.

Recommendation #2, FOA expiration beyond 60 days is also in development - draft text has been reviewed and approved by the Implementation Review Team (IRT). The work products

¹ Further details concerning the rules and requirements for the change of registrant policy are detailed in the [IRTP Part C Final Report](#) under the heading 'Proposed "Change of Registrant" Process for gTLDs' on page 4-8.

of these two recommendations are expected to be published for public comment and community feedback before ICANN 53.

Lastly, the publishing of the Registrar of Record IANA ID in WHOIS output will be deployed in 2015 with a Policy Effective date of 31 January 2015.

FINAL REPORT

<http://gnso.icann.org/en/issues/irtp-c-final-report-09oct12-en.pdf>

ADDITIONAL INFORMATION

<http://gnso.icann.org/en/group-activities/irtp-c-wg.htm>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE

Inter-Registrar Transfer Policy Development Process – Part D

UPCOMING IMPORTANT DATES: The IRTP Part D Final Report was unanimously adopted by the GNSO Council on 15 October 2014. Following that, the recommendations have been posted for [public comment](#) prior to consideration by the ICANN Board and [notification](#) was provided to the GAC which has been requested to provide input if it believes public policy issues are raised by recommendations. The ICANN Board is expected to consider the IRTP Part D recommendations during ICANN 52 in Singapore.

SUMMARY

The Inter-Registrar Transfer Policy (IRTP) is a consensus policy that provides a straightforward procedure for domain name holders to transfer domain names between registrars. An overall review of this policy started in 2007 and the final effort, IRTP Part D is under way since 2013. The WG's Final Report contains 18 Recommendations, including*:

- The statute of limitation to launch a TDRP be extended from 6 to 12 months;
- If a request for enforcement is initiated under the TDRP, the relevant domain should be 'locked' against further transfers;
- **Not to** develop dispute options for registrants as part of the current TDRP;
- That the TDRP be modified to eliminate the First (Registry) Level of the TDRP;
- The WG does **not** recommend the elimination of FOAs;
- The WG also recommended that, once all IRTP recommendations are implemented the GNSO Council, together with ICANN staff, to convene a panel to collect, discuss, and analyze relevant data to determine whether these enhancements have improved the IRTP process and dispute mechanisms, and identify possible remaining shortcomings.

*Please note that these are extracts from a non-exhaustive list, see [Final Report](#) for details.

ENGAGEMENT OPPORTUNITY STATUS



The Public Comment Period closed on 1 December 2014 and a [Report of Public Comment](#) has been posted. The [GAC has been notified](#) by the ICANN Board to provide input if it believes public policy issues are raised by the IRTP Part D PDP Recommendations.

ADDITIONAL INFORMATION:

- Final Report: <http://gns0.icann.org/en/issues/transfers/irtp-d-final-25sep14-en.pdf>
- Public Comment: <https://www.icann.org/en/system/files/files/report-comments-irtp-d-recommendations-12dec14-en.pdf>
- GNSO Council Resolution: <http://gns0.icann.org/en/council/resolutions#20141015-1>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE

Locking of a Domain Name subject to UDRP Proceedings

UPCOMING IMPORTANT DATES: Implementation effective date (The modified UDRP Rules will take effect 31 July 2015).

SUMMARY

The GNSO Council initiated a policy development process (PDP) to address the limited issues associated with the locking of a domain name subject to Uniform Dispute Resolution Policy (UDRP) proceedings. Currently there is no requirement to lock names during the period between filing a complaint and the commencement of proceedings, and no definition of 'status quo' (a term used in the UDRP), which has resulted in different interpretations and confusion. The Working Group was tasked to make recommendations to the GNSO Council to address the issues identified with the locking of a domain name subject to UDRP Proceedings. The WG submitted its [Final Report](#) containing seventeen full consensus recommendations to the GNSO Council on 5 July 2013. These recommendations are expected to usefully clarify and standardize how a domain name is locked and unlocked during the course of a UDRP Proceeding for all parties involved. The GNSO Council unanimously adopted the Final Report and its recommendations at its meeting on 1 August. The recommendations were posted for public comment prior to being considered for adoption by the ICANN Board (see <http://www.icann.org/en/news/public-comment/locking-domain-name-recommendations-02aug13-en.htm>). One comment was received in support of the recommendations. The Board adopted the recommendations at its meeting on 28 September 2013.

GAC ENGAGEMENT OPPORTUNITY STATUS



Following review of the public comments received on the proposed implementation (see <https://www.icann.org/public-comments/udrp-rules-proposed-2014-05-19-en>), Staff has worked with the IRT to finalize the proposed implementation language. The final implementation language and effective date (31 July 2015) have been communicated to the affected parties (see <https://www.icann.org/news/announcement-2014-11-17-en>).

FINAL REPORT

<http://gns0.icann.org/en/issues/locking/domain-name-final-05jul13-en.pdf>

ADDITIONAL INFORMATION

<http://gns0.icann.org/en/group-activities/locking-domain-name-wg.htm>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE

Translation and Transliteration of Contact Information Policy Development Process

DEFINITION

Translation: translation of text into another language; transliteration: writing a word by using the closest corresponding letters of a different alphabet

UPCOMING IMPORTANT DATES: The Working Group has published its [Initial Report](#) for [Public Comment](#) (comments may be submitted until 1 February 2015). WG members aim to submit their Final Report by May 2015.

SUMMARY

The Policy Development Process (PDP) on the translation and transliteration had its inaugural meeting on 19 December 2013 and since then the Group is discussing the following issues:

1. Whether it is desirable to translate contact information to a single common language or transliterate contact information to a single common script.
2. Who should decide which party(s) should bear the burden of translating contact information to a single common language or transliterating contact information to a single common script.

In its Initial Report, the Working Group does not recommend to mandate the translation/transliteration of contact information data. However, as there is currently no unanimity in the Working Group with regards to this recommendation at this stage, although majority of WG members supports this position, **the Group asks the Community explicitly to supply additional argument for/against translation of contact information** to help inform deliberations in the run up to its Final Report.

ENGAGEMENT OPPORTUNITY STATUS



The Initial Report has been published for [public comment](#). Comments may be submitted until 1 February 2015. All interested parties are invited to submit their views. .

ADDITIONAL INFORMATION:

Initial Report: <http://gnso.icann.org/en/issues/gtlds/transliteration-contact-initial-15dec14-en.pdf>

Webinar Recording on Initial Report: <https://icann.adobeconnect.com/p2lzjk3zy0f/>

Public Comment: <https://www.icann.org/public-comments>

Wiki Space: <https://community.icann.org/x/FTR-Ag>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE: Protection of Certain International Organization Names in all gTLDs

UPCOMING IMPORTANT DATES: Implementation of Board-adopted PDP recommendations not inconsistent with GAC advice; GNSO Council consideration of possible amendments to remaining GNSO PDP recommendations to reconcile inconsistencies with GAC advice; implementation of Board resolution on interim protections for national Red Cross societies.

SUMMARY:

In November 2013, the GNSO Council unanimously adopted the consensus recommendations from its PDP Working Group regarding protections at the top and second level in all gTLDs for the names and acronyms of certain International Government Organizations (IGOs) and International Non-Government Organizations (INGOs), including the Red Cross international movement and its national societies (RCRC) and the International Olympic Committee (IOC). The GNSO did not recommend reservation of IGO acronym identifiers either at the top or second levels; instead it recommended that protection for IGO acronyms be done by way of claims notices via the Trademark Clearinghouse.

On 30 April 2014 the Board [adopted](#) those of the GNSO's recommendations that are not inconsistent with GAC advice received on the topic and requested additional time to consider the remaining recommendations (which include those relating to IGO acronym protections). It also resolved to facilitate dialogue between the GAC, GNSO and other affected parties to resolve the remaining differences. An Implementation Review Team to implement the Board-adopted recommendations under the direction of the Global Domains Division is being formed.

On 16 June 2014 the NGPC [sent](#) a letter to the GNSO Council requesting that it consider amending its remaining policy recommendations with respect to the nature and duration of protection for IGO acronyms, the full names of the entities making up the international Red Cross movement and the names of 189 national Red Cross societies. The GNSO Council [responded](#) to the NGPC's request in October and in January 2015 received the NGPC's reply advising that discussions remain ongoing. At the Los Angeles meeting, the NGPC [resolved](#) to protect the names of the international Red Cross and the 189 national societies on an interim basis. Staff is currently working with the Red Cross on implementation of this resolution.

ENGAGEMENT OPPORTUNITY STATUS



The GAC's [Los Angeles Communique](#) reaffirmed its previous advice on the protection of IGO names and acronyms and also acknowledges the NGPC's latest resolution to temporarily protect the Red Cross' national society identifiers until the differences between the GNSO's consensus recommendations and GAC advice are reconciled.

ADDITIONAL INFORMATION

- PDP Working Group Final Report:
- <http://gns0.icann.org/en/issues/igo-ingo-final-10nov13-en.pdf>

- GNSO Council Recommendation Report to ICANN Board:
<http://gnso.icann.org/en/issues/council-board-igo-ingo-23jan14-en.pdf>
- ICANN Board Resolution of 30 April 2014: <https://features.icann.org/gnso-policy-recommendations-igo-ingo-protections>
- NGPC Letter of 16 June 2014: <http://gnso.icann.org/en/correspondence/chalaby-to-robinson-16jun14-en.pdf>
- GNSO Council Response of 7 October 2014 to NGPC Letter:
<http://gnso.icann.org/en/correspondence/robinson-to-chalaby-disspain-07oct14-en.pdf>
- NGPC Resolution of 12 October 2014 on interim protections for the international Red Cross and national Red Cross entities: <https://www.icann.org/resources/board-material/resolutions-new-gtld-2014-10-12-en#2.d>
- NGPC Letter Response to GNSO Council of 15 January 2015:
<http://gnso.icann.org/en/correspondence/chalaby-to-robinson-15jan15-en.pdf>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE: IGO & INGO Access to the Curative Rights Protection Mechanisms of the UDRP & URS

UPCOMING IMPORTANT DATES: Ongoing Working Group discussions continue, including an intensive F2F full-day meeting at ICANN52 in Feb 2015. Request for engagement with and input from the GAC has been sent. Input from IGO representatives was also sought, and was received in Jan 2015.

SUMMARY

One of the consensus recommendations from the GNSO's Working Group on the Protection of International Organization Names in All gTLDs (IGO-INGO WG) was for the GNSO Council to request an Issue Report, as a preceding step to a possible Policy Development Process (PDP), to explore possible amendments to the Uniform Dispute Resolution Policy (UDRP) and the Uniform Rapid Suspension (URS) procedure to enable access to and use of such curative rights protection mechanisms by protected International Governmental Organizations (IGOs) and International Non-Governmental Organizations (INGOs).

ENGAGEMENT OPPORTUNITY STATUS



On 2 June 2014 the GNSO Council [resolved](#) to initiate the PDP following its review of the [Final Issue Report](#), and on 25 June the GNSO Council [adopted](#) the charter for the PDP Working Group to be formed. The Working Group has made significant progress in its deliberations over the topics outlined in its charter, which includes the possibility of developing a separate, narrowly tailored dispute resolution procedure based on the UDRP and/or URS, to be applicable specifically only to those IGOs and INGOs whose identifiers had previously been recommended for protection by the original IGO-INGO WG.

The Working Group has preliminarily determined to exclude INGOs from further consideration in the PDP, thus focusing only on IGOs. It has submitted follow-up questions to the GAC concerning the GAC's latest advice in the Los Angeles Communique, and has also requested specific input from the IGO coalition, which was provided in January 2015. It looks forward to further engagement with the GAC and IGOs on this topic.

ADDITIONAL INFORMATION:

- Final Issue Report on IGO-INGO Access to UDRP & URS processes: <http://gns0.icann.org/en/drafts/igo-ingo-crp-final-25may14-en.pdf>
- Charter for new PDP Working Group (as adopted by the GNSO Council on 25 June 2014): <http://gns0.icann.org/en/drafts/igo-ingo-crp-access-charter-24jun14-en.pdf>
- WG wiki space including background documents and latest research: <https://community.icann.org/x/37rhAg>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE

Privacy & Proxy Services Accreditation Issues in relation to the 2013 Registrar Accreditation Agreement and the development of a Privacy & Proxy Services Accreditation Program by ICANN

UPCOMING IMPORTANT DATES

Publication of Initial Report for public comment – estimated March-April 2015.

SUMMARY

The Registrar Accreditation Agreement (RAA) is the contract that governs the relationship between ICANN and its accredited registrars (a directory of accredited registrars can be found at <http://www.internic.net/regist.html>). Its provisions also may have impacts on registrants and other third parties involved in the domain name system. In June 2013, the ICANN Board approved a new 2013 RAA (the provisions of which can be found at <http://www.icann.org/en/resources/registrars/raa/approved-with-specs-27jun13-en.pdf>).

In initiating negotiations for the 2013 RAA between ICANN and the Registrars Stakeholder Group in October 2011, the ICANN Board had also requested an Issue Report from the GNSO that, upon the conclusion of the RAA negotiations, would start a GNSO Policy Development Process (PDP) to address remaining issues not dealt with in the RAA negotiations that would be suited to a PDP. The GNSO Council approved the charter for this effort at its meeting on 31 October 2013 and a Working Group was formed.

The WG has developed preliminary recommendations for a number of the charter questions it was tasked to address (see <https://community.icann.org/x/ihLRag>) and aims to produce an Initial Report for public comment following the ICANN Meeting in Singapore.

GAC ENGAGEMENT OPPORTUNITY STATUS



The PDP WG remains open to all interested parties. The WG has also sought input from all Supporting Organizations and Advisory Committees (including the GAC) on its chartered issues.

ADDITIONAL INFORMATION:

WG Charter: <http://gnso.icann.org/en/drafts/raa-pp-charter-22oct13-en.pdf>

WG Workspace: <https://community.icann.org/x/9iCfAg>

GNSO POLICY DEVELOPMENT UPDATE

ISSUE: ‘Thick’ WHOIS Policy Development Process

UPCOMING IMPORTANT DATES: Implementation Review Team meetings occur regularly. Preliminary findings of the Legal Review of laws applicable to the transition of data from thin to thick WHOIS models to uncover potential privacy issues, if any, were shared with the IRT during the November meeting. At the ICANN 53 meeting an update on status of the legal review is expected to be provided. In the meantime, the IRT will focus on the other recommendation of Consistent Labeling and Display (CL&D) of WHOIS data.

SUMMARY

ICANN specifies WHOIS service requirements through its agreements with gTLD Registries and Registrars. Registries have historically satisfied their WHOIS obligations under two different models, characterized as “thin” and “thick” WHOIS registries. In a thin registration model the Registry only collects and publishes the minimal information associated with the domain name from the Registrar (such as DNS technical information). All of the registrant’s contact information is maintained by the Registrar, which publishes it via their own WHOIS services. In a thick registration model the Registry collects both sets of data (domain name and registrant) from the Registrar and in turn publishes that data via WHOIS. The Council initiated a Policy Development Process (PDP) to consider a possible requirement of "thick" WHOIS for all gTLDs. This issue is one that also affects access to WHOIS data, which is a law-enforcement-related issue. The GAC has indicated its interest in both WHOIS and law enforcement-related issues in previous GAC Communiqués. The Thick WHOIS WG finalized its report and submitted it to the GNSO Council on 21 October 2013. The GNSO Council unanimously adopted the recommendation to require Thick WHOIS for all gTLD registries at its meeting on 31 October 2013. Following the public comment forum and the notification of the GAC, the ICANN Board considered the recommendations and [adopted](#) these at its meeting on 7 February 2014.

GAC ENGAGEMENT OPPORTUNITY STATUS



Following the adoption of the recommendations by the ICANN Board, an Implementation Review Team has been formed to work with ICANN staff on the development of the implementation plan. The proposed implementation and policy language will eventually be published for public comment.

FINAL REPORT

<http://gnso.icann.org/en/issues/whois/thick-final-21oct13-en.pdf>

ADDITIONAL INFORMATION

<http://gnso.icann.org/en/group-activities/thick-whois.htm>

Implementation Review Team work space: <https://community.icann.org/display/TWCPI>