

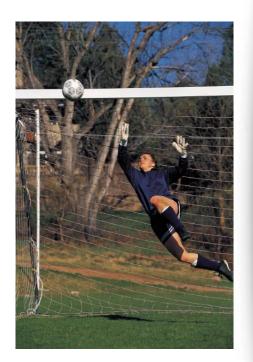
# ICANN Policy Update Webinar

Policy Department, 22 November 2010



## Introduction David Olive

### Goals for this session



- Update you on current Policy work and encourage you to participate
- Review issues to be discussed at the ICANN Meeting in Cartagena
- Inform you of upcoming initiatives and opportunities to provide input
- Answer any questions you might have

# **ICANN Meeting in Cartagena**



Highlights include:

- New gTLD sessions
- Security & Stability
- Abuse of the DNS Forum
- Public Participation Committee
   Community Update
- Further information

http://cartagena39.icann.org/

## Policy Developed at ICANN by:



#### **ICANN Supporting Organizations**

- GNSO Generic Names Supporting
   Organization
- ccNSO Country-code Names Supporting Organization
- ASO Address Supporting Organization

#### Advice provided by Advisory Committee

- ALAC At-Large Advisory Committee
- SSAC Security & Stability Advisory Committee
- RSSAC Root Server System Advisory Committee
- GAC Governmental Advisory Committee

# Topics covered in this session

Generic Names Supporting Organisation (GNSO)

- Introduction; Cartagena Highlights (David Olive)
- GNSO Improvements (Rob Hoggarth)
- Registrar Accreditation Agreement (Margie Milam)
- Vertical Integration PDP (Margie)
- Morality and Public Order Objections(Margie)
- Internationalized Registration Data (Steve Sheng)
- Registration Abuse Policies (Marika Konings)

## Topics covered in this session

Country Code Supporting Organisation (ccNSO)

Address Supporting Organisation (ASO)

- IDN ccTLD PDP (Bart Boswinkel)
  - Delegation Re-Delegation WG (Bart)

- Global policy on Autonomous System Numbers (ASN) (Olof Nordling)
- Global policy on IPv4 (Olof)

One world One Internet Everyone Connected

# **GNSO Policy Issues**

## Current issues being discussed in GNSO

- GNSO Restructuring/Improvements
- Inter-Registrar Transfer Policy (IRTP)
- Post-Expiration Domain Name Recovery (PEDNR)
- Registration Abuse Policies (RAP)
- WHOIS Studies
- Registrar Accreditation Agreement (RAA)
- Vertical Integration (VI)
- Others currently there are around 20 WGs / WTs underway



# GNSO Improvements Rob Hoggarth

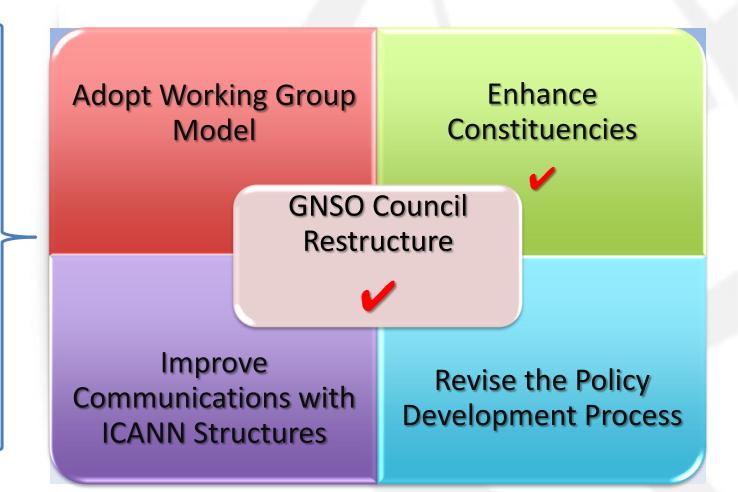
# Why is it important?

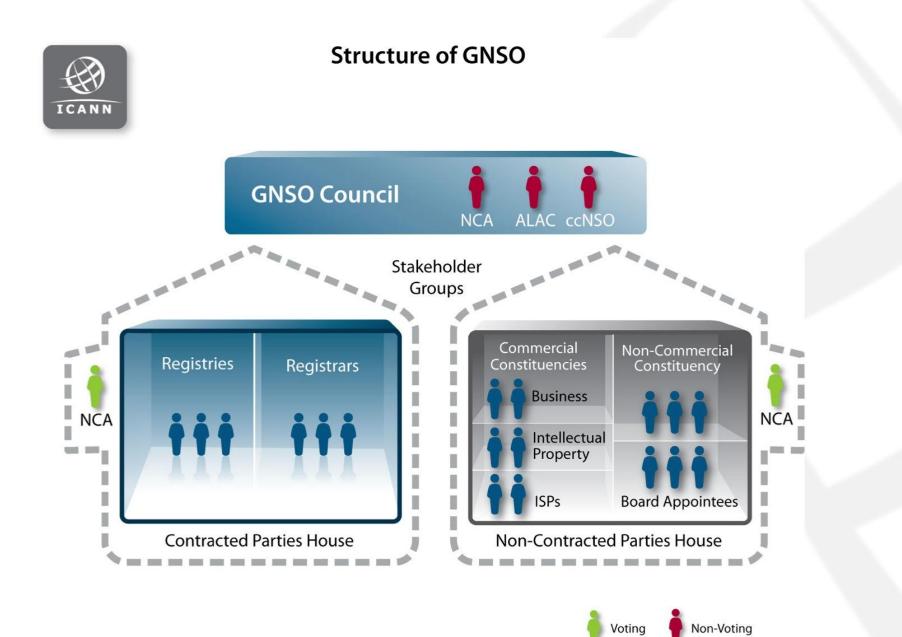


- As main policy making body for gTLDs, GNSO is subject to periodic independent review
- Key objectives of 2007 GNSO Review:
  - Maximize stakeholder participation
  - Ensure policy development is based on thoroughly-researched, wellscoped objectives AND operated in a predictable manner to ensure effective implementation
  - Improve communications and administrative support

#### **GNSO:** Five Main Areas for Improvement

Based on input from the independent reviews, a Working Group of the ICANN Board Governance Committee (BGC-WG) identified these areas for improvement





### Latest News - Timely Developments



- GNSO Council Adopts recommendations For Stakeholder Group and Constituency Operations
- Communities Revise Charters and Bylaws to address new GOPs, reconfirmations and SG reviews
- CSG Permanent Charter Developed
- NCSG Permanent Charter Proposal Before Board/SIC
- New Constituency Proposal NPOC

### Latest News (continued)



- PDP Revisions Progressing
- Working Group Guidelines Approaching Finish Line
- Toolkit Implementation Report Circulated
- GNSO Council Conducting Chair Election
- New Improved GNSO Web Site Debut in Cartagena

### Next Steps



- Renewal of GNSO Steering Committee Charters in Cartagena
- Revised New Constituency Process Public Comment Forum
- Permanent CSG and NCSG Public Comment Forums
- New Constituency (NPOC) Public Comment Forum
- Board Decisions In March 2011 Time Frame - San Francisco Public Meeting

## How can I get involved?



- Participate in Public Comment
   Forums<u>http://www.icann.org/en/pub</u>
   <u>lic-comment/</u>
- Join an existing group or constituency
- Form your own group or constituency
- More information at <u>http://gnso.icann.org/en/improveme</u> <u>nts/</u>



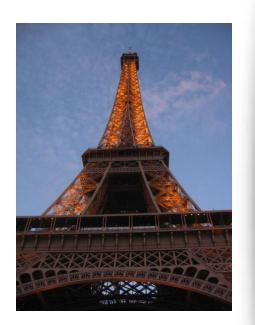
# Vertical Integration between Registries and Registrars Margie Milam

## Why is it important?



- Implementation of New GTLD Program underway
- New Models of Distribution have been proposed for New gTLDs
- No prior GNSO policy recommendations on vertical integration
- Current practice varies with no uniform approach or understanding
- Issue affects new and existing gTLDs

# Recent developments -



- VI PDP Working Group No consensus recommendations for new gTLDs
- Proposed Final Applicant Guidebook eliminates most restrictions on Registrar cross-ownership
  - Registry Agreement to include a Registry Code of Conduct
  - Notice to ICANN and opportunity to refer to a competition authority
- GNSO Council to vote on termination of the VI PDP

#### How to participate



- Review the proposed Registry Agreement: <u>http://www.icann.org/en/topics/new-gtlds/draft-agreement-specs-</u> <u>clean-12nov10-en.pdf</u>
- Review the proposed specifications: <u>http://www.icann.org/en/topics/new-gtlds/draft-agreement-specs-redline-12nov10-en.pdf</u>
- Attend New gTLD session in Cartagena: <u>http://cartagena39.icann.org/node/15497</u>
- Comment on the Proposed Final Applicant Guidebook public forum until 10
   December 2010: <u>http://www.icann.org/en/topics/new-gtlds/comments-5-en.htm</u>



# Registrar Accreditation Agreement (RAA) Margie Milam

# Why is it important?



- RAA describes the registrar's rights and obligations
- An enhanced RAA may provide ICANN with better tools to obtain registrar compliance
- Additional protections for registrants under consideration
- More security requirements could enhance the security, stability of the Internet

### Recent Developments & Next Steps



- Registrant Rights and Responsibilities Charter developed
- Final Report describes priority amendments and procedures for producing new RAA

http://gnso.icann.org/issues/raa/raa-improvements-proposalfinal-report-18oct01-en.pdf

- GAC Brussels Communiqué- Law Enforcement RAA proposals endorsed
- GNSO to consider next steps in Cartagena



# Morality and Public Order Objections

## CWG Report on M & PO (Rec. 6)



GNSO Rec6 states that:

Strings must not be contrary to generally accepted legal norms relating to morality and public order that are recognized under international principles of law.

CWG did not revisit Rec6. Instead, it developed implementation guidelines to address GAC, GNSO and ALAC concerns

Consensus - the implementation model for Rec6 is flawed

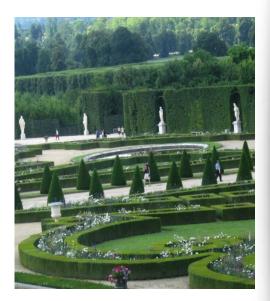
# Highlights of CWG Report



Report describes recommendations with various levels of consensus, to improve the implementation of Rec6

- Board Role
- Terminology, Criteria and References
- Role of the Independent Objector
- Objection Procedure
- General Statements about Process

#### Recent Developments & Next Steps



#### **Recent Board Resolutions-**

- Ultimate responsibility for New gTLDs rests with the Board
- Board wishes to rely on expert determinations
- CWG recommendations not inconsistent with the existing process to be accepted
- Staff to commence Consultation with CWG

#### Proposed Final Applicant Guidebook-

• Explanatory Memorandum: <u>http://www.icann.org/en/topics/new-gtlds/explanatory-memo-morality-public-order-12nov10-en.pdf</u>

•Comment on the Proposed Final Applicant Guidebook public forum until 10 December 2010: <u>http://www.icann.org/en/topics/new-gtlds/comments-5-en.htm</u>

#### Participate in Cartagena Consultation-

http://cartagena39.icann.org/node/15413



Internationalized Registration Data Working Group (IRD-WG) Steve Sheng

# What is it?



- The Board directed the GNSO and the SSAC to create the Internationalized Registration Data (IRD) Working Group (IRD-WG) in 2009
- Objective: study the feasibility and suitability of displaying IRD for services that provide domain name registration information (Whois services)

# Why is it important?



• Accommodating the submission and display of IRD is an important evolutionary step for Whois services

# How can I get involved?



- Comment on the IRD-WG's Interim Report published on 15 November <u>http://www.icann.org/en/announce</u> <u>ments/announcement-15nov10-</u> <u>en.htm</u>
- Attend the public session in Cartagena on 09 December - (see <u>http://cartagena39.icann.org/node/1</u> 5309)
- Join the IRD-WG if you have expertise in this area



## Registration Abuse Policies (RAP) Marika Konings

## Why is it important?



- Registries and registrars seem to lack uniform approaches to deal with domain name registration abuse
- What role ICANN should play in addressing registration abuse?
- What issues, if any, are suitable for GNSO policy development?
- RAP pre-PDP WG created

## Background



- Final Report published on 29 May 2010 containing 14 recommendations addressing, amongst others, Cybersquatting, WHOIS access, Uniformity of Contracts
- GNSO Council tasked Implementation Drafting Team to develop approach for dealing with recommendations

### Recent Developments & Next Steps

Urgent!	

- RAP Implementation DT organized recommendations based on consensus level achieved by RAP WG, expected scope, dependencies, priority, etc.
- Recommended approach submitted to the GNSO Council on 15 November
- Council discussion scheduled in Cartagena on Saturday 4 Dec from 17.15
   - 18.15

### Next Steps & How do I get involved?



 GNSO Council to consider recommended approach

#### Further information:

- Review the RAP-IDT recommended approach -<u>http://gnso.icann.org/correspondence/ra</u> <u>p-idt-to-gnso-council-15nov10-en.pdf</u>
- Presentation of the RAP-IDT recommended approach, GNSO Council, Saturday 4 December from 17.15 - 18.15

# Other Issues

- IRTP Part B PDP WG
- PEDNR PDP WG (see <a href="http://cartagena39.icann.org/node/15339">http://cartagena39.icann.org/node/15339</a>)
- WHOIS Studies -<u>http://gnso.icann.org/correspondence/pre</u> <u>sentation-whois-studies-oct10-en.pdf</u>
- Other WHOIS activities (see <a href="http://cartagena39.icann.org/node/15423">http://cartagena39.icann.org/node/15423</a>)
- Joint SO/AC WG on New gTLD Applicant Support WG<u>http://cartagena39.icann.org/node/154</u> 99)





# ccNSO Hot Topics Bart Boswinkel

#### Hot topics

- IDN country code Policy Development Process
- Delegation, re-delegation and retirement of ccTLD's

# Why is IDN ccPDP important?



 Develop overall policy for the selection and delegation of IDN ccTLD's

Fast Track process is temporary mechanism for IDN ccTLD's.

Limited in scope (only none Latin scripts), and experimental

Inclusion of IDN ccTLD's in ccNSO
 IDN ccTLD's are considered ccTLD's
 ccNSO membership SO
 ccNSO created before IDN ccTLD's

#### Latest News - Overall policy



- Separation of criteria / requirements and process/ procedures for selection
- WG consensus on basic criteria and requirements such as
  - IDN ccTLD at least 1 non-ASCII character (IDNA protocol definition)
  - Meaningful representation of territory name in
  - Designated Language (language official use)

### Latest News Inclusion IDN ccTLD's



- Main issues identified
  - Membership definition
  - Scope of membership
  - Voting
- Potential solutions identified
  - One vote per territory

### Next Steps



- Feedback and input needed on criteria and requirements
  - Discussions in Cartagena
  - Comments on Progress report
- Input and feedback on Interim report on inclusion of IDN ccTLDs in ccNSO
- Initiations of discussion on policy aspects variant management
- Initiation of discussion on process and procedures
- Include outcome of Fast Track review process

### How can I get involved?

- Participate in open ccNSO meeting Tuesday 7 December
- Provide written comments

# Why is it important?



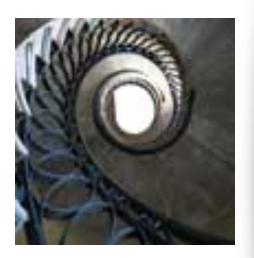
- Delegation, re-delegation and retirement policies are fundamental for ccTLD's.
- Delegation (including IDN ccTLD's) and re-delegation occur regularly
- No authoritative documentation of policies
- Perceived change in policy over time without consultation

#### Latest News - Retirement of ccTLD's



- WG consensus on issues and recommendation on current retirement practice
  - Issues:
    - No policy or clearly evolved practice ( only limited number)
    - ICANN as policy based organisation needs policy
  - Recommendation to ccNSO council: launch ccPDP on retirement of ccTLD's

### Next Steps



- Continued discussion in WG on redelegation of ccTLD's
- Publication of:
  - 3<sup>rd</sup> Progress Report
  - Report on Retirement and Delegation
- Feedback needed on reports
  - Discussions in Cartagena
    - ccNSO and GAC-ccNSO meeting
  - Comments on report

## How can I get involved?



- Participate in open ccNSO meeting Tuesday 7 December
- Provide written comments

#### Latest News - delegation of ccTLD's

 WG consensus on issues and recommendation on current delegation practice

Issues:

- Policy or interpretation of (parts of) policy significantly changed over time
- ICANN as policy based organization needs policy consultation
- Recommendation:
  - Develop Framework of Interpretation for Board and/or ccPDP



#### ASO Policy Issues Olof Nordling



**ICANN Address Supporting Organization** 

# Background: RIRs, NRO and the ASO



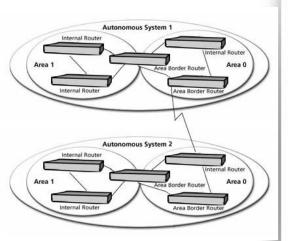
• What is an RIR?

- Regional Internet Registry. There are five RIRs; AfriNIC, APNIC, ARIN, LACNIC and RIPE and they cooperate thru the NRO, the Number Resource Organization.
- What is the ASO?
  - The Address Supporting Organization, set up through an MoU between ICANN and the NRO.
  - One major task of the ASO is to handle Global Policy Proposals.

# Background: Global Policies



- What is a "Global Policy"?
  - The RIRs develop many regional addressing policies.
  - Only very few policies affect IANA and only those are called "Global Policies".
- Global Policy Proposals in "pipeline":
  - Autonomous System Numbers, ASNs
  - Recovered IPv4 Address Space



# Autonomous System Numbers (ASN)

# Global Policy Proposal: ASNs



- Why is it important?
  - A transition from 16-bit to 32-bit ASNs is already under way. A date change was needed for practical reasons.

Current status:

- The proposal was adopted by all RIRs and reviewed by the NRO EC and the ASO AC, then forwarded to the ICANN Board and ratified as a Global Policy.
- The Global Policy has since been implemented by IANA.



# **Recovered IPv4**

# Global Policy Proposal: Recovered IPv4



- Why is it important?
  - The proposal enables IANA to handle recovered IPv4 address blocks

Current status:

- Two proposal versions emerged and were adopted in different RIRs. The NRO EC concluded that the versions could not be reconciled. The proposal is now deemed abandoned/dormant.
- An alternative proposal is currently under discussion in all RIRs.

## How do I get involved?



- For all addressing policies: participate in the bottom-up policy development in "your" RIR.
- All RIRs conduct open meetings where policy proposals are discussed and all have open mailing lists for such matters.
- Don't miss the ASO session on Wednesday in Cartagena! All RIRs will be there and present their current policy work!

# How to Stay Updated

# Policy Update Monthly



- Published mid-month
- Read online at: http://www.icann.org/en/topics/policy/
- Subscribe at: <u>http://www.icann.org/en/topics/policy/</u>
- Available in Arabian, Chinese, English, French, Russian, and Spanish

### Improved ICANN Web-Sites



•New improved sites to be launched for ccNSO and GNSO - Info sessions planned in Cartagena

•New Community Collaboration Wiki -Training sessions in Cartagena

Re-design of icann.org



# **ICANN Policy Staff**

### **ICANN Policy Staff**



- David Olive Vice President, Policy Development (Washington, DC, USA)
- Liz Gasster Senior Policy Counselor, GNSO (CA, USA)
- Margie Milam Senior Policy Counselor, GNSO (ID, USA)
- Robert Hoggarth Senior Policy Director (Washington, DC, USA)
- Marika Konings Policy Director, GNSO (Brussels, BE)
- Glen de Saint Géry Secretariat, GNSO (Cannes, FR)
- Bart Boswinkel Senior Policy Advisor, ccNSO (NL)
- Gabriella Schittek Secretariat, ccNSO (Warsaw, Poland)

### **ICANN Policy Staff**



- Dave Piscitello Senior Security Technologist, SSC (SC, USA)
- Julie Hedlund Director, SSAC Support (Washington, DC, USA)
- Heidi Ullrich Director for At-Large Regional Affairs (CA, USA)
- Matthias Langenegger Manager for At-Large Regional Affairs (Geneva, Switzerland)
- Filiz Yilmaz, Sr. Director Participation and Engagement (NL)
- Steve Sheng Senior Technical Analyst (CA, USA)
- Marilyn Vernon Executive Assistant (CA, USA)



# Thank you Questions?

Subscribe to the monthly Policy Update: http://www.icann.org/en/topics/policy/ Contact us at policy-staff@icann.org