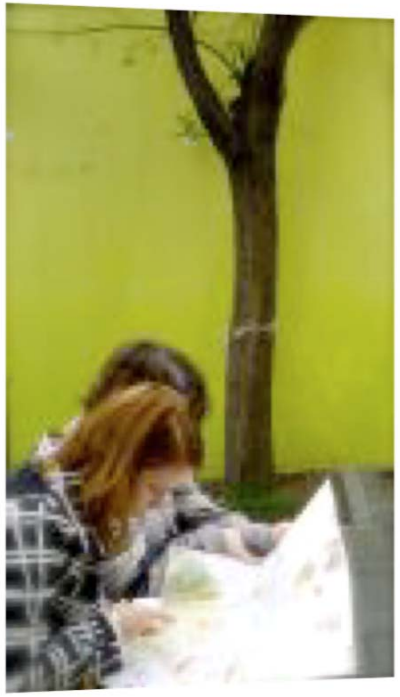
# ICANN Policy Update Webinar

Policy Department, 25 February 2010

# Introduction

*David Olive*

# Goals for this session



- Update you on current Policy work
- Review issues to be discussed at ICANN Nairobi meeting
- Inform you of upcoming opportunities to provide input
- Answer any questions you might have

# ICANN Nairobi Meeting


- 7-12 March 2010
  Enhanced remote participation
- Highlights include:
  - New gTLD Update and EoI Panel Discussion
  - Affirmation of Commitments
  - FY11 Operating Plan and Budget
- Further information: http://nbo.icann.org/

# Policy developed at ICANN by:

**Supporting Organizations (SOs)**

- GNSO
  Generic Names Supporting Organization
- ccNSO
  Country-code Names Supporting Organization
- ASO
  Address Supporting Organization

# Advice provided at ICANN by:

**Advisory Committees (ACs)**

- ALAC
  At-Large Advisory Committee
- SSAC
  Security & Stability Advisory Committee
- RSSAC
  Root Server System Advisory Committee
- GAC
  Governmental Advisory Committee

# GNSO topics covered in this session

- Introduction; Nairobi Highlights (David Olive)
- GNSO Improvements (Rob Hoggarth)
- Inter-Registrar Transfer Policy PDP (Marika Konings)
- Post-Expiration Domain Name Recovery PDP
- Registration Abuse Policies Pre-PDP
- Whois (Liz Gasster)
- RAA (Margie Milam)
- Vertical Integration PDP

# ccNSO topics covered in this session

- IDN ccTLD PDP (Bart Boswinkel)
- Delegation – Re-Delegation WG
- Strategic & Operational Plan WG

# ASO topics covered in this session

- Global policy on Autonomous System Numbers (ASN) (Olof Nordling)
- Global policy on IPv4

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# GNSO Policy Issues

# Current issues being discussed in GNSO

- GNSO Restructuring/Improvements
- Inter-Registrar Transfer Policy (IRTP)
- Post-Expiration Domain Name Recovery (PEDNR)
- Registration Abuse Policies (RAP)
- Whois Studies
- Registrar Accreditation Agreement (RAA)
- Vertical Integration (VI)
- Others – currently there are 17 WGs / WTs underway

# GNSO Improvements

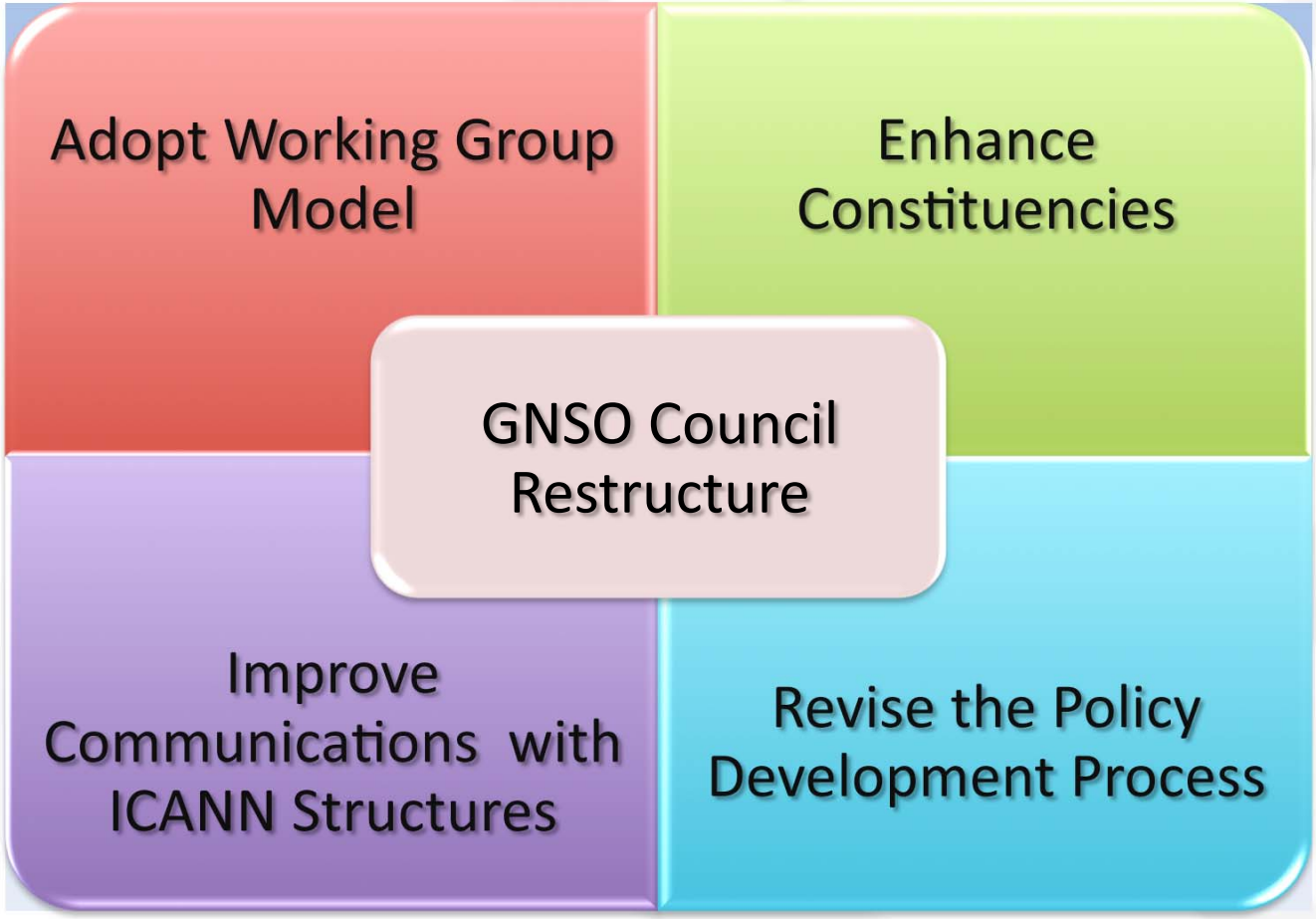
*Rob Hoggarth*

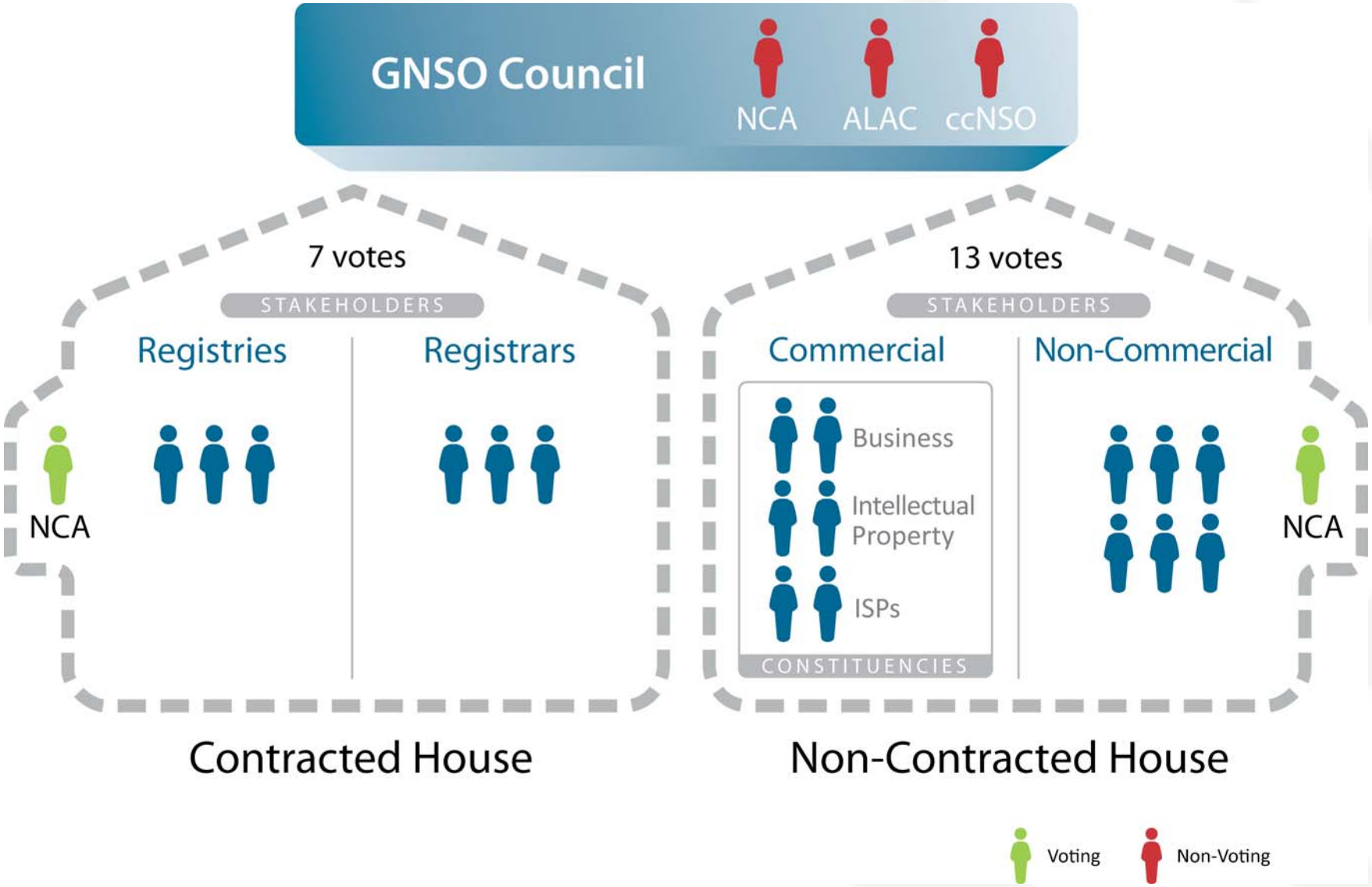
# Why is it important?


- GNSO is the main policy maker for gTLDs
- Subject to periodic reviews
- Key objectives of 2007 review:
  - Maximize stakeholder participation
  - Ensure policy development is well-scoped, predictable, implementable
  - Improve communications and administrative support

# GNSO: Five Areas for Improvement

**Based on input from the independent reviews, a Working Group of the ICANN Board Governance Committee (BGC-WG) identified these areas for improvement**




GNSO Council
NCA
ALAC
ccNSO
7 votes
STAKEHOLDERS
Registries
Registrars
NCA
Contracted House
13 votes
STAKEHOLDERS
Commercial
Non-Commercial
Business
Intellectual Property
ISPs
CONSTITUENCIES
NCA
Non-Contracted House
Voting
Non-Voting

# GNSO Improvements: Current Status


- New Bylaws and Stakeholder Group Charters in Place
- New Council Seated in Seoul

# GNSO Improvements: Current Status

Five Policy and Operations Work Team efforts continue

1. Creating new Policy Development Process
2. Developing new Working Group Model
3. Revising Council Ops procedures
4. Writing Guidelines for Constituency/SG operations
5. Enhanced communications efforts, including revised gnso.icann.org

# Next Steps

- Constituency re-confirmation efforts by Brussels meeting
- Permanent Charters to be developed For CSG and NCSG by Latin America Meeting
- Continued efforts of improvements committees and work teams through Brussels
- Potential new constituency proposals

# How can I get involved?

- GNSO created committees and work teams to address Improvement areas
- Volunteers still welcome
  Email GNSO Secretariat --
  gnso-secretariat@gnso.icann.org
- More information:
  http://gnso.icann.org/en/improvements/

# Inter-Registrar Transfer Policy

*Marika Konings*

# Why is it important?

- Inter-Registrar Transfer Policy (IRTP)
- Straightforward process for registrants to transfer domain names between registrars
- Currently under review to ensure improvements and clarification
- IRTP Part B PDP Working Group

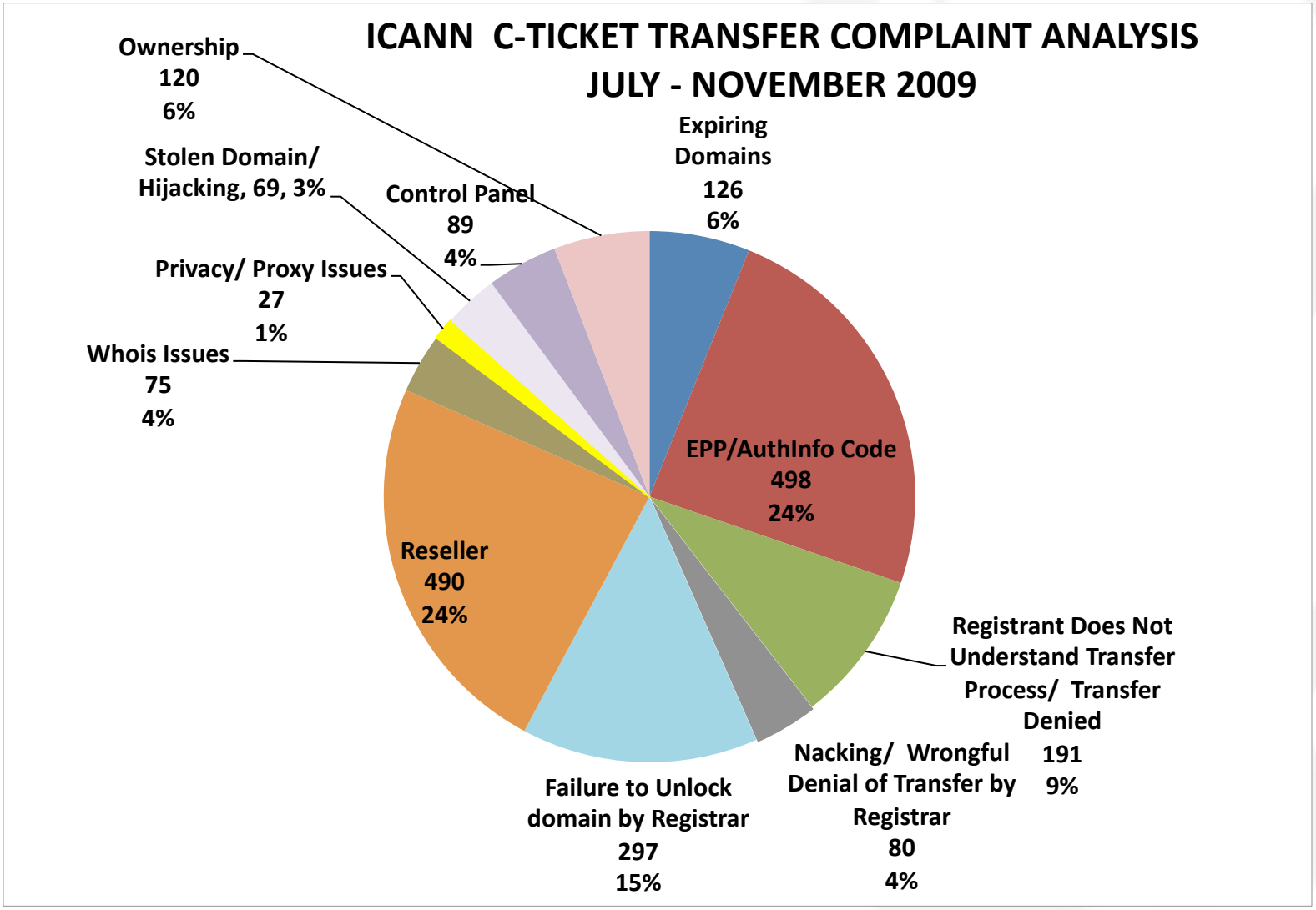
# IRTP Part B PDP Issues


- Should there be a process or special provisions for urgent return of hijacked registration, inappropriate transfers or change of registrant?
- Registrar Lock Status

# Recent Developments & Next Steps

- PDP was initiated in June 2009
- WG has been discussing charter questions, public comment period, constituency stakeholder group input
- Input from ICANN Compliance Team on complaints (see next slide)
- Next step: publication of Initial Report for public comment and discussion
- No meeting planned in Nairobi

# Input from ICANN Compliance



# How can I get involved?

- Join an IRTP Working Group
  Email the GNSO Secretariat
  gnso.secretariat@gnso.icann.org

**Background**

- IRTP Part B Issues Report
  http://gnso.icann.org/issues/transfers/irtp-report-b-15may09.pdf
- Inter-Registrar Transfer Policy
  http://www.icann.org/en/transfers/policy-en.htm
- IRTP Part B Wiki
  https://st.icann.org/irtp-partb/index.cgi?irtp_part_b

# Post-Expiration Domain Name Recovery

*Marika Konings*

# Why is it important?

- To what extent should registrants be able to reclaim their domain names after they expire?
- PEDNR WG examines five questions relating to expiration and renewal practices and policies
- WG is expected to make recommendations for best practices and / or consensus policies

# Recent Developments & Next Steps

- Conducted a registrar survey to examine renewal and expiration practices -> many different approaches amongst registrars
- Reviewed public comments & constituency statements
- Developed first draft of Initial Report
- Developing survey to assess opinions in WG on different charter questions and serve as a basis for development of recommendations
- No meeting planned in Nairobi

# How do I get involved?

- Follow the deliberations of the PEDNR Working Group, participate in public comment period
- Monitor the PEDNR WG workspace - https://st.icann.org/post-expiration-dn-recovery-wg/

**Additional information:**

- Post-Expiration Domain Name Recovery Issues Report –http://gnso.icann.org/issues/post-expiration-recovery/report-05dec08.pdf
- Translations available at: http://gnso.icann.org/policies/

# Registration Abuse Policies (RAP)

*Marika Konings*

# Why is it important?

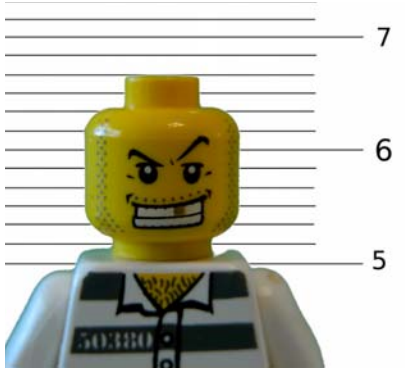
- Registries and registrars seem to lack uniform approaches to deal with domain name registration abuse
- What role ICANN should play in addressing registration abuse?
- What issues, if any, are suitable for GNSO policy development?

# Recent Developments & Next Steps

RAP WG tasked to address issues such as:

- What is the difference between registration abuse and domain name use abuse?
- What is the effectiveness of existing abuse policies?
- Would there be benefits to a more uniform approach by registries and registrars?
- Initial Report published on 12 Feb 2010

# Registration Abuse Policies Initial Report




Recommendations included relate to:

- Cybersquatting
- Whois Access
- Malicious Use of Domain Names
- Front-Running
- Fake Renewal Notices
- Domain Kiting
- Deceptive and/or Offensive Domain Names
- Uniformity of Contracts
- Meta Issues

# How do I get involved?

- Participate in the Public Comment Forum on the Initial Report (until 28 March): http://www.icann.org/en/public-comment/#rap-initial-report
- Review the Initial Report http://gnso.icann.org/issues/rap-wg-initial-report-12feb10-en.pdf
- Attend the RAP WG Information Session in Nairobi – Wednesday 10 March from 16.00 – 17.30 local time

# Vertical Integration between Registries and Registrars

*Margie Milam*

# Why is it important?

- Implementation of New GTLD Program underway
- New models of distribution have been proposed for New gTLDs
- No prior GNSO policy recommendations on vertical integration
- Current practice varies with no uniform approach or understanding
- Issue affects new and existing gTLDs

# Recent developments

- Issues Report  with Background
  - http://gnso.icann.org/issues/vertical-integration/report-04dec09-en.pdf
- PDP on a separate track from New gTLD implementation process
- No delay of New gTLD Program
- PDP may not result in a uniform approach
- ICANN's practice evolved over time and varies among gTLDs

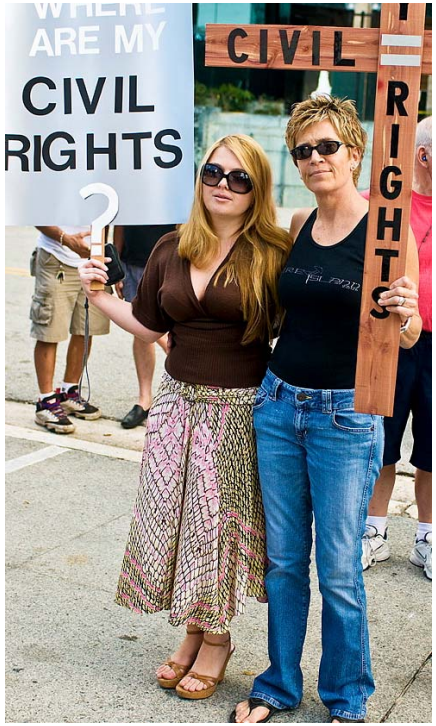
# How can I participate?

- GNSO initiated an expedited PDP in January – to be completed in 16 weeks
- Charter for working group under development
- Volunteers needed
- Contribute to future Public Comment Forums
- Participate in New gTLD implementation processes

# Registrar Accreditation Agreement (RAA)

*Margie Milam*

# Why is it important?




- RAA describes the registrar's rights and obligations
- An enhanced RAA may provide ICANN with better tools to obtain registrar compliance
- Additional protections for registrants under consideration
- More security requirements

# Recent Developments & Next Steps

- Registrant Rights Charter developed
- Aspirational Charter under consideration
- Topics for additional RAA amendments to be finalized
- Report to describe priority amendments and procedures for finalizing new RAA

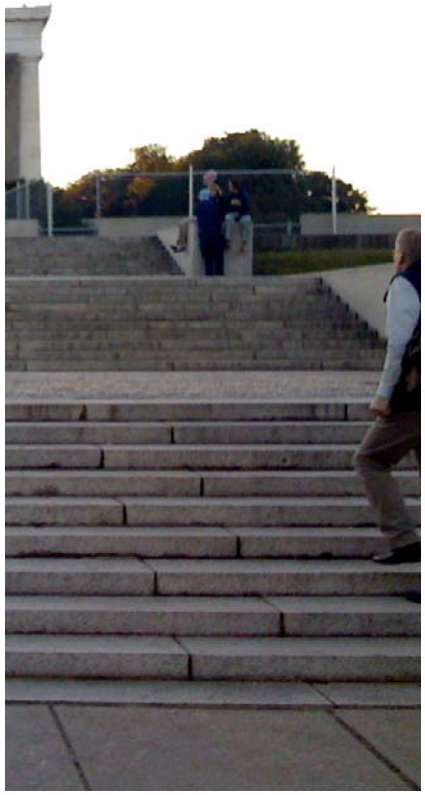
# New gTLDs: Special Trademark Issues

*Margie Milam*

# Why is it important?

- New gTLD Program – Trademark Issues are an overarching issue
- Initial IRT recommendations lacked consensus
- ICANN Board sought GNSO input on proposals for a Trademark Clearinghouse and Uniform Rapid Suspension Procedure

# Recent Developments & Next Steps



- GNSO recommendations for New Rights Protection Mechanisms: http://gnso.icann.org/issues/sti/sti-wt-recommendations-11dec09-en.pdf
- Applicant Guidebook: revised proposals to Trademark Clearinghouse and a Uniform Rapid Suspension Procedure
- Nairobi Session on Trademark Issues scheduled
- Public Comment Forum opened http://www.icann.org/en/public-comment/

# Whois Studies

*Liz Gasster*

# Why are Whois studies important?

- Whois policy has been debated for many years

# Why are Whois studies important?

- Many competing interests with valid viewpoints:
  - Law enforcement, IP owners want accurate contact information
  - Individuals and privacy advocates worry about privacy protection
  - Governments want their legal regimes followed
  - Providers are reluctant to absorb new costs, Registrars earn revenue from privacy services

# Goals of Whois studies


- GNSO Council hopes that study data will provide an objective, factual basis for future policy making
- Council identified five Whois study areas Topics reflect key policy areas of concern
- Council asked staff to determine costs and feasibility for each

# 1. Whois Misuse Studies

Two possible studies to assess impact of public Whois on increasing harmful acts.

1. One will survey registrants, registrars, research and law enforcement orgs.
2. Second study will compare harmful acts associated with public vs. non-public addresses

Status: RFP issued, 3 responses received, analysis underway
Will provide costs and feasibility to GNSO Council circa March

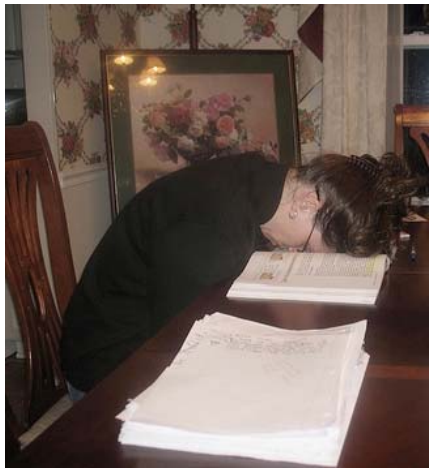
# 2. Registrant Identification Study

- How are registrants identified in Whois?
- To what extent are domains used by commercial entities:
  1) Not clearly identified as commercial entities in Whois; and
  2) Related to use of privacy and proxy services?
- RFP issued in October 2009, 5 responses received, analysis underway – will provide costs and feasibility to GNSO Council in March timeframe

# 3. Proxy and Privacy Services Studies

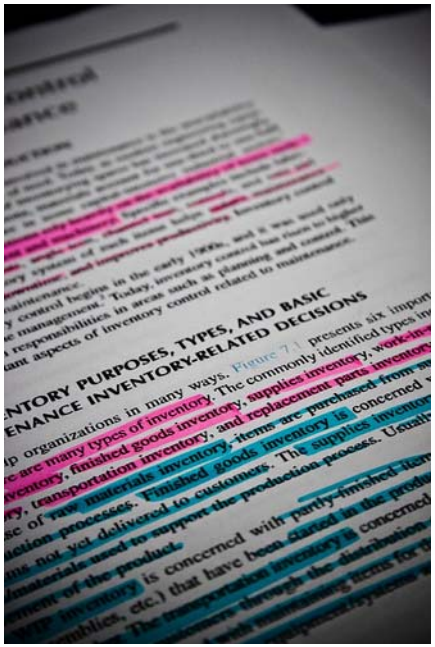

- P/P “Abuse” study - Relationship between use of proxies and abuse, if any
- Draft RFP is almost complete
- Target release in March 2010
- P/P “Reveal” study - Proxy responses to information requests
- Draft RFP delayed – April 2010 or later

# 4. Readability of non-ASCII Whois



- Study would involve a technical analysis of how various client-side software displays non-ASCII registration information
- This study is on hold pending work of the SSAC-GNSO Internationalized Registration Data Working Group.

# 5. Whois Service Requirements Inventory



- Council asked staff to compile a list of Whois service requirements based on policy discussions
- Staff will have first draft for Council and SO/AC review/input in the March timeframe

# ccNSO Policy Issues

*Bart Boswinkel*

# ccNSO Activities

- ccNSO IDN ccTLD Policy Development Process
- Delegation, Redelegation and Retirement Working Group
- Strategic and Operational Planning
- Other issues

# Internationalized Domain Names (IDN) ccPDP

# Why is it important?

- Overall policy for the introduction of IDN ccTLDs
- Change of the ccNSO to include IDN ccTLD managers

# Recent Developments & Next Steps

- Draft Interim paper
- Not similar to Fast Track process
- Discussion at ccNSO meeting on:
  - Proposals
  - Experiences Fast Track

# How do I get involved?

- Participate in public comment period and discussion

**Background**

- http://www.ccnso.icann.org/workinggroups/ipwg1.htm
- http://www.ccnso.icann.org/policy/cctld-idn/

# Delegation, Redelegation & Retirement of ccTLDs

# Defining terms

- **Delegation**: Assignment of responsibility of the domain to a trustee
- **Re-delegation**: The transfer of a delegation from one entity to another
- **Retirement**: Decommissioning and revocation of a TLD

# Why is it important?

- Delegation, re-delegation and retirement policies are fundamental to ccTLDs
- WG to advise Council on whether to launch a PDP on the topics

# Recent Developments & Next Steps

- Focus of WG on delegation
  Report at Nairobi meeting
- Workshop on topics WG in Nairobi

# How do I get involved?

- Participate in public comment periods
- Participate in public sessions in Nairobi

**Background**

- www.iana.org
- http://www.ccnso.icann.org/workinggroups/drdwg.htm

# Strategic and Operational Plan Working Group

# Why is it important?

- WG mechanism to facilitate input of ccTLD community into ICANN's strategic and operational plan
- Output of WG, survey results

# Recent Developments & Next Steps

- Conducted survey on strategic topics relevant to ccTLD community
- Provided summary and questions relating to current draft strategic plan.
- Will initiate discussion on operational plan framework

# How do I get involved?

- Participate in open sessions of the ccNSO at ICANN meeting

**Background**

- http://www.ccnso.icann.org/workinggroups/sopiwg.htm
- http://www.icann.org/en/planning/

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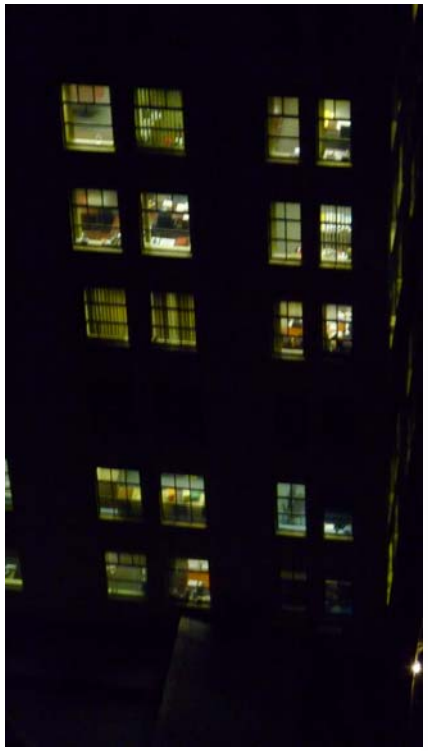
# Other ccNSO Working Groups

# Current Working Groups



- Technical Working Group
  - Sharing operational and technical information
- Incident Response Planning WG
  - Plan to respond coordinated on DNS attacks
- Ad-Hoc Wildcard Study Group
  - Adverse impact and reasons for using wildcards from ccTLD perspective

# How do I get involved?

- Attend Tech Day and ccNSO meeting days at ICANN meetings.

**Background**

- http://www.ccnso.icann.org/workinggroups/

# ASO Policy Issues

*Olof Nordling*

# Background: RIRs and the ASO

- What is an RIR?
  - Regional Internet Registry
    AfriNIC
    APNIC
    ARIN
    LACNIC
    RIPE
  - They cooperate through the Number Resource Organization
- What is the ASO?
  - Address Supporting Organization

# Background: Global Policies

- What is a “Global Policy”?
  - The RIRs develop many regional addressing policies
  - Only very few policies affect IANA and only those are called “Global Policies”
- Global Policy Proposals in pipeline:
  - Autonomous System Numbers (ASNs)
  - Recovered IPv4 Address Space


# Autonomous System Numbers (ASNs)

# Global Policy Proposal: ASNs

- Why is it important?
  - Transition from 16-bit to 32-bit ASNs is already under way
- Current status:
  - RIRs have consensus on the text
  - Proposal adopted in three RIRs
  - Final adoption imminent within the remaining two

# Global Policy Proposal: ASNs

- Next Steps
  - Once adopted, the proposal is reviewed by the NRO and the ASO Address Council
  - They forward it to the ICANN Board for ratification
  - Subsequent implementation by IANA

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# Recovered IPv4 Addresses

# Global Policy Proposal: Recovered IPv4


- Why is it important?
  - IANA IPv4 free pool 90+% depleted
  - Gives IANA a role for receiving and reallocating recovered blocks
- Current status:
  - Two different proposals have emerged
  - AfriNIC, APNIC and LACNIC adopted one; ARIN, a modified version
  - Can the two versions consolidate into a joint Global Policy Proposal?

# How do I get involved?




- Participate in the bottom-up policy development in "your" RIR
  - This applies for all addressing policies, both regional and global
- Each RIR conducts multiple open meetings each year and has open mailing lists


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# How to Stay Updated

# Policy Update Monthly

- Published mid-month
- Read online at: http://www.icann.org/en/topics/policy/
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- Each episode is 20 minutes or shorter
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Questions?

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