

**ICANN
Transcription ICANN Hyderabad
PTI Update**

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James Bladel: Okay fantastic, thank you. This next session is an introduction to PTI. And even though Elise just told me what the acronym means I forgot immediately is that memorable. So if we could gather around take your seats please wrap up the conversations. Thanks folks. Okay Elise?

Elise Gerich: Thank you very much for inviting me to be here today. I don't think I've ever presented at the GNSO before so this is a big, big honor for me a first time and I'd like to introduce you to PTI, Public Technical Identifiers.

And the first slide is basically just a little bit of history. So I know everyone's aware of the IANA stewardship transition and its transition but the way we got there was that each individual function names, numbers and protocol parameters created working teams and those working groups came up with individual proposals of how they envision the IANA stewardship transition at which point there was also a committee called the ICG. The IANA Stewardship Transition Committee. I don't know I forgot...

James Bladel: IANA Coordination Group.

Elise Gerich: I know Milton's here. Thank you very much. Someone knew that acronym. And that group was charged with putting together the three separate proposals seeing if they were compatible and coming up with a single proposal. Next slide please or do I - I don't drive it do I? No, okay.

So anyway the combined proposal took all three components names, numbers and protocol parameters and the input came into NTIA. And the transition proposal had this schematic which you've all probably seen in the past. I know (Trang) who's in the audience has presented this in the past. But what it did is it said that they wanted to create another entity called the post transition PTI. So anyway that was part of the proposal and the outcome we have created the post transition IANA affiliate.

Let's go to the next slide please. So what does it really mean? Well we didn't keep the name post transition IANA however we did keep the acronym and it stands for Public Technical Identifiers and known very fondly as PTI. Everyone calls us that or will be. And this is an affiliate of ICANN and the affiliate is responsible for performing all the IANA services -- names, numbers and protocol parameters.

So the six boxes on this slide show some of the things that had to be created and done in order to create PTI. So there was a whole lot of legal work, lots of different contracts and incorporation of the affiliate. The organization had to have its own annual budget, it's strategic plan -- things of that nature. Then in operations, operations pretty much stays the same. The functions were not changed. The functions aren't defined differently.

PTI does have its own board and it has five board members. It has its own officers. When you incorporate a company in California you have

to have at least a president, a secretary and a treasurer. So those individuals were appointed and that I'm the President and (Becky Nash) is our treasurer. Some of you may know her. She's been in the ICANN finance department.

Samantha Eisner is the Secretary and you may know her from ICANN's legal department. And the staff we've had no changes in our staff think heavens and the existing staff are secondment or lent at this point in time to the new organization PTI.

Next slide please. So who is on the PTI Board? I did mention that there are five PTI board members. I think most of these names should be familiar to all of you. I'm on the board Akram Atallah is on the board, David Conrad providing staff feedback.

On the board, Lise Fuhr is on the board and Jonathan Robinson. Both Lise and Jonathan are the community members to the PTI board and they were appointed as interim board members until the NomCom comes up with a process -- excuse me -- to appoint members to the PTI Board formally. Next slide.

So what is the relationship between the - this is interesting. It's supposed to be a build, but we'll just talk through the bubbles anyway. It's built just kind of like PTI, it's already incorporated. So what's the relationship between the TLD community and PTI.

So basically ICANN has a contract with PTI for the performance of all the naming services. And there's an oversight committee that was created via the proposal, and that's the customer service committee. And - excuse me, standing committee, the customer standing committee. And this committee provides oversight of the performance by PTI of the IANA naming services.

So that's the relationship, and there's contractual contracts in place. Next slide, please.

So the customer service -- and I guess it should say standing -- customer standing committee, I thought it was service, has these individuals as members. We have way too many acronyms. And basically there's four voting members and two are nominating by the ccNSO and two by the GNSO. And the other six members are liaisons from other advisory committees and the PTI and other supporting organizations. I won't read their names because I know you all are perfectly capable of that.

Yes?

James Bladel: Just to clarify that the GNSO folks in the column on the left are GNSO registry representatives and then we have one non-registry liaison.

Elise Gerich: Correct, thank you for adding that so that there's no confusion. This is a committee that's responsible for overseeing the performance of PTI for the naming function. And so PTI will be providing reports of its performance of handling the request for CC and gTLD requests that come in to the IANA services. Next slide, please.

So what does PTI do? What is its output? Well we've established an SLE dashboard, yet again another acronym, a new one, service level expectations. The service level expectations were defined by the CWG's design team A and this has been implemented. It is live now and publicly available. We provide updates to the root zone and the database. That's the actual function we do, the activity. And we provide monthly reports that'll be posted on iana.org. Okay, the next slide, please.

The other thing we have to do is we have to create a budget for FY '18 because one of the requirements from the CWG proposal was that that PTI budget should be created nine months in advance of the ICANN budget. Well we didn't really know till October 1 whether or not

we'd be having a PTI and whether we'd be able to do this, but we did start a little bit in advance and I know there have been several people that have been consulted that are in this room. And there's now a PTI FY '18.

The FY '18 budget will take, you know, begin in July of 2017 and that budget is now posted for public comment. And this gives you kind of real high level overview of the timeline for getting this budget approved by the PTI board in advance of submitting to the ICANN board because the PTI budget is a subset of the ICANN budget.

As you can see at the bottom of this slide, the PTI budget is proposed to be \$10 million for FY '18. That's about a \$700,000 growth over the ICANN IANA department budget in FY '17. That growth includes extra staffing. It also includes oversight activities, and it also includes the shared costs that were never called out when PTI was the IANA department within ICANN. So all of those things are included in that, as well as the cost for the root zone maintainer service that's provided by VeriSign. Next slide, please.

So this slide was a build too. I love it. I don't have to walk your through little steps. Anyway, if you have a question, you know, who do you go to? Basically, PTI's the organization, the affiliate, and it provides the IANA services. And if you want to send a request or you need to contact PTI, you do it the same way you did in the past. You send something to IANA at iana.org. If you're using the RZMS system and you already have credentials, you just send your credentials as you normally would to the root zone management system.

And PTI staff now have two e-mail addresses. They're aliases. So if you were using our old addresses of say elise.gerich@icann.org, you could still reach me if you sent it to elise.gerich@iana.org. Eventually the ICANN.org address will go away, but in the transition period when people are getting used to having a PTI instead of going directly to

ICANN, we have the two addresses and they're both active. Next slide, please.

So that's my introduction. And this is a photo of all the folks in the PTI organization. Many of them I'm sure are familiar to you. That's Kim Davies up in the front and (Ella Suras), who manages the team who handles the requests is over there next to me in red. I wish my team had told me that there was a memo for - to wearing blue because I didn't realize that. Otherwise we'd all have been in blue. But thank you very much and I'd be happy to take any questions if you have any.

James Bladel: Thank you, Elise. Questions from the floor? Wolf-Ulrich?

Wolf-Ulrich Knoben: Thanks, James and Elise, for the presentation. I feel happy that it has happened that way because I used to be a member of the ICG with others here on the GNSO, and we tried to help you that it goes this way. So I'm happy to see it. And I think so that is now in place and it has to be filled with life (unintelligible).

My only question is with regards to the budgetary separation from ICANN. Is there, you know, I understand this is done on a contractual basis, is that to be understood that all kinds of your business you are going to do from the budgetary point of view are fully separated from that but ICANN is including staff funding and others budget related to your activities. That is my question. Thanks.

Elise Gerich: So just to be clear up front, PTI is a cost center. We have no source of revenue. So the reason we put together the PTI budget and we looked for approval or input from the community is to find out how much it will cost to offer these services to the community, and we put together the budget based on what we think it will be. When we get the community input, we finalize the PTI budget, then we have to go to ICANN but it's ICANN that has the money and ICANN that will then

incorporate the PTI budget into ICANN's budget and will be funded for the year.

So yes we'll have our separate budget. We'll be spending against our separate budget. In that respect, we're self-sufficient. However, we don't have any revenue except for the approval of the budget by the PTI board and then subsequently by the ICANN board. Does that answer your question? All right, thank you.

James Bladel: Paul? Sorry, do you want - Stephane? Stephane?

Stephane Van Gelder: Thanks, James. Stephane Van Gelder speaking as NomCom chair for this question. There hasn't been much communication, if any at all, between the PTI and the NomCom yet but as we go into the new cycle and we will have to select two board members. I would suggest that you prepare a set of either a skill or requirements that you can send to the NomCom, the new NomCom, which will be seated in two or three days' time, so that they understand what you are looking for in terms of requirements for the two board members that they will be tasked with filling. I think that might be very helpful.

Obviously the basic format you mentioned in your presentation that you were waiting for the NomCom to come up with a process to select these board members, and I think the basic format will obviously be the same as the process that NomComs have used in the past. But the - because this is so new for everyone, the information missing will be exactly what requirements you'd be looking for in those two positions. Thanks.

Elise Gerich: Thank you very much for that suggestion and I'll definitely take it back to our PTI board. We'll be having a meeting this week. And also I think the CWG proposal has some information about that also. So we'll reference that. But thank you for the suggestion.

James Bladel: Paul?

Paul McGrady: Paul McGrady for the record. So what's the level of independence that PTI is supposed to have? Because as I'm hearing this, if you have one source of revenue and no ability to raise funds on your own, you're always going to have, you know, you're always going to be answerable to ICANN because they're the outfit that provides you with money, right?

And so I mean is it really meant to be paper only, no real independence or is there supposed to be - because you're talking about putting on board members and they're supposed to act independently under normal circumstances. Maybe I'm asking - I don't know if I'm asking, you know, the person I should be asking this question. But what's the theory behind this? Because the revenue issue is I think an important one. Thanks.

Elise Gerich: So I actually don't think you are asking the right person. It was the CWG, which was the Cross-Community Working Group, that had this proposal and perhaps - I know there are members in this room that participated in that, they might like to respond.

James Bladel: A follow up, Chuck?

Chuck Gomes: I'm not sure - yes I'll follow up. I'm not sure I'm the right person either. But it's important to remember that PTI is a member organization and they have one member. That member is ICANN, and that's part of the bylaws that were created through the CWG process and ultimately approved by the ICANN board. So that's probably one element of what you're getting at.

James Bladel: Keith, go ahead.

Keith Drazek: Thanks, James. And thanks, Elise. So Paul, I think the - one of the concepts that came out of the CWG that was deliberated by the CWG was the concept of separability. And so I don't know that it's necessarily independence or true, you know, complete, you know, separation today but it's the idea or the concept that at some point in the future if needed if determined by the customers that IANA or PTI could be separated in the future. So I think I've got that right. If not, I'm happy to be corrected.

Elise Gerich: I think that's one of the reasons, yes.

James Bladel: Additional questions? (Matthew)?

(Matthew): As somebody who was on the CWG and had a - some role in bringing PTI into fruition, it's absolutely marvelous to see the slides actually, and thank you for that. And just to say that Keith is absolutely right. The real motion here was the notion of separability in a worst case scenario where PTI under ICANN didn't meet its service level agreements. Thanks.

Elise Gerich: So it's inherent on us to do a good job, and we will.

James Bladel: The queue is clear. Any other questions from the table or from the room for Elise? I think we're letting you off really easy today.

Elise Gerich: Well thank you very much.

James Bladel: There's benefits to going at 5:30. Okay. If there are no other questions, thank you very much for that update. That was very informative and we'll look forward to future updates as well. Let's not make the last time you come to visit the GNSO.

Elise Gerich: Well I appreciate it. Yes, like I said, it's my first visit. I hope it won't be my last. Thank you.

James Bladel: If we could stop the recording then, we'll move on to the next session.

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