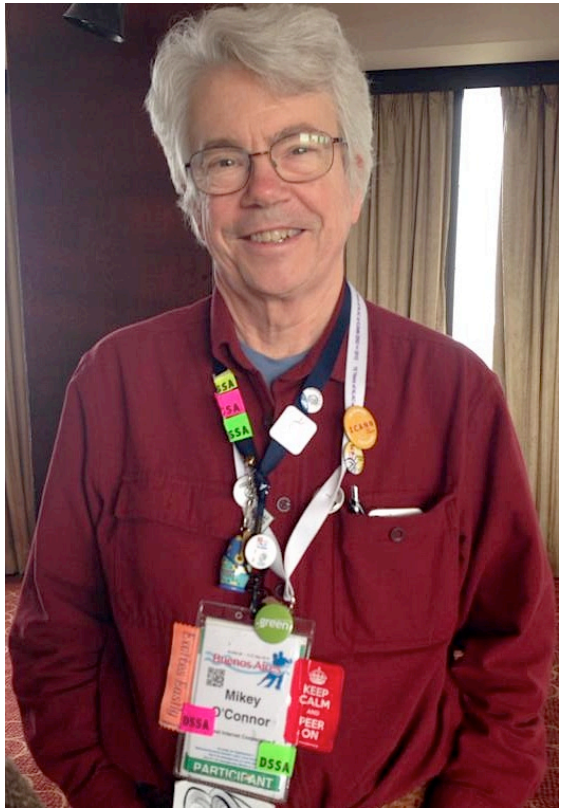
GNSO WG Newcomer Open House session

ICANN

Welcome



- Mikey O'Connor – GNSO Council Member
  - Retired ISP Entrepreneur
  - Domain Registrant
  - Working-group Enthusiast

Meet the GNSO Policy Support Team

David Olive
Vice President, Policy Development
Location: Istanbul, Turkey

Marika Konings
Senior Policy Director and
GNSO Team Leader
Location: Brussels, Belgium

Glen de Saint Géry
GNSO Secretariat
Location: Cannes, France

Meet the GNSO Policy Support Team

Mary Wong
Senior Policy Director
Location: New Hampshire, US

Julie Hedlund
Policy Director
Location: Washington DC, US

Lars Hoffman
Policy Analyst
Location: Brussels, Belgium

Meet the GNSO Policy Support Team

Berry Cobb
Consultant
Location: Scottsdale, US

Nathalie Peregrine
Secretariat Operations Coordinator
Location: Nice, France

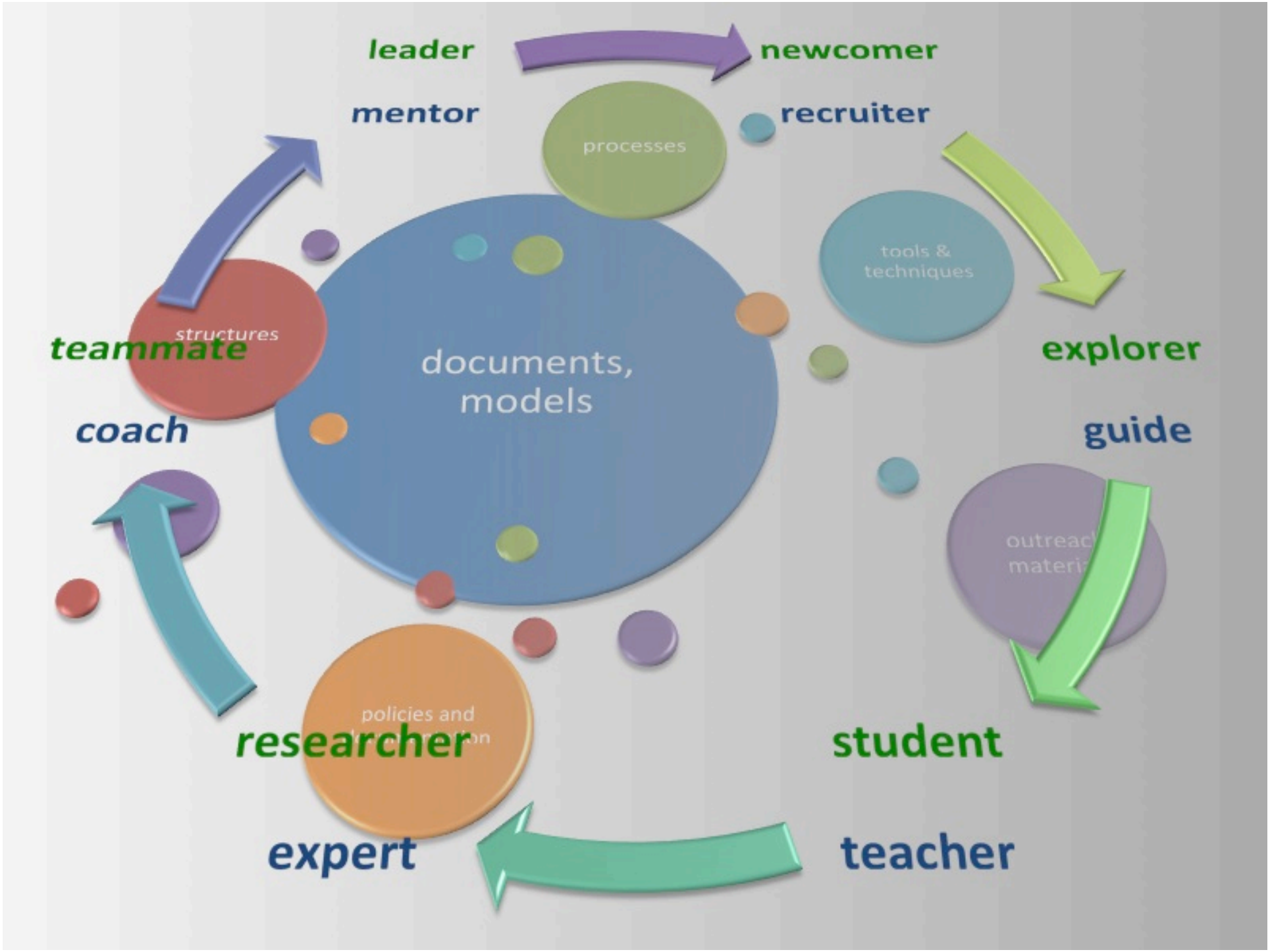
Goals for this session

- Informal sharing of experiences
- Answer your questions
- Provide you with some resources to find your way around
- Obtain your feedback on this first try

Questions – what do you want to learn more about?

- Tips and Tricks
- Consensus Policy / Picket Fence
- GNSO Policy Development Process
- Standard Methodology for Decision Making
- .....

Tips & Tricks


leader
newcomer
mentor
recruiter
processes
tools &
techniques
structures
teammate
documents,
models
explorer
coach
guide
outreach
materials
policies and
researcher
student
expert
teacher

Finding Your Way Around

- http://gnso.icann.org
- Review core materials such as GNSO Operating Procedures which include GNSO WG Guidelines and PDP Manual http://gnso.icann.org/en/council/procedures
- Review GNSO 101 Materials http://gnso.icann.org/en/basics/101
- Review current GNSO projects (Action Items) http://gnso.icann.org/en/group-activities/active

Finding Your Way Around

- Acronym Helper – see GNSO home page
- GNSO wikis - https://community.icann.org/category/gnso
- ICANN Learn - http://learn.icann.org/
- Find an experienced WG member either from your SG/C to provide guidance / answer questions
- Follow ICANN/GNSO on social media (Facebook, Twitter, LinkedIn)
- Contact the GNSO Policy Staff

“Consensus Policy” and the Picket Fence

“Consensus Policy”

- ICANN-accredited registrars and registries are bound to ICANN by contracts - http://www.icann.org/en/general/agreements.htm
- In this context, "consensus Policy”* is a specification broadly supported by ICANN stakeholders, and thus could bind registrars and registries

* Need to refer to contracts for specific limitations & need to refer to Bylaws for overall scope issues. This presentation does not not constitute legal advice or a waiver or modification of any ICANN agreement

Background

In its original agreements with ICANN, registries and registrars agreed to comply with "consensus" policies adopted by ICANN provided (i) that such policies did not unreasonably restrain competition and (ii) that the policies related to:

1. Issues for which uniform or coordinated resolution is reasonably necessary to facilitate interoperability, technical reliability and/or stable operation of the Internet or domain-name system;
2. Registry / registrar policies reasonably necessary to implement Consensus Policies relating to registrars/registries
3. Resolution of disputes regarding the registration of domain names (as opposed to the use of such domain names)

Detailed topics subject to "Consensus Policy" are defined in the gTLD Registry and Registrar Agreements

Picket Fence

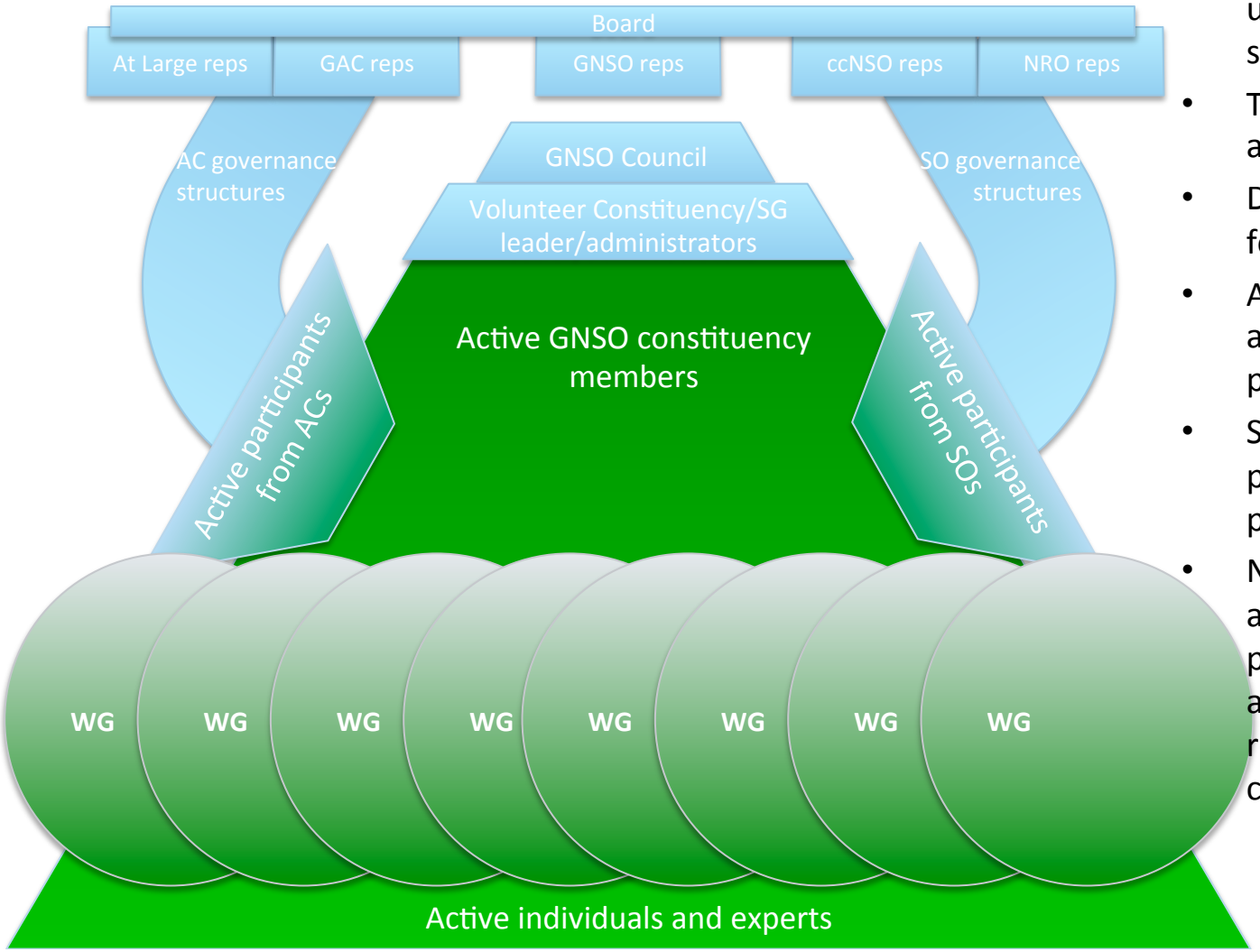
- The general policy making authority granted to ICANN to preserve the stability and security of the DNS and the policy authority described in previous slide create a “picket fence” around ICANN’s authority
- ICANN could establish policy and/or best practices affecting issues outside the picket fence, but could not mandate registry and registrar compliance with such policies through a Consensus Policy.

Further Reading

+ http://gnso.icann.org/en/basics/consensus-policy/about

GNSO Policy Development Process

Mikey's view of the bottom-up process **(Ideal)**

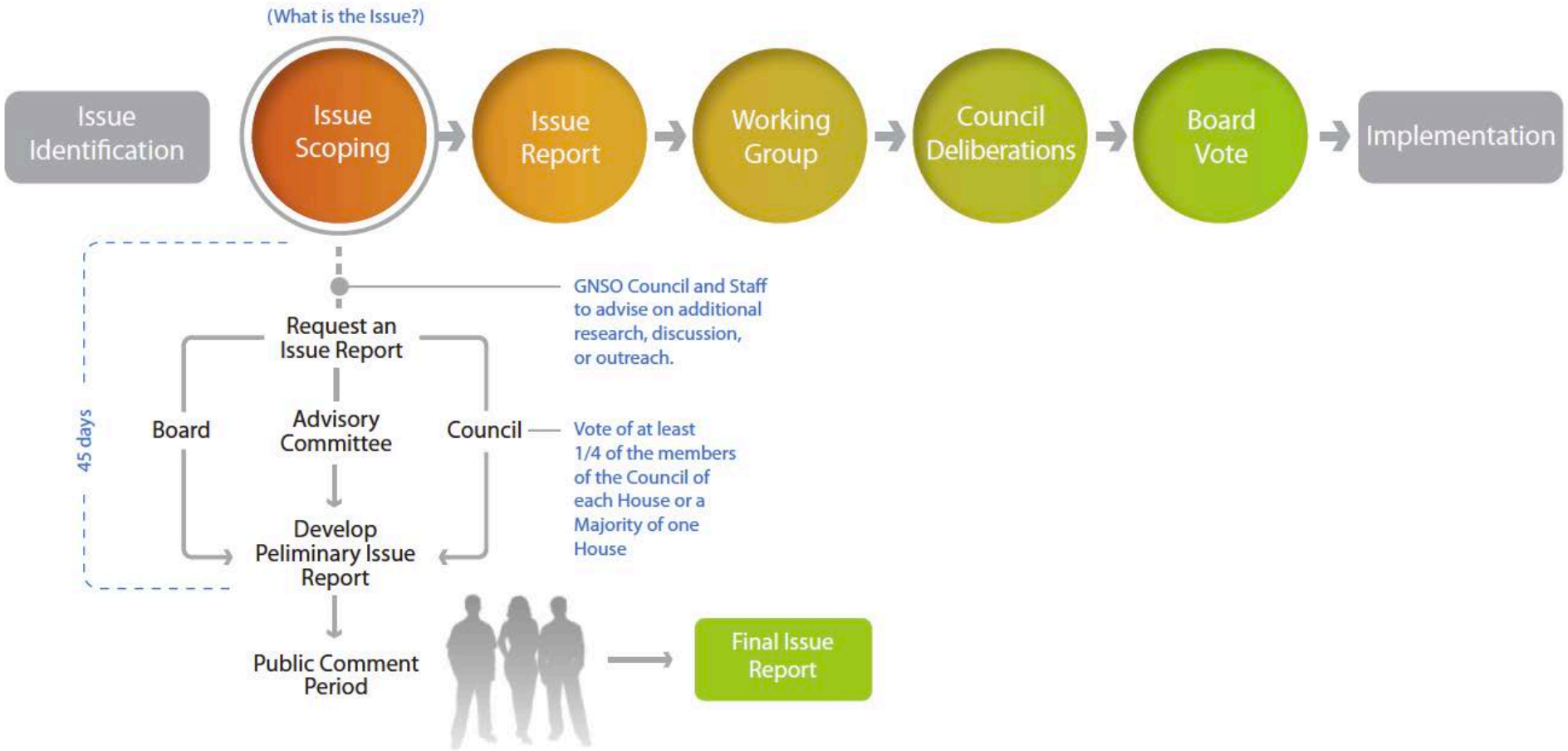


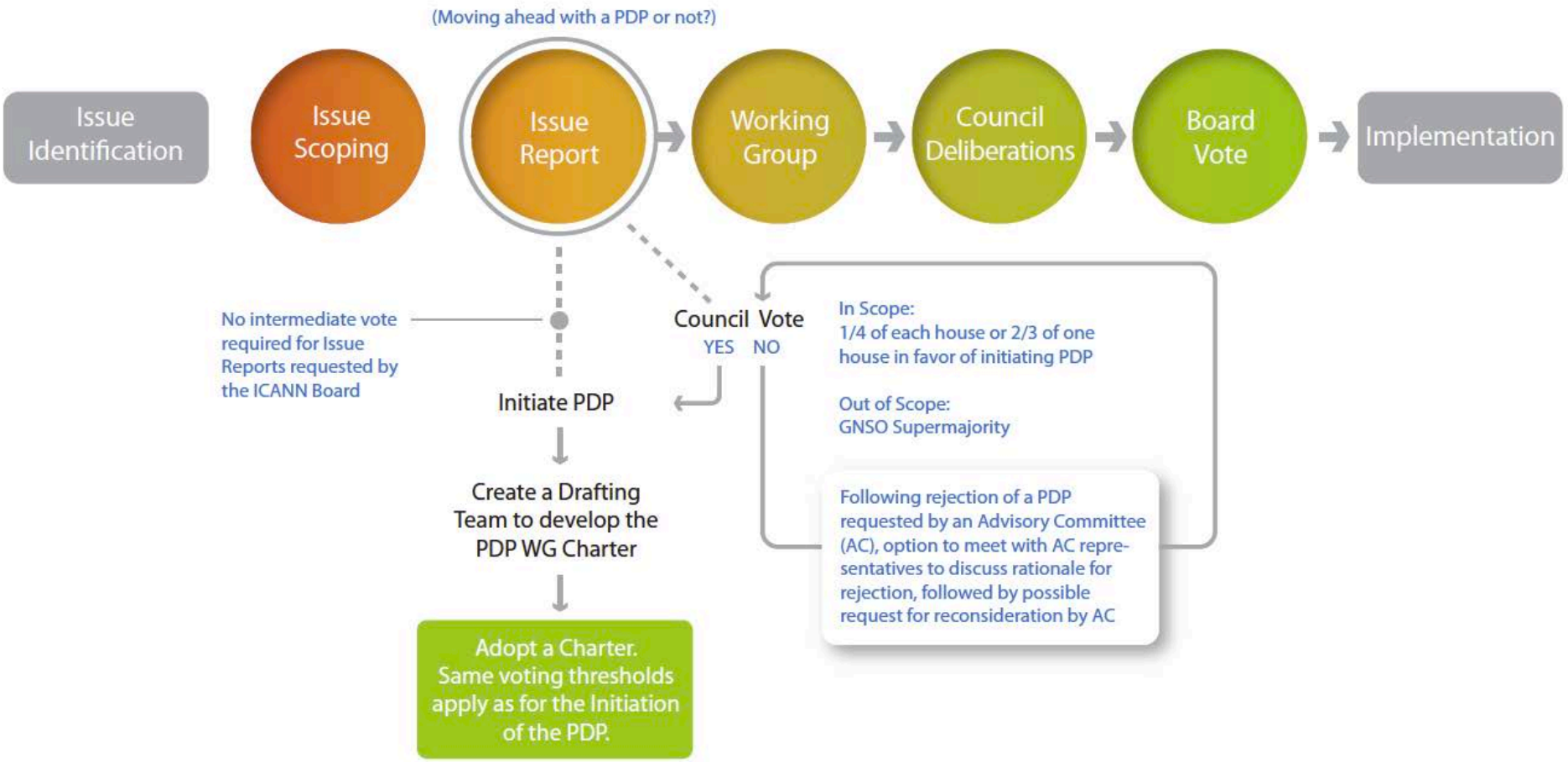
- International model for bottom-up consensus-based multi-stakeholder decision-making
- The only place in ICANN where all the "silos" work together
- Deep/diverse participation with focus on policy-making WG's
- A light, volunteer leadership/ administrative layer to guide the policy-making process
- Superb tools (such as remote participation) put worldwide participants on an equal footing
- Newcomers are welcomed with a clear path to full WG participation. Emerging leaders are identified, mentored, and rewarded for continuing WG contribution

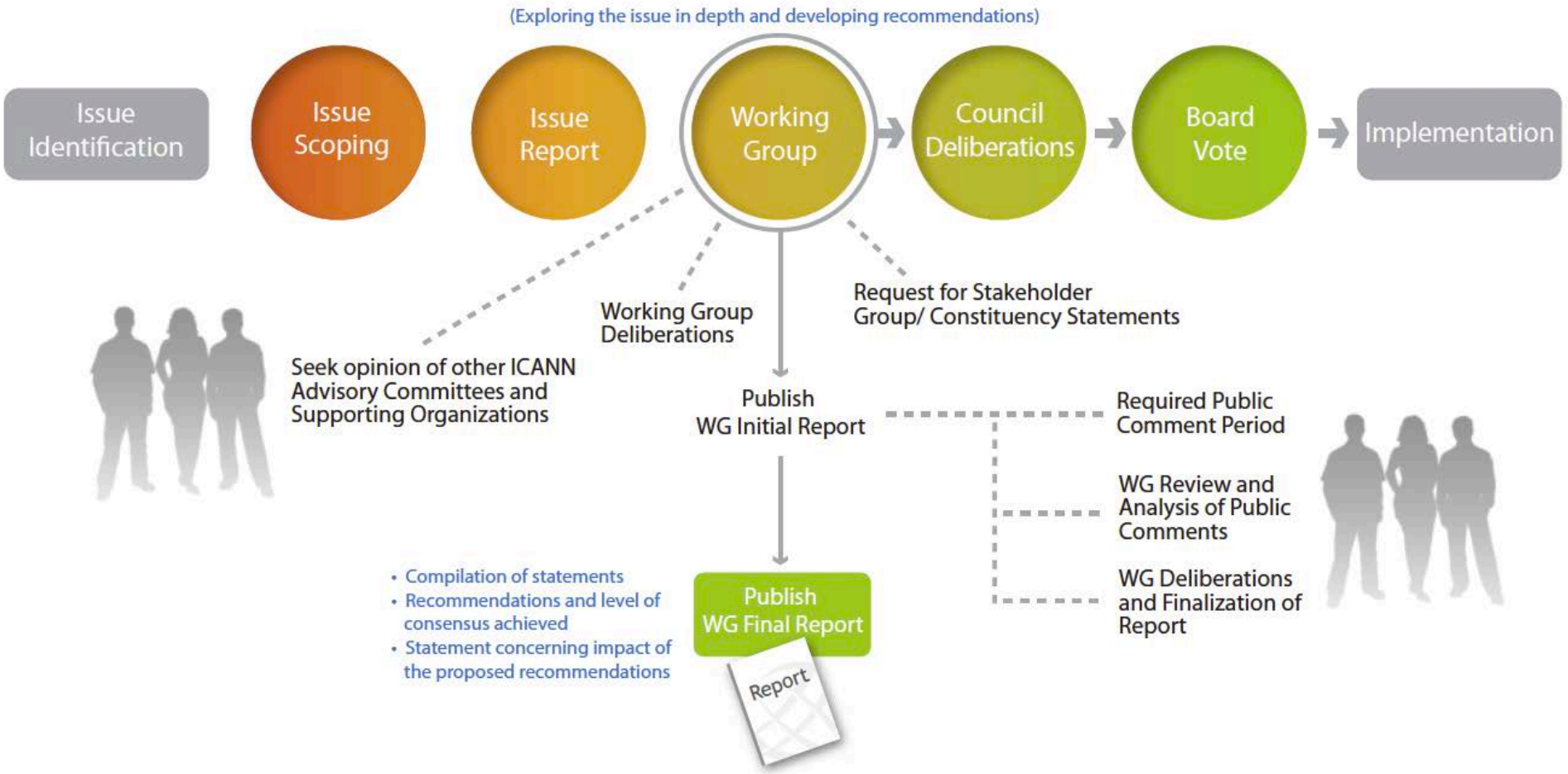
GNSO Council – Manager of the PDP

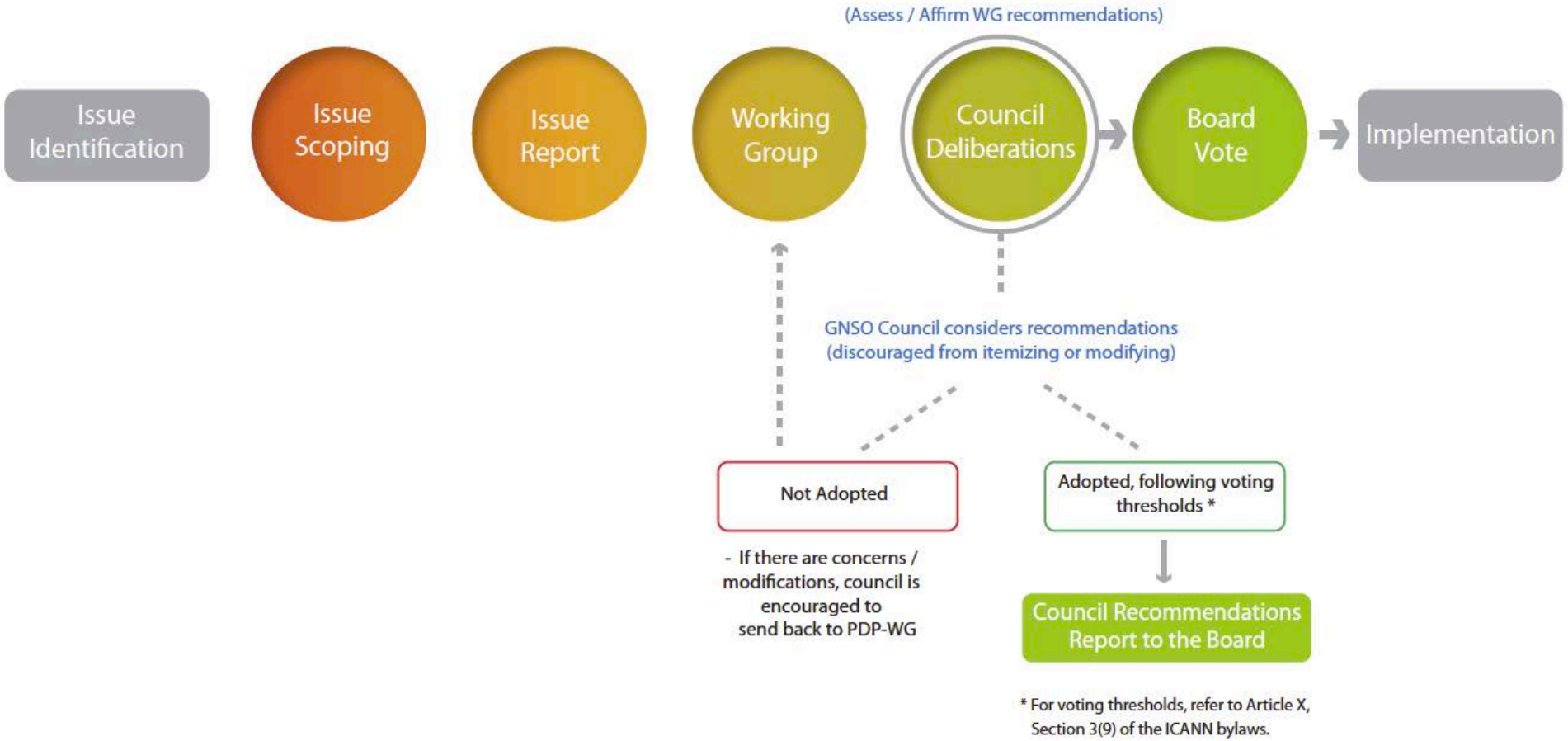
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation

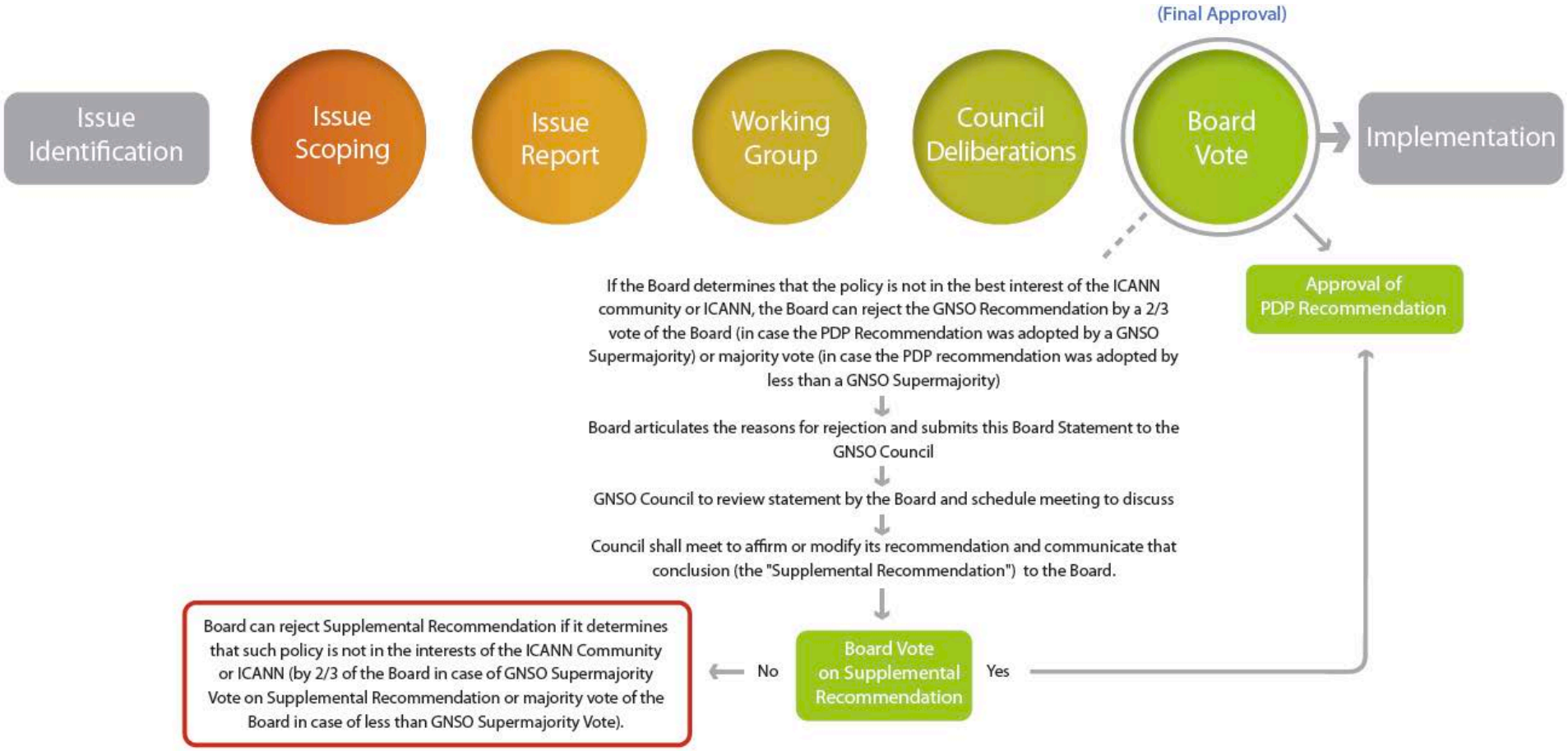
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
Is this issue intended to result in consensus policy?
Yes
No
Follow other GNSO Process
Issues not intended to result in a Consensus Policy may be referred to another GNSO process by the GNSO Council.


(What is the Issue?)
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
GNSO Council and Staff to advise on additional research, discussion, or outreach.
Request an Issue Report
45 days
Board
Advisory Committee
Council
Vote of at least 1/4 of the members of the Council of each House or a Majority of one House
Develop Peliminary Issue Report
Public Comment Period
Final Issue Report


(Moving ahead with a PDP or not?)
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
No intermediate vote required for Issue Reports requested by the ICANN Board
Initiate PDP
Council Vote
YES
NO
In Scope:
1/4 of each house or 2/3 of one house in favor of initiating PDP
Out of Scope:
GNSO Supermajority
Following rejection of a PDP requested by an Advisory Committee (AC), option to meet with AC representatives to discuss rationale for rejection, followed by possible request for reconsideration by AC
Create a Drafting Team to develop the PDP WG Charter
Adopt a Charter. Same voting thresholds apply as for the Initiation of the PDP.


(Exploring the issue in depth and developing recommendations)
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
Working Group Deliberations
Request for Stakeholder Group/ Constituency Statements
Seek opinion of other ICANN Advisory Committees and Supporting Organizations
Publish WG Initial Report
Required Public Comment Period
WG Review and Analysis of Public Comments
WG Deliberations and Finalization of Report
• Compilation of statements
• Recommendations and level of consensus achieved
• Statement concerning impact of the proposed recommendations
Publish WG Final Report
Report


(Assess / Affirm WG recommendations)
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
GNSO Council considers recommendations (discouraged from itemizing or modifying)
Not Adopted
- If there are concerns / modifications, council is encouraged to send back to PDP-WG
Adopted, following voting thresholds *
Council Recommendations Report to the Board
* For voting thresholds, refer to Article X, Section 3(9) of the ICANN bylaws.


(Final Approval)
Issue Identification
Issue Scoping
Issue Report
Working Group
Council Deliberations
Board Vote
Implementation
Approval of PDP Recommendation
If the Board determines that the policy is not in the best interest of the ICANN community or ICANN, the Board can reject the GNSO Recommendation by a 2/3 vote of the Board (in case the PDP Recommendation was adopted by a GNSO Supermajority) or majority vote (in case the PDP recommendation was adopted by less than a GNSO Supermajority)
Board articulates the reasons for rejection and submits this Board Statement to the GNSO Council
GNSO Council to review statement by the Board and schedule meeting to discuss
Council shall meet to affirm or modify its recommendation and communicate that conclusion (the "Supplemental Recommendation") to the Board.
Board Vote on Supplemental Recommendation
No
Yes
Board can reject Supplemental Recommendation if it determines that such policy is not in the interests of the ICANN Community or ICANN (by 2/3 of the Board in case of GNSO Supermajority Vote on Supplemental Recommendation or majority vote of the Board in case of less than GNSO Supermajority Vote).

- Optional - Formation of Implementation Review Team to assist ICANN Staff in developing the implementation details for the policy.
- ICANN Staff should inform the GNSO of proposed implementation of a new GNSO recommended policy.
- Implementation must conform to GNSO recommendation

PDP WG Requirements

- Constituency / Stakeholder Group Statements
- Formally seek the opinion of other ICANN Advisory Committees and Supporting Organizations early on in the process
- Development of Initial Report & Public Comment
- Review of Comments
- Final Report

Further Reading

- Annex A of the ICANN Bylaws - http://www.icann.org/en/about/governance/bylaws#AnnexA
- PDP Manual - http://gnso.icann.org/council/annex-2-pdp-manual-16dec11-en.pdf
- PDP Overview - http://gnso.icann.org/en/basics/consensus-policy/pdp

GNSO Working Group Guidelines

GNSO WG Guidelines

- The objective of the GNSO Working Group Guidelines is to assist Working Groups to optimize productivity and effectiveness
- The main elements of importance to Working Group members covered are:
  - First meeting of the Working Group
  - Working Group Member Roles and Responsibilities
  - Use of sub-teams, briefings and subject matter experts
  - Participation and Representativeness
  - Process integrity, Behavior and norms
  - Standard Methodology for Making Decisions
  - Appeal process
  - Communication and collaboration tools
  - Products & Output

Standard Methodology for Decision-Making

- Outlines available designations for WGs to indicate support for policy recommendations
- Chair is responsible for determining level of support – iterative process
- Current designations:
    - Full consensus,
    - Consensus,
    - Strong support but significant opposition,
    - Divergence, minority view

ICANN Learn (log in required)
http://learn.icann.org

- ICANN 101(video and text)
  - http://learn.icann.org/mod/page/view.php?id=101
- Beginner's Guide to ICANN Meetings (text)
  - http://learn.icann.org/course/view.php?id=11
- Critical Things an ICANNer should know (video/ text/powerpoint)
  - http://learn.icann.org/course/view.php?id=10
- How to keep up with ICANN
  - http://learn.icann.org/course/view.php?id=4
- ICANN Resources to know about (text)
  - http://learn.icann.org/course/view.php?id=3

Further Reading

- GNSO Working Group Guidelines Summary - http://gnso.icann.org/council/summary-gnso-wg-guidelines-06apr11-en.pdf
- GNSO Working Group Guidelines - http://gnso.icann.org/council/annex-1-gnso-wg-guidelines-07apr11-en.pdf

Thank You & Questions?