Post-Implementation Review Framework

Proposed for GNSO Consideration

Current Consensus Policy Implementation Framework (CPIF) Guidelines

⊙ See "Support and Review" stage of the CPIF:

Step	Responsible	Requirements
Continuous improvement & measure of policy effectiveness	All	Measurement of the Consensus Policy effectiveness is important to understand if the policy changes met the objectives defined by the GNSO. A series of metrics should be defined and created to measure the policy as required across the contracted parties or ICANN services.
Formal review (if applicable)	GDD staff, Policy staff	If a Consensus Policy has a scheduled formal staff review following its effective date, or if the GNSO Council or ICANN Board calls for a formal review, GDD and/or Policy staff will initiate this process.
Policy status report	Compliance, GNSO Policy Staff	Compliance and GNSO Policy Staff should provide a report to the GNSO Council when there is sufficient data and there has been adequate time to highlight the impact of the policy recommendations, which could serve as the basis for further review and/or revisions to the policy recommendations if deemed appropriate.

⊙ **Issue**: Current guidelines provide few details on *how* and *when* to initiate a review of an implemented Consensus Policy

    ○ Exacerbated in cases where review not explicitly mandated in PDP WG's Recommendations

Possible Approach for Post-Implementation Reviews (high level)

1. Review kicks off in the form of a staff-produced policy status report, which outlines the original policy implementation, subsequent implementation, metrics that were identified, as well as any other data or information that may help inform community deliberations.

2. Policy status report is published for public comment with the request for the community to identify any issues that have been encountered with the policy and/or its implementation, as well as any data that may need to be collected to help inform next steps in the review.

3. Policy status report and report of public comments are provided to the GNSO Council to determine next steps. These next steps could include further data gathering, initiation of an Implementation Advisory Group (if issues identified are deemed to be implementation related), initiation of a Policy Development Process (if issues identified are deemed to relate to the underlying policy recommendations), a combination of these, or other.

4. Results of subsequent steps are reviewed by the GNSO Council and any recommendations coming out of these considered for adoption. Once this process is completed, the GNSO Council determines when a next review should be conducted, if not already part of the implementation or policy recommendations.

Post-Implementation Reviews: Questions for GNSO Discussion

- ⊙ When should a review process be initiated if not explicitly mandated in a PDP WG's Recommendations?

- ⊙ What factors should trigger review?

- ⊙ Who should be able to request or trigger a review (Board, org, other SO/AC, public?)

- ⊙ Who should be accountable for completion of the review?

- ⊙ What minimum questions should be answered in the review?

- ⊙ What should be the expected outcomes of the review? Does a review generate recommendations or only information for the GNSO to consider? What obligation, if any, does the GNSO have to act on the review report?

- ⊙ What are some options for enabling transparency and participation in the review process? What type of stakeholder representation should be encouraged or required? How can a robust review process be supported without exacerbating bandwidth challenges in the GNSO community?

- ⊙ How can the review process support balanced and independent assessments of a policy?